# Lecture Notes Modern Organic Synthesis

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Assembled by

**Conformational Analysis** 

Kinetics and Thermodynamics Reaction Mechanisms and Conformational Effects

Oxidation Reactions and Alcohol Oxidation

Reduction Reactions and Hydroboration Reactions

Enolate Chemistry and Metalation Reactions

Key Ring Transformations

**Olefin Synthesis** 

**Conjugate Additions** 

Synthetic Analysis and Design

**Combinatorial Chemistry** 



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## Preface

The notes have been used as the introductory section of a course on Modern Organic Synthesis that composes 6 weeks or a little more than one-half of a quarter course at The Scripps Research Institute, Department of Chemistry. Consequently, an exhaustive treatment of the individual topics is beyond the scope of this portion of the course. The remaining 4 weeks of the quarter delve into more detail on various topics and introduce concepts in multistep organic synthesis (E. Sorensen). For our students, this is accompanied by a full quarter course in physical organic chemistry and is followed by a full quarter course on state of the art natural products total synthesis (K. C. Nicolaou, E. Sorensen) and a quarter elective course on transition metal chemistry. Complementary to these synthetic and mechanistic courses, two quarter courses on bioorganic chemsitry and an elective course on the principles of molecular biology and immunology are available to our students. Efforts have been made to not duplicate the content of these courses. For those who might examine or use the notes, I apologize for the inevitable oversight of seminal work, the misattribution of credit, and the missing citations to work presented. The original notes were not assembled with special attention to this detail, but rather for the basic content and the 'nuts and bolts' laboratory elements of organic synthesis. In addition, some efforts were made to highlight the chemistry and contributions of my group and those of my colleagues for the intrinsic interest and general appreciation of our students. I hope this is not mistaken for an effort to unduly attribute credit where this was not intended. We welcome any suggestions for content additions or corrections and we would be especially pleased to receive even minor corrections that you might find. - Dale L. Boger

## Acknowledgments

Significant elements of the material in the notes were obtained from the graduate level organic synthesis course notes of P. Fuchs (Purdue University) and were influenced by my own graduate level course taught by E. J. Corey (Harvard). They represent a set of course notes that continue to evolve as a consequence of the pleasure of introducing young colleagues to the essence and breadth of modern organic synthesis and I thank them for the opportunity, incentive, and stimulation that led to the assemblage of the notes. Those familiar with ChemDraw know the efforts that went into reducing my hand drafted notes and those maintained by Robert J. Mathvink (Purdue University) and Jiacheng Zhou (The Scripps Research Institute) to a ChemDraw representation. For this, I would like to thank Robert M. Garbaccio for initiating, coordinating, proofing and driving the efforts, and Steve, Richard, Chris, Bryan, Clark, Marc, Jason, Rob, Wenge, Jiyong, Brian, Mark, Gordon, Robert and Joel for reducing the painful task to a reality.

It is a pleasure to dedicate this book and set of notes to Richard Lerner who is responsible for their appearance. His vision to create a chemistry program within Scripps, his energy and enthusiasm that brought it to fruition, his support for the graduate program and committment to its excellence, and his personal encouragement to this particular endeavour of developing a graduate level teaching tool for organic synthesis, which dates back to 1991, made this a reality.

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## I. Conformational Analysis

## A. Acyclic sp<sup>3</sup>-sp<sup>3</sup> Systems: Ethane, Propane, Butane



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#### 4. Substituted Ethanes

- There are some exceptions to the lowest energy conformation. Sometimes, a gauche conformation is preferred over staggered if X,Y are electronegative substituents. cf: Kingsbury *J. Chem. Ed.* **1979**, *56*, 431.



 $E_{gauche} < E_{staggered}$  if X = OH, OAc and Y = CI, F

5. Rotational Barriers



- The rotational barrier increases with the number of CH<sub>3</sub>/H eclipsing interactions.



- The rotational barrier increases with the number of H/H eclipsing interactions.

## B. Cyclohexane and Substituted Cyclohexanes, A Values ( $\Delta G^{\circ}$ )

1. Cyclohexane



- Chair conformation (all bonds staggered)



- Rapid interconversion at 25 °C (*E*<sub>a</sub> = 10 kcal/mol, 20 kcal/mol available at 25 °C).
- $H_{ax}$  and  $H_{eq}$  are indistinguishable by  $^{1}\text{H}$  NMR at 25 °C.
- At temperatures < –70 °C,  $H_{eq}$  and  $H_{ax}$  become distinct in <sup>1</sup>H NMR.

- Boat conformation



- Rel E = 6.9 kcal, not local minimum on energy surface.
- More stable boat can be obtained by twisting (relieves flagpole interaction somewhat).
- Twist boat conformation (rel E = 5.3 kcal) does represent an energy minimum.
- The boat conformation becomes realistic if flagpole interactions are removed, i.e.



- Half chair conformation



- Energy maximum (rel E = 10.0 kcal)



D.H.R. Barton received the 1969 Nobel Prize in Chemistry for his contributions to conformational analysis, especially as it relates to steroids and six-membered rings. Barton *Experientia* **1950**, *6*, 316.

#### 2. Substituted Cyclohexanes

- Methylcyclohexane



- The gauche butane interaction is most often identifiable as 1,3-diaxial interactions.





2 gauche butane interactions 2 x 0.9 kcal = 1.8 kcal (experimental 1.8 kcal)



- A Value  $(-\Delta G^{\circ})$  = Free energy difference between equatorial and axial substituent on a cyclohexane ring.

## **Typical A Values**

R	A Value (kcal/mol)	R	A Value (kcal/mol)
F	0.25	CN	0.2 Small, linear
CI	0.52	C≡CH	0.41 groups
Br	0.5-0.6 — ca. 0.5 kcal	NO <sub>2</sub>	1.1
I	0.46	CH=CH <sub>2</sub>	1.7
OH	0.7 (0.9) ca. 0.7 kcal	CH <sub>3</sub>	1.8
OCH <sub>3</sub>	0.75 – (2 <sup>nd</sup> atom effect	CH <sub>2</sub> CH <sub>3</sub>	1.9 (1.8) 2 <sup>nd</sup> atom
OCOCH <sub>3</sub>	0.71 very small)	<sup>n</sup> C <sub>3</sub> H <sub>7</sub>	2.1 effect very
NH <sub>2</sub>	1.8 (1.4)	<sup>n</sup> C₄H <sub>9</sub>	2.1 small
$NR_2$	2.1	CH(CH <sub>3</sub> ) <sub>2</sub>	2.1
CO <sub>2</sub> H	1.2 (1.4)	$C(CH_3)_3$	>4.5 (ca. 5.4)
CO <sub>2</sub> Na	2.3	$C_6H_5$	3.1 (2.9)
CO <sub>2</sub> Et	1.1		
SO <sub>2</sub> Ph	2.5		

- Note on difference between <sup>*i*</sup>Pr and <sup>*t*</sup>Bu A values.



<sup>i</sup>Pr group can position H toward "inside,"

but <sup>*t*</sup>Bu group cannot. Very serious interaction, 7.2 kcal. - Determination of A value for <sup>t</sup>Bu group.





- Note on interconversion between axial and equatorial positions.



Even though Cl has a small A value (i.e., small  $\Delta G^{\circ}$  between rings with equatorial and axial Cl group), the  $E_{a}$  (energy of activation) is high (it must go through half chair conformation).

*trans*-1,2-dimethylcyclohexane

 $H \qquad CH_3 \qquad H \qquad CH_3 \qquad$ 



4 x (gauche interaction)

4 x (0.9 kcal) = 3.6 kcal



1 x (gauche interaction) 1 x (0.9 kcal) = 0.9 kcal cis-1,2-dimethylcyclohexane



 $3 \times (gauche interaction)$  $3 \times (0.9 \text{ kcal}) = 2.7 \text{ kcal}$ 

3 x (gauche interaction) 3 x (0.9 kcal) = 2.7 kcal



 $\Delta G$  = 1.87 kcal/mol (exp)  $\Delta G$  = 1.80 kcal/mol (calcd)

#### trans-1,3-dimethylcyclohexane



- Determination of energy value of Me-Me 1,3-diaxial interaction.



 $\Delta G$  = 3.7 kcal/mol (exp) So, Me-Me 1,3-diaxial interaction = 3.7 kcal/mol.

#### 1,3-diaxial interactions

R/R	$\Delta G^{\circ}$
OH/OH	1.9 kcal
OAc/OAc	2.0 kcal
OH/CH <sub>3</sub>	2.4 (1.6) kcal
CH <sub>3</sub> /CH <sub>3</sub>	3.7 kcal

#### $\Delta \textbf{G}^{\circ}$ of common interactions

cis-1,3-dimethylcyclohexane

	ax OH	ax $CH_3$	eq OH
ax H	0.45*	0.9	0.0
ax OH	1.9	1.6	0.35
eq OH	0.35	0.35	0.35
$eq \ CH_3$	0.35	0.9	0.35

\*1/2 of A value

## C. Cyclohexene



- half-chair
- $E_a$  for ring interconversion = 5.3 kcal/mol the preference for equatorial orientation of a methyl group in cyclohexene is less than in cyclohexane because of the ring distortion and the removal of one 1,3-diaxial interaction (1 kcal/mol)

## **D.** Decalins

Η

trans-decalin



н

Η

Η̈́

cis-decalin



two conformations equivalent



3 gauche interactions 3 x 0.9 kcal = 2.7 kcal

 $\Delta E$  between *cis*- and *trans*-decalin = 2.7 kcal/mol

trans-9-methyldecalin

н

0.0 kcal

н



cis-9-methyldecalin



two conformations equivalent



4 gauche interactions 4 x 0.9 = 3.6 kcal



5 gauche interactions 5 x 0.9 = 4.5 kcal

 $\Delta E$  between *cis*- and *trans*-9-methyldecalin = 0.9 kcal/mol

E. 1,3-Dioxanes

R R

- Less preference for R group to be equatorial because the lone pair has a smaller steric requirement than a C-H bond ( $\Delta G^{\circ}$  lower).

- In fact, some polar substituents (i.e. F, NO<sub>2</sub>, SOCH<sub>3</sub>, <sup>+</sup>NMe<sub>3</sub>, etc) prefer axial position.



# F. Acyclic sp<sup>3</sup>-sp<sup>2</sup> Systems

- Key references

- Origin of destabilization for eclipsed conformations:

Lowe	Prg. Phys. Org. Chem. 1968, 6, 1.
Oosterhoff	Pure Appl. Chem. <b>1971</b> , 25, 563.
Wyn-Jones, Pethrick	<i>Top. Stereochem.</i> <b>1970</b> , <i>5</i> , 205.
	Quat. Rev. Chem. 1969, 23, 301.
Brier	J. Mol. Struct. 1970, 6, 23.
Lowe	Science <b>1973</b> , <i>179</i> , 527.

- Molecular orbital calculations: Repulsion of overlapping filled orbitals:

	Pitzer	Acc. Chem. Res. <b>1983</b> , <i>16</i> , 207.
- Propionaldehyde:	Butcher, Wilson Allinger, Hickey Allinger	J. Chem. Phys. <b>1964</b> , 40, 1671. J. Mol. Struct. <b>1973</b> , 17, 233. J. Am. Chem. Soc. <b>1969</b> , 91, 337.
- Propene:	Allinger Herschbach	J. Am. Chem. Soc. <b>1968</b> , 90, 5773. J. Chem. Phys. <b>1958</b> , <i>28</i> , 728.
- 1-Butene:	Geise	J. Am. Chem. Soc. <b>1980</b> , <i>102</i> , 2189.
- Allylic 1,3-strain:	Houk, Hoffmann Hoffmann	J. Am. Chem. Soc. <b>1991</b> , <i>113</i> , 5006. Chem. Rev. <b>1989</b> , <i>89</i> , 1841.

## 1. Acetaldehyde



relative energies (kcal)

Exp 2.5

0.0

- Because E differences are quite low, it is difficult to relate ground state conformation to experimental results. All will be populated at room temperature. Modern Organic Chemistry The Scripps Research Institute

#### 3. Propene



#### 5. E-2-Pentene



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#### 7. 3-Methyl-1-butene



## G. Anomeric Effect

## 1. Tetrahydropyrans (e.g., Carbohydrates)



minimizes electrostatic repulsion between lone pairs and the electronegative substituent

- 3. Electronic stabilization
  - $\sigma^*$  n orbital stabilizing interaction

n electron delocalization into  $\sigma^*$  orbital





no stabilization possible

maximizes destabilizing

electrostatic interaction

between electronegative

centers (charge repulsion)

4. Gauche interaction involving lone pairs is large (i.e. steric)

1 lone pair / OR gauche interaction + 1 C/OR gauche interaction (0.35 kcal/mol)

C H C H ∤ OR t

2 lone pair / OR gauche interactions, but would require that they be ~1.2 kcal/mol

#### 2. Anomeric Effect and 1,3-Dioxanes



lone pair / R interaction

- 1. Polar, electronegative C2/C4 substituents prefer axial orientation.
- 2. The lone pair on oxygen has a smaller steric requirement than a C-H bond.

 $\Delta G^{\circ}$  is much lower, lower preference between axial and equatorial C5 substituent

 Polar electropositive groups C2 equatorial position preferred: C5 axial position may be preferred for F, NO<sub>2</sub>, SOCH<sub>3</sub>, <sup>+</sup>NMe<sub>3</sub>.



preferred conformation

Eliel J. Am. Chem. Soc. **1968**, 90, 3444.

A Value (kcal/mol) for Substituents on Tetrahydropyran and 1,3-Dioxane versus Cyclohexane

Group	Cyclohexane	Tetrahydropyran C2	1,3-Dioxane C2	1,3-Dioxane C5	
CH <sub>3</sub> Et <sup>/</sup> Pr	1.8 1.8 2.1	2.9	4.0 4.0 4.2	0.8 0.7 1.0	
<sup>t</sup> Bu	>4.5			1.4	

#### 3. Exo Anomeric Effect



Kishi J. Org. Chem. 1991, 56, 6412.

## H. Strain

	Ring Size	$-\Delta H_{\rm c}$ (kcal/mol)	Ring Size	$-\Delta H_{\rm c}$ (kcal/mol)	_
	3	166.3	10	158.6	
	4	163.9	11	158.4	
	5	158.7	12	157.8	
train free	6	157.4	13	157.7	
	7	158.3	14	157.4 > largel	y strain
	8	158.6	15	157.5	
	9	158.8	16	157.5	

Cyclic Hydrocarbon, Heats of Combustion/Methylene Group (gas phase)

- 1. Small rings (3- and 4-membered rings): small angle strain
  - For cyclopropane, reduction of bond angle from ideal 109.5° to 60° 27.5 kcal/mol of strain energy.
  - $\triangleright$
- For cyclopropene, reduction of bond angle from ideal 120° to 60° 52.6 kcal/mol of strain energy.

To form a small ring in synthetic sequences, must overcome the energy barrier implicated in forming a strained high energy product.

- 2. Common rings (5-, 6-, and 7-membered rings):
  - largely unstrained and the strain that is present is largely torsional strain (Pitzer strain).
- 3. Medium rings (8- to 11-membered rings):
  - a. large angle strain
    - bond angles enlarged from ideal 109.5° to 115–120°.
    - bond angles enlarged to reduce transannular interactions.
  - b. steric (transannular) interactions
    - analogous to 1,3-diaxial interactions in cyclohexanes, but can be 1,3-, 1,4-, or 1,5- ...

c. torsional strain (Pitzer strain)

in cyclohexanes



just like gauche butane.

- 4. Large rings (12-membered and up):
  - little or no strain.

CH<sub>2</sub>)<sub>n</sub>

## I. pKa of Common Organic Acids

Acid	p <i>K</i> a	Acid	p <i>K</i> a
cyclohexane	45	(CH <sub>3</sub> ) <sub>2</sub> CHOH	18
ethane	42	CH <sub>3</sub> CH <sub>2</sub> OH	17
benzene	37	cyclic ketones	17
ethylene	36	e.g. cyclohexanone	17
Et <sub>2</sub> NH	36	CH <sub>3</sub> OH	16 (16–18)
NH <sub>3</sub> (ammonia)	35	CH <sub>3</sub> CONHCH <sub>3</sub>	16–17
toluene, propene	35	PhCH <sub>2</sub> COPh	16
(C <sub>6</sub> H <sub>5</sub> ) <sub>3</sub> CH	28–33	H <sub>2</sub> O	16
DMSO (CH <sub>3</sub> S(O)CH <sub>3</sub> )	31	cyclopentadiene	15
C <sub>6</sub> H <sub>5</sub> NH <sub>2</sub>	27	$CH_2(CO_2Et)_2$	13
HC≡CH	25	$CH_2(CN)_2$	11
CH₃CN	25	CH <sub>3</sub> COCH <sub>2</sub> CO <sub>2</sub> Et	11
CH <sub>3</sub> CO <sub>2</sub> Et	25	CH <sub>3</sub> NO <sub>2</sub>	10
$CH_3SO_2CH_3$	23–27	phenol	10
CH <sub>3</sub> CONMe <sub>2</sub>	25	R <sub>3</sub> NH <sup>+</sup> Cl <sup>-</sup>	10
aliphatic ketones	20–23	HCN	9
(CH <sub>3</sub> ) <sub>3</sub> CCOCH(CH <sub>3</sub> ) <sub>2</sub>	23	CH <sub>3</sub> CH <sub>2</sub> NO <sub>2</sub>	9
(CH <sub>3</sub> ) <sub>3</sub> CCOCH <sub>3</sub>	21	CH <sub>3</sub> COCH <sub>2</sub> COCH <sub>3</sub>	9
CH <sub>3</sub> COCH <sub>3</sub>	20	CH <sub>2</sub> (CN)CO <sub>2</sub> Et	9
CH <sub>3</sub> COC <sub>6</sub> H <sub>5</sub>	19	CH <sub>3</sub> CO <sub>2</sub> H	5
(CH <sub>3</sub> ) <sub>3</sub> COH	19	py•HCl	5
C <sub>6</sub> H <sub>5</sub> C≡CH	19	C <sub>6</sub> H₅NH₃ <sup>+</sup> Cl <sup>−</sup>	5

$$K_{a} = \frac{[H^{+}][X^{-}]}{[HX]}$$

 $\begin{array}{l} p {\cal K}_a = -log {\cal K}_a = -log [{\rm H}^+] \\ \mbox{Increase in } p {\cal K}_a \mbox{ means decrease in } [{\rm H}^+] \mbox{ and acidity} \\ \mbox{Decrease in } p {\cal K}_a \mbox{ means increase in } [{\rm H}^+] \mbox{ and acidity} \end{array}$ 

For more extensive lists, see: *The Chemist's Companion*, p 584. House, p 494.

Familiarity with these  $pK_a$ 's will allow prediction/estimation of acidities of other compounds. This is important, since many organic reactions have a  $pK_a$  basis (i.e., enolate alkylations).

# **II. Kinetics and Thermodynamics of Organic Reactions**

## A. Free Energy Relationships

$$\Delta \boldsymbol{G} = \Delta \boldsymbol{H} - \mathsf{T} \Delta \boldsymbol{S}$$

The equilibrium for the reaction can be described by

$$\ln K_{\rm eq} = - \frac{\Delta G}{RT}$$

To achieve a high ratio of two products (desired product and undesired product) in a thermodynamically controlled reaction (i.e. under reversible conditions) you need the following  $\Delta G$ 's:

K	(25 °C)	$\Delta G$ (kcal/mol)	K (	0 °C)	$\Delta G$ (kcal/mol)	K (-	78 °C)	$\Delta G$ (kcal/mol)
2	(67:33)	0.41	2.1	(68:32)	0.41	2.9	(75:25)	0.41
5	(83:17)	0.95	5.7	(85:15)	0.95	11.6	(92:8)	0.95
9	(90:10)	1.30	10.9	(92:18)	1.30	28.5	(97:3)	1.30
20	(95:5)	1.74	27.5	(96:4)	1.80	103.3	(99:1)	1.80
99	(99:1)	2.73						
999	(99.9:0.1)	4.09						

Hydrogenation reaction:

$$H_2C=CH_2 + H_2 \longrightarrow H_2C-CH_2$$

bonds broken

bonds formed

I	C=C	163 kcal/mol	1	C-C	88 kcal/mol
I	H-H	104 kcal/mol	2	С-Н	2 x 98 kcal/mol
		267 kcal/mol			284 kcal/mol

-Overall reaction is *exothermic* ->  $\Delta G = -17$  kcal/mol, so reaction is *favorable, spontaneous*.

-To calculate equilibrium constant:

$$\ln K_{\rm eq} = - \frac{\Delta G}{RT}$$

2.303 log  $K_{eq}$  = 17 kcal x 1000 cal/mol / (298 K) x 1.99 log  $K_{eq}$  = 12.45  $K_{eq}$  = 2.8 x 10<sup>12</sup>

- But experimentally this reaction is very slow.

- Molecule rate (experimentally) = 10<sup>12</sup> molecules/sec

mole rate =  $\frac{6.023 \times 10^{23} \text{ molecules/mol}}{(10^{12} \text{ molecules/sec}) \times (60 \text{ sec/min}) \times (60 \text{ min/hour})} = 2 \times 10^4 \text{ years}}{x (24 \text{ hour/day}) \times (365 \text{ day/year})}$ 

i.e.,  $2 \times 10^4$  years to hydrogenate one mole of ethylene (without catalyst).



Transition State: A transition state (TS) possesses a defined geometry and charge delocalization but has no finite existence. At TS, energy usually higher and although many reactant bonds are broken or partially broken, the product bonds are not yet completely formed.

## **B. Transition State Theory**

$$\Delta G^{\ddagger} = \Delta H^{\ddagger} - \mathsf{T} \Delta S^{\ddagger}$$

- Free Energy of Activation ( $\Delta G^{\ddagger}$ )
- Enthalpy of Activation ( $\Delta H^{\ddagger}$ ): Difference in bond energy between reactants and the transition state.
- Entropy of Activation ( $-T\Delta S^{\ddagger}$ ):  $\Delta S^{\ddagger}$  usually negative, making the change more endothermic.

From $\Delta G^{\ddagger} = \Delta H^{\ddagger} - T\Delta S^{\ddagger}$ , $\Delta G^{\ddagger} = -$	RT In <i>K</i> ‡			
for uncatalyzed H <sub>2</sub> reaction	$\Delta G^{\ddagger}$ = 33.9 kcal/mol			
catalyzed H <sub>2</sub> reaction	$\Delta G^{\ddagger} = 20 \text{ kcal/mol}$			
and for the rate				
for uncatalyzed H <sub>2</sub> reaction	$k = 1.0 \times 10^{12}$ mol/sec			
catalyzed H <sub>2</sub> reaction	$k = 1.0 \times 10^{22}$ mol/sec			

## **C. Intramolecular Versus Intermolecular Reactions**



- Intramolecular versus intermolecular reactions benefit from a far more favorable entropy of activation ( $\Delta S^{\ddagger}$ ).
- In forming small rings, ring strain developing in the product decelerates the rate of reaction (large  $\Delta H^{\ddagger}$ ) and that can offset the favorable  $\Delta S^{\ddagger}$  rate acceleration.

		ОНОН	H <sup>+</sup>		ò		
Examples:				0	-		0
Br— (CH <sub>2</sub> ),	n—NH2 —	H <sub>2</sub> O 25 °C	(CH₂)n └─NH	(n) Br $aq DMSO$ (			()n
	Ring size	Rel. Rate		Ring size	Rel. Rate	Ring size	Rel. Rate
	3 4 5 6 7	70 1.0 10000 1000 2	_	3 4 5 6 7 8 9 10	$\begin{array}{c} 21.7\\ 5.4\times10^3\\ 1.5\times10^6\\ 1.7\times10^4\\ 97.3\\ 1.00\\ 1.12\\ 3.35\end{array}$	11 12 13 14 15 16 17 18	8.51 10.6 32.2 41.9 45.1 52.0 51.2 60.4
- g	em dimethy	/l effect					
			$\Delta$		Rel. Rate		
HOCI		18 °C			1.0		
HOCI					325		
HOCI			$\checkmark$		39000		

Compare to relative rates of intermolecular S<sub>N</sub>2 displacement where the more substituted alkoxide reacts slowest:



De Tar *J. Am. Chem. Soc.* **1980**, *102*, 4505. Winnik *Chem. Rev.* **1981**, *81*, 491. Mandolini *J. Am. Chem. Soc.* **1978**, *100*, 550. Illuminati *J. Am. Chem. Soc.* **1977**, *99*, 2591. Mandolini, Illuminati *Acc. Chem. Res.* **1981**, *14*, 95.

For the intramolecular case:

The reactive conformation is more favorable and populated to a greater extent in the more substituted case  $\Rightarrow$  One must consider both length of chain (i.e., ring size being formed) and nature of atoms in the chain (i.e., conformation, hybridization).

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## **D. Kinetic and Thermodynamic Control**

- For competitive reactions:



If this is an irreversible reaction, most of the reaction product will be B (kinetic product). If this is a reversible reaction, most will be C (more stable, thermodynamic product).



A beautiful example of this was observed in the kinetic versus thermodynamic asymmetric Dieckmann-like condensation illustrated below. The most stable product (lower  $\Delta G$ ) was observed upon conducting the reaction under equilibrating conditions for the reversible reaction while the alternative kinetic product (lower  $\Delta G^{\ddagger}$ ) was observed when the reaction was conducted under lower temperature and nonequilibrating conditions (kinetic conditions).





**Divergent Control of C6-Stereochemistry** 



Boger J. Am. Chem. Soc. 1997, 119, 311.

## **E. Hammond Postulate**

The geometry of the transition state for a step most closely resembles the side (i.e., reactant or product) to which it is closer in energy.

 $\begin{array}{l} \mbox{Transition state can not be studied experimentally - has zero lifetime (transient species)} \\ \rightarrow \mbox{information obtained indirectly} \\ \qquad \Rightarrow \mbox{Hammond postulate} \end{array}$ 

Examples:

1) Thermoneutral reactions:

 $CH_3-^{1}I + ^{2}I^{-} \longrightarrow CH_3-^{2}I + ^{1}I^{-}$ 



2) For reactions which proceed through an intermediate: solvolysis of tertiary alcohol



#### Notes

- a. 20 kcal/mol energy available at 25 °C for free energy of activation.
- b. Increase reaction temperature, increase the rate of reaction.
- c. Decrease reaction temperature, decrease the rate of reaction, but increase the selectivity of the reaction.

Hammond *J. Am. Chem. Soc.* **1955**, *77*, 334. Casin *J. Chem. Ed.* **1975**, 52, 76.

## F. Principle of Microscopic Reversibility

The forward or reverse reactions, run under identical conditions, must proceed by the same mechanism i.e., if forward reaction proceeds via intermediate X

 $A \longrightarrow [X] \longrightarrow B$ 

then reverse reaction also goes through X.

B \_\_\_\_→ [X] \_\_\_→ A

# **III. Reaction Mechanisms and Conformational Effects on Reactivity**

## A. Ester Hydrolysis



Reaction driven to completion by final, irreversible step (compare  $pK_a = 17$  to  $pK_a = 5$ ).



- So, possible competing reaction is α-H removal, but pK<sub>a</sub> difference means equilibrium strongly favors ester and OH<sup>-</sup>, i.e.;

$$HO^-$$
 +  $CH_3$ - $\overset{O}{C}$ - $OCH_2CH_3$   $\xrightarrow{}$   $H_2O$  +  $H_2^-C$ - $\overset{O}{C}$ - $OCH_2CH_3$ 

- To deprotonate an ester, must use a strong base which is non-nucleophilic, such as <sup>t</sup>BuOK or LDA.

$$CH_{3}COOCH_{2}CH_{3} \xrightarrow{O}_{H_{2}C} - C - OCH_{2}CH_{3}$$

$$pK_{a} = 25$$

1.  ${}^{t}BuOK (pK_{a} \text{ of } {}^{t}BuOH = 19) \rightarrow \text{generates low concentration of anion, and a significant amount of ester always present}$  $2. LDA (pK_{a} \text{ of } {}^{t}Pr_{2}NH = 36) \rightarrow \text{generates a high concentration of enolate and thus is a good base to carry out stoichiometric alkylation of ester}}$  Modern Organic Chemistry The Scripps Research Institute

#### 1. Kinetics of Ester Hydrolysis (Stereochemistry and Rates of Reactions)





- Difference in rates much greater than expected if simply considering the difference in either the product or reactant A values.
- Reaction of axial ester decelerated due to more severe developing 1,3-diaxial interactions in transition state (i.e., an axial <sup>t</sup>Bu-like group).
- 2. Same effect is observed, but to a lesser extent with acetate hydrolysis



Similarly, the rates of acetylation are  $k_{trans} / k_{cis} = 3.7$ 

Eliel J. Am. Chem. Soc. 1966, 88, 3334.

## **B.** Alcohol Oxidations



$$\frac{k_{cis}}{k_{trans}} = 4$$

The rate determining step for the alcohol oxidation is break down of the chromate ester with cleavage of C–H bond and O–Cr bond.

Destabilizing 1,3-diaxial interactions in *cis* chromate ester accelerate its breakdown to the ketone (would be slower if the slow step for the reaction were formation of chromate ester).

Eliel J. Am. Chem. Soc. 1966, 88, 3327.

## C. $S_N 2$ Reactions



 The free energy of activation (*E<sub>a</sub>*, or △*G<sup>‡</sup>*) for reaction of the *trans* isomer is higher due to steric interactions felt in the transition state (interactions of incoming nucleophile with axial H's).

 $\Delta\Delta G^{\ddagger}$  greater than  $\Delta\Delta G$  of products.

- The reaction of the *trans* isomer is kinetically slower and thermodynamically less favorable.



reaction coordinate

## **D.** Elimination Reactions



- Alternatively, if dihedral angle = 0° (i.e., eclipsed X and H), elimination can take place (orbital overlap good).



eclipsed conformation is 3.0–3.3 kcal/mol higher in E, so elimination takes place mainly through *trans periplanar* arrangement.

- Alternate mechanisms also possible:



via free carbocation

large groups (A,E) trans

 $<sup>\</sup>rightarrow k_{cis} > k_{trans}$ 

## **Acyclic Substrate**

- Examples:



Both are very much destablized relative to anti-elimination T.S. / conformations. Neither contribute to ground state conformation of bromide at room temperature.

And, there is another product formed:



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#### **Cyclic Substrate**

Consider E2 elimination of





neomenthyl chloride

menthyl chloride

Look at all conformations of each:



The reaction of the neomenthyl chloride is much faster ( $k_1/k_2 = 193:1$ )

From D (menthyl chloride) - only one product is possible

Curtin–Hammett principle : Ground state conformation need not be decisive in determining product of a reaction.

## E. Epoxidation by Intramolecular Closure of Halohydrins

- Must involve backside displacement  $\rightarrow$  geometrical constraints !



Again, ground state conformation of reactant is not a determinant in reaction product (Curtin-Hammett principle).

- Another example:



This is the only product formed!

Product ratio dependent on  $E_a$  (i.e., relative energy of two T.S.), route (a) ⇒ proceeding through chair conformation and destabilizing 1,3-diaxial interaction is of lower energy than route (b) proceeding through twist boat T.S.

- Conformational effects determine regioselectivity
## G. Electrophilic Additions to Olefins

Follows same principles



- Conformational effects control regioselectivity and stereochemistry

But, it is not always possible to obtain the thermodynamic product

 $\Rightarrow$  must have the 20–30 kcal/mol of energy required and a mechanism to reverse the reaction.

## H. Rearrangement Reactions

## $\text{pinacol} \rightarrow \text{pinacolone rearrangement}$



The course of rearrangement is conformationally dependent:



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## Compare to:



Explain the following results:



- Additional examples





Heathcock J. Am. Chem. Soc. 1982, 104, 1907.

# I. Pericyclic Reactions

## 1. Conservation of Orbital Symmetry, FMO Analysis

- Concerted reactions where there is a single transition state and no intermediates proceed through cyclic transition states.
- Cyclic transition state corresponds to an allowed arrangement of participating orbitals that can maintain a bonding interaction between the reaction components throughout the course of the reaction. This dictates features of relative reactivity, regioselectivity, and diastereoselectivity.
- This also established and formalized the viability of utilizing Frontier Molecular Orbitals (FMO) composed of the Highest Occupied Molecular Orbital (HOMO) and Lowest Unoccupied Molecular Orbital (LUMO) to analyze pericyclic reactions.

Woodward, Hoffmann *The Conservation of Orbital Symmetry,* Academic: New York, 1970. *J. Am. Chem. Soc.* **1965**, *87*, 395.

Fukui Acc. Chem. Res. 1971, 4, 57; Angew. Chem., Int. Ed. Eng. 1982, 21, 801.

Encouraged by E. J. Corey, Hoffmann began examining mechanistic problems in organic chemistry and, as a junior fellow at Harvard, entered into a collaboration with R. B. Woodward that combined his insights in MO theory with Woodward's knowledge of experimental pericyclic reactions. This led to five papers in 1965 before he was 30 years old, that were the foundation of what we now refer to as the **Woodward-Hoffmann rules**.

R. Hoffmann received the 1981 Nobel Prize in Chemistry for the launch and development of the concept of orbital symmetry conservation.

K. Fukui received the 1981 Nobel Prize in Chemistry for his Frontier Orbital theory of chemical reactivity.

This followed and was not included in the 1965 Nobel Prize in Chemistry awarded to R. B. Woodward for his contributions to the "art of organic synthesis".

### 2. Electrocyclic Reactions

- This is composed of a series of reactions in which a ring closure occurs with formation of a single bond at the ends of a linear, conjugated system of  $\pi$  electrons and the corresponding reverse reaction with ring opening.

System	$\pi$ electrons	Thermal Reaction Ground State (HOMO)	hv Reaction Excited State (LUMO)
	4 π e <sup>-</sup>	conrotatory	disrotatory
$\bigcirc \rightleftharpoons \bigcirc$	6 π e <sup>-</sup>	disrotatory	conrotatory
	8 π e <sup>-</sup>	conrotatory	disrotatory
$\begin{pmatrix} l' \\ l' + & \longrightarrow & + \\ l' & \longleftarrow & + \end{pmatrix}$	2 π e <sup>-</sup>	disrotatory	conrotatory
⟨('- ← - <)	4 π e <sup>-</sup>	conrotatory	disrotatory
	4 π e <sup>-</sup>	conrotatory	disrotatory
	6 π e <sup>-</sup>	disrotatory	conrotatory

 $4 \pi e^{-}$  thermal reaction (ground state, HOMO)



Stereochemistry dictated by orbital symmetry allowed reaction course

conrotatory movement ------ bonding interaction

 $6 \pi e^{-}$  thermal reaction (ground state, HOMO)



- Generalization:

No. of $\pi$ electrons	Thermal	hν
$4n \pi$ electrons (n = 0,1,)	conrotatory	disrotatory
$4n + 2 \pi$ electrons (n = 0,1,)	disrotatory	conrotatory

## 3. Cycloadditions and Cycloreversions

- These are discussed in terms of suprafacial or antarafacial addition to the ends of a  $\pi$  system.



- Generalization:

Total $\pi$ electrons	Allowed in Ground State	Allowed in Excited State
4n	m <sub>s</sub> + n <sub>a</sub>	m <sub>s</sub> + n <sub>s</sub>
	m <sub>a</sub> + n <sub>s</sub>	m <sub>a</sub> + n <sub>a</sub>
4n + 2	m <sub>s</sub> + n <sub>s</sub>	m <sub>s</sub> + n <sub>a</sub>
	m <sub>a</sub> + n <sub>a</sub>	m <sub>a</sub> + n <sub>s</sub>
4n + 2	m <sub>s</sub> + n <sub>s</sub> m <sub>a</sub> + n <sub>a</sub>	m <sub>s</sub> + n <sub>a</sub> m <sub>a</sub> + n <sub>s</sub>

Notations orbital type 
$$\implies \pi 2_s \iff$$
 suprafacial (s) or antarafacial (a)



- Diels-Alder Reaction ( $6\pi e^-$ ), Ground State Thermal Reaction



- $[\pi 4_s + \pi 2_s]$  cycloaddition
  - Suprafacial with respect to both reacting components and this defines the orientation with which the two reactants approach, boat transition state.
  - The FMO analysis may also be used to predict relative rates, regioselectivity, and diastereoselectivity (*endo* effect) and we will discuss this in detail along with the Diels-Alder reaction.

- [2 + 2] Cycloaddition ( $4\pi e^{-}$ )

Ground State (thermal)



 $[\pi 2_a + \pi 2_s]$  cycloaddition

 Antarafacial with respect to one olefin and suprafacial with respect to the second, dicates perpendicular approach to permit bonding. Excited State (hv)





 Suprafacial with respect to both olefins.

### 4. Sigmatropic Rearrangements

- Class of reactions characterized by migration of an allylic group from one end of a  $\pi$  system to the other.
- Generalization:

Total $\pi$ electrons	Ground State	Excited State
4n	antara - supra supra - antara	antara - supra supra - antara
4n + 2	supra - supra antara - antara	antara - supra supra - antara

- These include a wide range of rearrangements including [1,3]-, [1,5]-, [1,7]-, [3,3]-, and [2,3]- sigmatropic reactions which we will discuss in detail.

# J. Subtle Conformational and Stereoelectronic Effects on Reactivity and Reaction Regioselectivity

### 1. Kinetics, Stereochemistry, and Reaction Mechanisms

- Two of the cornerstones of defining a mechanism rest with the establishment of the stereochemistry of the reaction in conjunction with kinetic studies of the reaction.
- For example, for a reaction that might entail acid or base catalysis, it is common to examine the pH rate profile.



Boger J. Org. Chem. 1998, 63, 8004.

## 2. Substituent Effects

- These can be quantitated using a Hammett treatment and can provide insights into reaction mechanisms.



- ρ values are characterized in a log scale
- The negative  $\rho$  value indicates  $\delta^+$  charge buildup in the rate-determining step of the reaction.



Boger J. Am. Chem. Soc. **1994**, *116*, 5523. J. Org. Chem. **1996**, *61*, 1710 and 4894.

## 3. Structure versus Reactivity and Reaction Regioselectivity

- Structure can have a pronounced effect on reactivity and reaction regioselectivity. One nice example of this can be illustrated with a series of analogues related to CC-1065 and the duocarmycins which are potent antitumor antibiotics that derive their biological properties from a sequence-selective DNA alkylation reaction. The reactivity changes that one sees as a consequence of the loss of the vinylogous amide stabilization are related to the source of DNA alkylation catalysis.

Binding-induced conformational change: shape-selective catalysis



- DNA bound agent adopts helical conformation, twist adjusted at linking amide.
  - DNA bound agent maintains full amide. ( $\chi 2 = 0^\circ$ )
  - Vinylogous amide stabilization diminished. ( $\chi 1 = 25-40^{\circ}$ )
  - Cyclohexadienone structure destabilized.
- Shape-dependent catalysis: Preferential activation in AT-rich minor groove.
- Binding induced twist greatest in the narrower, deeper AT-rich minor groove.
- Shape-selective recognition: Preferential binding in AT-rich minor groove.

Boger J. Am. Chem. Soc. **1997**, 119, 4977 and 4987. Boger, Garbaccio *Bioorg. Med. Chem.* **1997**, *5*, 233. - N-Acylation and its effect on vinylogous amide and cyclopropane conjugation.



- *N*-acylation decreases the cross-conjugated vinylogous amide conjugation, increases the cyclopropane conjugation and bond lengths, and increases cyclopropane reactiviity. This can be observed in the corresponding X-ray crystal structures.

- Amide twist effect on the vinylogous amide and cyclopropane conjugation.



- Note the change in solvolysis regioselectivity where the stereoelectronically aligned cyclopropane bond is the bond which is cleaved. The stereoelectronically aligned bond is that which is positioned to best overlap with the developing  $\pi$ -system of the product phenol.
  - complete reversal of reaction regioselectivity
- In each case, the ring expansion occurred with generation of a single enantiomer by a  $\ensuremath{S_N2}\xspace$  mechanism.

Boger J. Org. Chem. 1997, 62, 5849; J. Am. Chem. Soc. 1997, 119, 4977.

# K. Methods for the Synthesis of Optically Active Materials

Morrison Asymmetric Syntheses, Academic: New York, 1983; Vol. 1-5.

Note:

A summary of approaches which will be highlighted throughout the following material.

## 1. Partial Synthesis

- From readily available, naturally-derived optically active materials, examples include
- a. Progesterone from sapogenin diosgenin.
- b. Synthetic penicillins from the fermentation product 6-aminopenicillanic acid (6-APA).
- c. Vitamin  $D_3$  (1-hydroxycholecalciferol) from cholesterol.

## 2. Resolution

- a. Diastereomeric salts and selective crystallization.
- b. Diastereomeric derivatization and chromatography or selective crystallization.
- c. Direct chromatographic resolution of enantiomers on an optically active stationary support.
- d. Enzymatic resolution.
- e. Kinetic resolution with selective production of desired enantiomer or selective consumption of undesired enantiomer.

Advantage: Both enantiomers are made available.

Disadvantage: 1/2 of the material is wasted if only one enantiomer is desired. Ambiguous assignment of absolute configuration.

See: Jacques, Collet, Wilen Enantiomers, Racemates, and Resolutions, Wiley: New York, 1981.

## 3. Synthesis from Chiral Pool

- Readily available, abundant or naturally occurring starting materials.
- a. Carbohydrates
- b. Amino acids
- c.  $\alpha$ -Hydroxy carboxylic acids
- d. Terpenes
- e. Readily available, abundant natural products

collaborator of A. Kekule, received the 1910 Nobel Prize in Chemistry for his work on essential oils that converted the field of natural products from a disorganized collection of confusing observations into a complete, organized and integrated field. He established the isoprene rule.

O. Wallach, a colleague and

## 4. Asymmetric Synthesis

- a. Optically active reagent (Stoichiometric)
- b. Optically active chiral auxiliary incorporated into substrate (Stoichiometric)
- c. Optically active catalyst (Catalytic)
- See: Koskinen Asymmetric Synthesis of Natural Products; Wiley: New York, 1993. Gawley, Aube Principles of Asymmetric Synthesis; Elsevier: Amsterdam, 1996.

## 5. Microbial, Enzymatic, or Catalytic Antibody Transformation

See: Wong, Whitesides Enzymes in Synthetic Organic Chemistry; Pergamon: Oxford, 1994.

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## **IV. Oxidation Reactions**

## A. Epoxidation Reactions: Oxidation of Carbon-Carbon Double Bonds

Comprehensive Org. Syn.; Vol. 1, 819; Vol. 7, pp. 357 and 390 (asymmetric).



#### 1. Peracid Reactivity

Rate increases:  $R = CH_3 < C_6H_5 < m - CIC_6H_4 < H < p - NO_2C_6H_4 < CF_3$  $pK_a ext{ of acid (RCO_2H): } 4.8 ext{ 4.2 } 3.9 ext{ 3.8 } 3.4 ext{ 2.9 } 0$ 

The lower the  $pK_a$ , the greater the reactivity (i.e., the better the leaving group).

#### 2. Mechanism







Butterfly mechanism (usual representation) Bartlett *Rec. Chem. Prog.* **1950**, *11*, 47.

Refined representation: trans antiperiplanar arrangement of O-O bond and reacting alkene,  $n-\pi^*$  stabilization by reacting lone pair in plane.

The synchronicity of epoxide C-O bond formation and an overall transition state structure postulated using *ab initio* calculations and experimental kinetic isotope effects. Singleton, Houk *J. Am. Chem. Soc.* **1997**, *119*, 3385.

#### 3. Stereochemistry

- a. Stereochemistry of olefin is maintained: diastereospecific.
- b. Reaction rate is insensitive to solvent polarity implying concerted mechanism without intermediacy of ionic intermediates.
- c. Less hindered face of olefin is epoxidized.



Brown J. Am. Chem. Soc. 1970, 92, 6914.

#### 4. Chemoselectivity

- Electrophilic reagent: most nucleophilic C=C reacts fastest.



#### 5. Diastereoselectivity

a. Endocyclic Olefins

Rickborn J. Org. Chem. 1965, 30, 2212.



Destabilizing steric interaction between reagent and axial Me



Attack principally from this face





Small difference for products: but larger difference for reagent approach in transition state.

### b. Exocyclic Olefins



Henbest J. Chem. Soc., Chem. Commun. 1967, 1085.

- The effective size of the reagent increases with increasing solvent polarity, i.e. the solvation shell of the reagent increases in size.
- Small reagent preference: axial attack and 1,3-diaxial interactions vary with size of the reagent



- Large reagent preference: equatorial attack and 1,2-interactions (torsional strain) are relatively invariant with the size of the reagent

Carlson J. Org. Chem. 1967, 32, 1363.

c. Allylic Alcohols (endocyclic)

Henbest J. Chem. Soc. 1957, 1958; Proc. Chem. Soc. 1963, 159.



- Diastereoselectivity and rate (ca. 10x) of reaction accelerated by unprotected allylic alcohol.



- Original proposal for the origin of selectivity:



H-bonding to proximal peroxide oxygen directs epoxidation to the same face as OH group and accelerates/facilitates the reaction.

 $R = H, {}^{t}Bu$  12

- Equivalent to the ground state eclipsed conformation of acyclic allylic alcohols:



 Metal-catalyzed epoxidations of allylic alcohols exhibit a more powerful directing effect and rate acceleration (*ca.* 1000x). Metal bound substrate (as an alkoxide) delivers olefin to metal bound peroxide (tighter association than H-bonding).



Sharpless Aldrichimica Acta 1979, 12, 63.

- This may also be utilized to chemoselectively epoxidize an allylic alcohol vs. unactivated olefin.

#### d. Allylic Alcohols (exocyclic)



Vedejs and Dent J. Am. Chem. Soc. 1989, 111, 6861.

e. Acyclic Allylic Alcohols

Generalizations: Eclipsed Conformations in m-CPBA Epoxidation HO  $\mathbb{R}^2$  $\mathbb{R}^2$  $R^{1}$ ΗÓ OH ΩН  $\mathbb{R}^4$  $R^4$  $\mathbb{R}^2$  $\mathbb{R}^2$ R<sup>3</sup> R<sup>3</sup>  $R^1$ Erythro Product Threo Product OMet  $R^1$  $\mathbb{R}^2$  $\mathbb{R}^2$ **R**3 OMet

Bisected Conformations in Metal-Catalyzed Epoxidation

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#### -Examples



threo

Oxidation Reactions Dale L. Boger

- f. Refined Models for Directed Epoxidation of Acyclic Allylic Alcohols
  - Peracid Mediated Epoxidation Sharpless *Tetrahedron Lett.* **1979**, 4733.
    - 1. Trans antiperiplanar arrangement of O-O bond with alkene C=C.
    - 2. H-bonding to distal oxygen of peroxide through the lone pair out of the plane of reaction.



- 3. Lone pair in plane of reaction provides  $\pi^*$ -lone pair (n- $\pi^*$ ) stabilization. 120°
- 4. Secondary isotope effect suggests that the formation of the C-O bonds is asynchronous.
- Eclipsed Conformations in *m*-CPBA Epoxidation





Sharpless Aldrichimica Acta 1979, 12, 63.

threo product

erythro product

- Transition-metal Catalyzed Epoxidation



- Curtin-Hammett Principle:
  - The reactive conformation is not necessarily related to the ground state conformation.
  - The substrate is forced into a non-ground state conformation due to the geometrical constraints of the reaction.
- Bisected Conformations in Metal-Catalyzed Epoxidation



#### Take Home Problem



Hanessian J. Am. Chem. Soc. 1990, 112, 5276.

#### h. Other Directed Epoxidations

- Studies suggest axial -NHCBZ delivers syn epoxide while equatorial does not.



Presence of H-bonding, directing substituent enhances rate and yield of reaction.

Witiak *J. Med. Chem.* **1989**, *32*, 214. Rotella *Tetrahedron Lett.* **1984**, *30*, 1913.



Mohamadi Tetrahedron Lett. 1989, 30, 1309.



Ollis Tetrahedron Lett. 1991, 32, 2687.

## 6. Scope and Limitations



- a. Olefin geometry is maintained.
- b. Reaction is **diastereospecific**: the stereochemistry of the reactant and product bear a definite relationship to one another.
- c. Reaction can be buffered to prevent epoxide opening. The  $pK_a$  of parent acid is much lower than that of the peracid, and the peracid is not nearly as acidic. Reaction requires the protonated peracid so the buffer must not deprotonate the peracid but should deprotonate the product carboxylic acid.



- So, choose bases (Na<sub>2</sub>CO<sub>3</sub>, NaHCO<sub>3</sub>, Na<sub>2</sub>HPO<sub>4</sub>) to deprotonate only the RCOOH formed.
- d. Also, at higher temperatures, a free radical scavenger may be used to avoid peracid decomposition.
- e. Common Side Reactions
  - 1. Baeyer-Villiger reactions of ketones (and aldehydes)



#### 7. Epoxidation of Electron-Deficient Olefins

a.  $\alpha$ , $\beta$ -unsaturated esters: can choose a strong peracid or vigorous reaction conditions



b.  $\alpha,\beta$ -unsaturated ketones: Baeyer-Villiger competes with epoxidation



Baeyer-Villiger Reaction

Solution: different conditions (reagents) are needed

## **B.** Additional Methods for Epoxidation of Olefins

Epoxidation







For this reaction: Initial reaction is reversible and is not capable of generating the axial delivery product because of the destabilizing 1,3-interactions in the transition state required for epoxide closure.

#### Summary of Exocyclic Epoxide Formation

#### Note: defined conformation of 6-membered ring required for comparisons



BnO

### 5. Summary of Other Methods of Epoxide Formation

a. Cyclization of Halohydrins



## C. Catalytic Asymmetric Epoxidation

## 1. Sharpless Catalytic Asymmetric Epoxidation (AE Reaction)

Key references: *Asymmetric Synthesis*: Vol. 5, Morrison, J.D. Ed., Acad. Press, Chapters 7 and 8. Reviews: Katsuki, Martin *Org. React.* **1996**, *48*, 1. *Comprehensive Org. Syn.*; Vol. 7, pp. 389-436.

Sharpless J. Am. Chem. Soc. **1980**, *102*, 5974; **1987**, *109*, 5765; **1981**, *103*, 6237; **1984**, *106*, 6430; **1991**, *113*, 106, 113; **1987**, *109*, 1279.

1. The enantiofacial selectivity of the reaction is general and dependable for assignments.



2. Selectivity is catalyst dependent

Ti(O <sup>i</sup> Pr) <sub>4</sub>	95% ee	Zr(O <sup>i</sup> Pr) <sub>4</sub>	10% ee
AI(O <sup>t</sup> Bu) <sub>3</sub>	5% ee	Hf(O <sup>i</sup> Pr) <sub>4</sub>	3% ee
MoO <sub>2</sub> (acac) <sub>2</sub>	15% ee	Nb(OEt) <sub>3</sub>	5% ee
VO(O <sup>i</sup> Pr) <sub>3</sub>	17% ee	Ta(O <sup>i</sup> Pr) <sub>5</sub>	39% ee
Sn(O <sup>i</sup> Pr) <sub>4</sub>	NR		

3. Chemical Conversion

yield

unsubstituted	$R^1 = R^2 = R^3 = H$	95% ee	15% (isolation problematic)
trans-disubstituted	$R^{1}, R^{3} = H$	>95% ee	70-90%
cis-disubstituted	$R^2$ , $R^3 = H$	85-95% ee	70-90%
1,1-disubstituted	$R^1 = R^2 = H$	85-95% ee	70-90%
trans-1,1,2-trisub.	$R^1 = H$	>95% ee	70-90%
<i>cis</i> -1,1,2-trisub.	$R^2 = H$	>90% ee	70-90%
1,2,2-trisubstituted	R <sup>3</sup> = H	>95% ee	70-80%

4. Sharpless asymmetric epoxidation is one of the best known and practical asymmetric reactions utilized in organic synthesis. Discovered in 1980, this catalytic process utilizes an optically active ligand to direct a transition metal catalyzed reaction. Epoxidation from a single face of a prostereogenic allylic alcohol:



(Useful in ligand design- predictable and repetitive structural units which reduce number of diastereomeric transition states)

a. Match of Ti / Tartrate such that a single complex dominates the chemistry.

The concentration of each complex in the mixture of complexes is dictated by thermodynamic considerations. However, it could not be predicted that a single species would dominate the Ti-tartrate equilibrium mixture and that this species would be so kinetically active. The tartrate-Ti complex is perfectly matched and slight deviations in the ligand structure or change in the metal alkoxide reduces the effectiveness of the reaction.

b. Ligand acceleration of reaction.

This is not essential but extremely beneficial. It ensures that the enantioselective version of the reaction (the one in which the auxiliary ligand is present) will be the most viable kinetic pathway.

c. Steric and stereoelectronic features of reaction control enantioselectivity.

Stereoelectronic:

- 1. Alkyl peroxide is activated by bidentate coordination to the Ti(IV) center.
- 2. The olefin is constrained to attack the coordinated peroxide along the O-O bond axis. (stereoelectronic effect)
- 3. The epoxide C-O bonds are formed simultaneously.

Steric factors:

- 1. Bulky hydroperoxide is forced to adopt a single orientation when bound in a bidentate fashion.
- 2. The allylic alkoxide is thereby restricted to reaction at a single coordination site on the metal center. Steric interactions of the bound substrate with the catalyst framework provide for the kinetic resolution patterns.
- 3. Efficient catalytic turnover provided by the labile coordinated ester, permitting rapid alkoxide-alcohol exchange.

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Scope	$B^2 R^1$				
Epoxidation with Titanium-Tartrate Catalysts					
unsubstituted ( $R^1 = R^2 = R^3 = H$ )	R3 ∽	95% ee	15%		
<i>trans</i> -disubstituted ( $R^1 = R^3 = H$ )	$R^2 = CH_3$	>95% ee	45%		
	$R^2 = n - C_{10} H_{21}$	>95% ee	79%		
	$R^2 = (CH_2)_3 CH = CH_2$	>95% ee	80%		
	$R^2 = Me_3Si$	>95% ee	60%		
	$R^2 = {}^tBu$	>95% ee			
	$R^2 = Ar$	≥95% ee	0-90%		
	$R^2 = CH_2OBn$	98% ee	85%		
	$R^2 = \bigvee_{0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\$	>95% ee	78-85%		
	$R^2 = BnO $	>95% ee	70%		
	$R^{2} = \underbrace{O_{n}}_{BnO} \underbrace{O_{n}}_{S}$	>99% ee	76%		
	$R^2 = \bigcup_{z \\ z \\$	>99% ee	70%		
	$B^2 =$	<u>&gt;93% ee</u>	70-88%		
$cic$ disubstituted ( $P^2 - P^3 - H$ )	Ph $TO - OSiEt_3$ BnO $T\xi$ R R = OBn, OH	00% 00	0.00/		
	$P^{1} = CH_{2}Ph$	90 % ee	02 /0		
	$R^{1} = CH OBn$		0370		
	$R^{1} = 0$	92% ee 96% ee	55%		
1,1-disubstituted ( $R^1 = R^2 = H$ )	R <sup>3</sup> = -cyclohexyl	>95% ee	81%		
	$R^3 = n - C_{14} H_{29}$	>95% ee	51%		
	$R^3 = tBu$	85% ee			
<i>trans</i> -1,1,2-trisubstitued (R <sup>1</sup> = H)	$R^3 = R^2 = Ph$	>95% ee	87%		
	$R^3 = Me, R^2 = Et$	>95% ee	79%		
	$R^3 = Me, R^2 =$	>95% ee	70%		
	$R^3 = Me, R^2 = O$	>95% ee	92%		
<i>cis</i> -1,1,2-trisubstituted ( $R^2 = H$ )	$R^3 = CH_3, R^1 = Bn$	91% ee	90%		
1,2,2-trisubstituted ( $R^3 = H$ )	$R^2 = (CH_2)_2CH = C(CH_3)_2, R^1 = CH_3$	>95% ee	77%		
	$R^2 = CH_3, R^1 = (CH_2)_2CH = C(CH_3)_2$	94% ee	79%		
tetrasubstituted	$R^3 = CH_3, R^2 = Ph, R^1 = Bn$	94% ee	90%		
	ОН	94% ee	90%		

Allylic Alcohols Undergoing Kinetic Resolution with Relative Rates >15 at -20 °C  $R^{1} = n-C_{6}H_{13}$  $R^{1} = n-C_{4}H_{9}, R^{3} = CH_{3}$ 





Poor Substrates for Asymmetric Epoxidation or Kinetic Resolution Catalyzed by Titanium-Tartrates



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#### 5. Kinetic Resolution

- Sharpless J. Am. Chem. Soc. **1981**, 103, 6237. Pure Appl. Chem. **1983**, 55, 589.
- Sharpless epoxidation product is different from the directed oxidation of allylic alcohols by peracids (*m*-CPBA).



Sato Tetrahedron Lett. 1987, 28, 6351.

Sharpless epoxidation E OH

#### 6. Total Synthesis of the L-Hexoses

Sharpless, Masamune *Science* **1983**, *220*, 949. *Tetrahedron* **1990**, *46*, 245.

"Reagent-Control" Strategy: selection of reagent dictates ultimate absolute stereochemistry of reaction products irrespective of stereofacial bias of substrate.

"Substrate-Control" Strategy: stereochemistry of reaction products dictated by the inherent stereofacial bias of the substrate.

Masamune *Angew. Chem., Int. Ed. Eng.* **1985**, *97*, 1. Sharpless *Chemica Scripta* **1985**, *25*, 71.



-Reiterative two-carbon extension cycle employed for the synthesis of all L-hexoses:



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For a, c, e, and g: 1. Pummerer reaction, 2. DIBAL-H, 3. Deprotection. For b, d, f, and h: 1. Pummerer reaction, 2.  $K_2CO_3/MeOH$ , 3. Deprotection.

-Payne Rearrangement

Payne J. Org. Chem. 1962, 27, 3819.

Base-catalyzed (aq. NaOH) migration of  $\alpha$ , $\beta$ -epoxy alcohols:



- 2. However, steric factors and relative alcohol acidities  $(1^{\circ} > 2^{\circ} > 3^{\circ})$  are additional factors which determine the ultimate composition of the equilibrium mixture.
- 3. The more reactive epoxide can be trapped by strong nucleophiles (e.g., PhSH).



Emil Fischer attended the lectures of A. Kekule, worked with A. Baeyer as a student and received the 1902 Nobel Prize in Chemistry for his work on carbohydrate and purine syntheses. Discoverer of the Fischer indole synthesis using arylhydrazones, he utilized phenylhydrazine to derivatize carbohydrates as crystalline solids for characterization that enabled him to elucidate their chemistry and structure. From the work of Le Bel and van't Hoff he knew glucose must have 16 stereoisomers and in the now classic studies synthesized most of them and established the correct configuration of glucose. He proposed structures for uric acid, caffeine, theobromide, xanthine, and guanine and later synthesized theophylline and caffeine (1895), uric acid (1897), and coined the term purine. By 1900 he prepared more than 130 derivatives including hypoxanthine, xanthine, theobromide, adenine, and guanine. In 1914, he made glucose derivatives and from them the nucleosides. He is responsible for the "lock and key" analogy for describing enzyme-substrate interactions, prepared the D- and L-amino acids with fractional crystallization resolution and made a peptide of 18 amino acids. He is also among the first to implement safety precautions (ventilation) and designed the first exhaust system put into general use.

W. Haworth received the 1937 Nobel Prize in Chemistry for his investigations on the structure determination of carbohydrates (cyclic-monosaccharides, disaccharides, and polysaccharides) including their derivitization as methyl ethers and vitamin C. The latter was accepted with wide acclaim and Haworth was also one of the first to prepare vitamin C, the first vitamin to be prepared by synthesis. This made it available to the world population for the treatment of scurvy, eliminating the need for treatment with fresh limes or lemons.

#### 2. Jacobsen Epoxidation



Styrene still low 70% ee

Ph Me	- NaOCI	5 mol% cat.	Ph, Me
· · · · · · · · · · · · · · · · · · ·		CH <sub>2</sub> Cl <sub>2</sub>	н
<i>R</i> , <i>R-</i> 1	88%	84% ee	1 <i>R</i> ,2 <i>S</i>
<i>S</i> , <i>S</i> - <b>2</b>	54%	49% ee	1 <i>S</i> ,2 <i>R</i>
<i>S</i> , <i>S</i> - <b>3</b>	87%	80% ee	1 <i>S</i> ,2 <i>R</i>
<i>S</i> , <i>S</i> - <b>4</b>	56%	55% ee	1 <i>S</i> ,2 <i>R</i>
<i>S</i> , <i>S</i> - <b>5</b>	81%	92% ee	1 <i>S</i> ,2 <i>R</i>
catalyst 5			
PhMe	84%	92% ee	cat. 0.04 equiv
<i>p</i> -CIC <sub>6</sub> H <sub>4</sub> Me	67%	92% ee	0.04 equiv
	72%	98% ee	0.02 equiv
NC	96%	97% ee	0.03 equiv
	63%	94% ee	0.15 equiv
PhCO <sub>2</sub> Me	65%	89% ee	0.10 equiv

The above studies focused on steric effects of the catalyst.

- Electronic effects of the catalyst

Jacobsen J. Am. Chem. Soc. 1991, 113, 6703.



3. Chiral Dioxiranes



Shi J. Am. Chem. Soc. 1996, 118, 9806. J. Am. Chem. Soc. 1997, 119, 11224. J. Org. Chem. 1997, 62, 2328. - Examples of trans and trisubstituted olefins




# E. Baeyer-Villiger and Related Reactions



Note: Sometimes the Baeyer-Villiger reaction is used not only for preparing carboxylic acids or esters, but also for ROH.

- Mechanism: (Peracid nucleophilic addition reaction)



- Notes:

- 1. Alkyl group that migrates does so with retention of configuration.
- 2. The more electron-rich (most-substituted) alkyl group migrates in preference (in general).

 $^{t}$ alkyl >  $^{s}$ alkyl > benzyl > phenyl >  $^{n}$ alkyl > methyl

Thus, methyl ketones invariably provide acetates.







# F. Beckmann Rearrangement and Related Reactions

- An analogous rearrangement reaction can be utilized to prepare lactams and amides.

## 1. Beckmann Rearrangement

Heldt *Org. React.* **1960**, *11*, 1 Gawley *Org. React.* **1988**, *35*, 1. *Comprehensive Org. Syn.*, Vol. 7, pp 689-702.



- Prepared from the oxime.

Beckmann Ber. 1886, 89, 988.

- A wide range of leaving groups and catalysts have been utilized.

1. Group anti to oxime leaving group migrates.

2. The alkyl group migrates with retention of configuration.



Note: Isomerization of oxime or its activated derivative may occur under the reaction conditions and fragmentation to a nitrile may compete when the migrating center is 3°.



## 2. Curtius Rearrangement

Smith *Org. React.* **1946**, *3*, 337. *Comprehensive Org. Syn.*, Vol. 6, pp 806-816.

Curtius Ber. 1890, 23, 3023. (initially not recognized)



- (PhO)<sub>2</sub>P(O)N<sub>3</sub> (DPPA) is a useful reagent for the direct conversion of carboxylic acids to acyl azides under *in situ* conditions for the rearrangement.
 Shiori, Yamada *Tetrahedron* **1974**, *30*, 2151.

- R group migrates with retention of configuration.

-Examples



#### 3. Hofmann Rearrangement

Lane Org. React. **1946**, *3*, 267. Comprehensive Org. Syn., Vol. 6, pp 806-816.



- Reagents employed include basic hypohalides, Pb(OAc)<sub>4</sub>, PhI(OCOCF<sub>3</sub>)<sub>2</sub>, PhIO.

- R group migrates with retention of configuration.

## 4. Schmidt Reaction

Schmidt Angew. Chem. **1928**, *36*, 511. Wolff Org. React. **1946**, *3*, 307. Comprehensive Org. Syn., Vol. 6, pp 817-821.

The Schmidt Reaction is a general name for what are three individual reactions:

A. Conversion of Ketones to Amides



- Most studied of Schmidt variants, similar to Beckmann Rearrangement.
- Asymmetric variant (Aube) utilizes chiral alkyl azide donors which provide products in high diastereoselectivity.
- Bicyclic ketones slightly favor migration of less substituted group, opposite of Beckmann.
- Reactivity: dialkyl ketone > alkyl,aryl ketone > diaryl ketone > carboxylic acid or alcohol.



B. Conversion of Carboxylic Acids to Amines

 $\sim$ 

- Acid catalyst usually H<sub>2</sub>SO<sub>4</sub>, PPA, TFA-TFAA, or sometimes Lewis acid.
- Good results when R = alkyl, hindered alkyl or aryl.
- Advantage in process length over Hofmann and Curtius Rearrangements, but more drastic conditions.
- Mechanism controversy.

Koldobskii Russ. Chem. Rev. 1978, 47, 1084.

Hayes J. Org. Chem. 1979, 44, 3682.



C. Conversion of Aldehydes to Nitriles

HO

$$R \xrightarrow{O} H$$
 + HN<sub>3</sub>  $\xrightarrow{H^+ \text{ cat.}} R \xrightarrow{=} N$ 

- Acid catalyst usually H<sub>2</sub>SO<sub>4</sub>, can be Lewis acid.

- Schmidt reaction is the usual byproduct under these conditions to provide formamide.
- More common method is to convert aldehyde to oxime with hydroxylamine, followed by dehydration.
- Aromatic aldehydes are good substrates.



70%

HO

Elmorsy Tetrahedron Lett. 1995, 36, 2639.

Houff J. Org. Chem. 1957, 22, 344.

#### 5. Lossen Rearrangement

Lane *Org. React.* **1946**, *3*, 269 and 366. *Comprehensive Org. Syn.*, Vol. 6, pp 821-823 (basic conditions) pp 824-825 (neutral/acidic)

Lossen Liebigs Ann. Chem. 1872, 161, 347.



# G. Olefin Osmylation (Dihydroxylation)



First use: Criegee *Justus Liebigs Ann. Chem.* **1936**, *522*, 75. Milas *J. Am. Chem. Soc.* **1936**, *58*, 1302.



[2 + 2] Mechanism:
Sharpless J. Am. Chem. Soc. 1977, 99, 3120.
Jorgensen Chem. Rev. 1990, 90, 1483.
Sharpless Angew. Chem. Int. Ed. Eng. 1993, 32, 1329.

[3 + 2] Mechanism: Böseken *Recl. Trav. Chim.* **1922**, *41*, 199. Criegee *Angew. Chem.* **1938**, *51*, 519. Criegee *Justus Liebigs Ann. Chem.* **1942**, *550*, 99.

2. Scope Comprehensive Org. Syn., Vol. 7, pp 437-448.

Chem. Rev. 1980, 80, 187.

1. OsO<sub>4</sub> is an electrophilic reagent, and it behaves as a large reagent.

- 2. Strained, unhindered olefins react faster than unstrained, sterically hindered olefins.
- 3. Electron-rich olefins react faster than electron-deficient olefins.

4. Diastereospecific, with attack on the C=C from the least hindered face.

-but OsO4 is expensive, volatile, and toxic

-various improvements: 1) only catalytic amount of OsO4 used

2) use of an equivalent osmium salt (K<sub>2</sub>OsO<sub>2</sub>(OH)<sub>4</sub>)

Examples:

 $H_2O_2$ , cat. OsO<sub>4</sub> <sup>t</sup>BuOOH, cat. OsO<sub>4</sub> *J. Am. Chem. Soc.* **1936**, *58*, 1302; **1937**, *59*, 2345; *Synthesis* **1989**, 295. Sharpless *J. Org. Chem.* **1978**, *43*, 2063.

$$0 \qquad N^+ O^- \text{ or } N^+ O^-$$

Tetrahedron Lett. **1976**, 1973; Tetrahedron Lett. **1980**, *21*, 449.

Note: Johnson-Lemieux Oxidation (NaIO<sub>4</sub> and catalytic OsO<sub>4</sub> cleaves C=C bonds, forms diol and then aldehyde: *J. Org. Chem.* **1956**, *21*, 478).

$$R \xrightarrow{R} R \xrightarrow{\text{cat. OsO}_4} R \xrightarrow{HO} OH \xrightarrow{O} 2 \xrightarrow{O} R \xrightarrow{O} H$$

-Alternative reagents to OsO<sub>4</sub>:

KMnO<sub>4</sub>: *Synthesis* **1987**, 85.

 $RuO_4$  or  $RuO_2$ -2H<sub>2</sub>O/RuCl<sub>3</sub>-H<sub>2</sub>O + cooxidant More vigorous than OsO<sub>4</sub> and olefin cleavage is observed

## 3. Diastereoselectivity

a. Endocyclic Olefins



Note: *m*-CPBA comes in *cis* to the allylic -OH, but OsO<sub>4</sub> comes in *trans* to the allylic -OH. So, we obtain:













Predominant conformation at 25 °C





trans to allylic alcohol





#### b. Acyclic Systems

- OsO<sub>4</sub> is delivered from face opposite the allylic hydroxyl group in the preferred (H-eclipsed) ground state conformation. *m*-CPBA (*cis* to allylic alcohol 120°)



OsO<sub>4</sub> (*trans* to allylic alcohol 120°)

- Kishi model (empirical model). So, for the OsO<sub>4</sub> oxidation: *Tetrahedron Lett.* **1983**, *24*, 3943, 3947. *Tetrahedron* **1984**, *40*, 2247.



- Preferred ground state conformation (higher diastereoselection when R<sup>3</sup> is not H).
- Also observed with allylic ethers



electronic effect of alkoxy substituent directs osmylation to reverse face



- Higher diastereoselectivity of Zvs. E isomer implies eclipsed conformation important.



- As R<sup>1</sup> increases in size relative to OX, the selectivity increases.
- X-effect (steric effect): smaller X provides better selectivity.

3. Panek:

- There are two additional empirical models used to explain the acyclic allylic alcohol induced diastereoselectivity:

1. Houk Model (inside alkoxy model): *Science* **1981**, *231*, 1108.



non ground state conformation

2. Vedejs Model: *J. Am. Chem. Soc.* **1989**, *111*, 6861.

J. Am. Chem. Soc. 1990, 112, 4873.

 $R^{2} \xrightarrow{H}_{OX} R^{4}$ 

OsO<sub>4</sub> is large reagent; steric effects between reagent & allylic substituent are important factors

selectivity increases:

- a) OH > OR
- b) now E > Z
- c) with very large R<sup>1</sup>: inside alkoxy or anti Si

c. Exocyclic Olefins: Vedejs J. Am. Chem. Soc. 1989, 111, 6861.



d. H-Bonding and Directed Dihydroxylation



Oxidation Reactions Dale L. Boger



- OsO<sub>4</sub>-TMEDA can also be utilized to effect chemoselectivity by preferentially oxidizing allylic alcohols over unactivated (non allylic -OH) double bonds.

Donohue Tetrahedron Lett. 1996, 37, 3407; Tetrahedron Lett. 1997, 38, 5027.

## 4. Comparison of Diol Stereochemistry Generated by Different Methods



b. OsO<sub>4</sub>



# H. Asymmetric Dihydroxylation Reaction Catalyzed by OsO<sub>4</sub> and Related Reagents

 $\mathbb{R}^1$ 

R<sup>2</sup>

DHQ

## 1. Catalytic Methods

R<sup>1</sup>/

 $\mathbb{R}^2$ 

DHQD

Н

 $R^3$ 

K<sub>2</sub>OsO<sub>2</sub>(OH)<sub>4</sub> or OsO<sub>4</sub>

K<sub>3</sub>Fe(CN)<sub>6</sub>, K<sub>2</sub>CO<sub>3</sub>

<sup>t</sup>BuOH-H<sub>2</sub>O

Sharpless Catalytic Asymmetric Dihydroxylation (AD) Reaction, Review: Chem. Rev. 1994, 94, 2483.

- J. Am. Chem. Soc. **1980**, *102*, 4263. J. Am. Chem. Soc. **1988**, *110*, 1968. J. Am. Chem. Soc. **1989**, *111*, 1123. Tetrahedron Lett. **1989**, *30*, 2041. Tetrahedron Lett. **1990**, *31*, 2833, 2999, 3817. J. Org. Chem. **1991**, *56*, 4585.
- J. Org. Chem. **1992**, *57*, 2768.

J. Am. Chem. Soc. **1992**, 114, 7568, 7570.

- *Tetrahedron Lett.* **1993**, *34*, 7375.
- J. Org. Chem. **1993**, *58*, 3785
- J. Am. Chem. Soc. 1994, 116, 1278.

Angew. Chem., Int. Ed. Eng. 1996, 35, 448.



Second Generation Ligands (Alk = DHQ or DHQD)

H,

R<sup>3</sup>

First Generation Ligands (Alk = DHQ or DHQD)



Catalyst:  $OsO_4$  (1.25 mol%) or  $K_2OsO_2(OH)_4$  (0.05 mol%, nonvolatile) Solvent: <sup>*t*</sup>BuOH or cyclohexane, H<sub>2</sub>O, K<sub>2</sub>CO<sub>3</sub> Ligands: DHQD or DHQ (0.2 to 0.004 mol%) Oxidant to recycle  $OsO_4$ :  $K_3Fe(CN)_6$ 

Note: Ligand accelerated catalysis, Sharpless Angew. Chem., Int. Ed. Eng. 1995, 34, 1059.

-Addition of pyr led to marked increase in rate of formation of cyclic osmate ester from alkene and OsO<sub>4</sub>. First noted by Criegee *Justus Liebigs Ann. Chem.* **1936**, *522*, 75; **1940**, *550*, 99.

-The "Criegee effect" (or the facilitation of osmylation step by nitrogen donor) has been examined with quinuclidine and cinchona alkaloid ligands: Sharpless *J. Am. Chem. Soc.* **1994**, *116*, 1278, 8470.

-Results:

Good to excellent selectivity (ee%) for:



#### 2. Stoichiometric methods

-Tomioka J. Am. Chem. Soc. 1987, 109, 6213.

Using 1 as a chiral ligand, good selectivity for:

CO<sub>2</sub>CH<sub>3</sub>

AD mix- $\alpha$ 

90%, >95% ee

(AD)





Poor selectivity for:

- Product does not seem to reflect most favorable steric approach for [3 + 2] cycloaddition but is more easily rationalized by [2 + 2].



 $R = CO_2H$ 

OH

R = H $R = SO_2Ar$ 

ŌR

CO<sub>2</sub>CH<sub>3</sub>

NaN<sub>3</sub>

OH

 $N_3$ 

 $CO_2CH_3$ 

-Vancomycin central amino acid: Boger J. Org. Chem. 1996, 61, 3561; J. Org. Chem. 1997, 62, 4721.



-Appears to be general for the class of olefins ArCH<sub>2</sub>CH=CH<sub>2</sub>

resolution,  $\alpha = 2.30$ 

► R = BOC

TFA, 88%

# I. Sharpless Catalytic Asymmetric Aminohydroxylation (AA)

- Reviews: Transition Metals for Fine Chemicals and Organic Synthesis; Beller, M., Bolm, C., Eds.; Wiley-VCH: Weinheim, 1998.

Angew. Chem. Int. Ed. Eng. 1996, 35, 451, 2810 and 2813. J. Am. Chem. Soc. 1998, 120, 1207. Angew. Chem. Int. Ed. Eng. 1997, 36, 1483 and 2637. Tetrahedron Lett. 1998, 39, 2507 and 3667.

- Development of AA reaction (reactions generally run with 4 mol% catalyst (K<sub>2</sub>OsO<sub>2</sub>(OH)<sub>4</sub>) and 5 mol% ligand ((DHQ)<sub>2</sub>-PHAL or (DHQD)<sub>2</sub>-PHAL): in situ generation and reactions of RN=OsO<sub>3</sub>.

a. Sulfonamide variant

 $-\alpha,\beta$ -unsaturated esters:



 $-\alpha,\beta$ -unsaturated amides: no enantioselection, AA gives racemic products. -reaction works well without a ligand.



-Reversal of regioselectivity using (DHQ)<sub>2</sub>-AQN ligand



# J. Ozonolysis

Comprehensive Org. Syn., Vol. 7, pp 541-591.



-Zn/HOAc

-Me<sub>2</sub>S

-Ph<sub>3</sub>P

Note: Alternative recombination mechanisms observed with ketone vs. aldehyde ozonides.

V. Oxidation of Alcohols

Note: Ozonide explosive

when isolated or concentrated.

Comprehensive Org. Syn., Vol. 7, pp 251-327.

ozonide

Stoichiometries:

3 R <sub>2</sub> CHOH + 2 CrO <sub>3</sub> + 6 H <sup>+</sup>	 $3 R_2C=O + 2 Cr^{3+} + 6 H_2O$
5 R <sub>2</sub> CHOH + 2 MnO <sub>4</sub> + 6 H <sup>+</sup>	 5 R <sub>2</sub> C=O + 2 Mn <sup>2+</sup> + 8 H <sub>2</sub> O
3 R <sub>2</sub> CHOH + 2 MnO <sub>4</sub>	 3 R <sub>2</sub> C=O + 2 Mn <sup>2+</sup> + 2 H <sub>2</sub> O

# A. Chromium-based Oxidation Reagents

1. Collins Reagent: Collins Tetrahedron Lett. 1968, 3363; Org. Syn. 1972, 52, 5.

cycloaddition

-CrO3-pyr2, alkaline oxidant

-Hygroscopic, red crystalline complex

-Can also be isolated and stored, but usually generated in situ by CrO<sub>3</sub> + pyr (Sarett Reagent)

J. Am. Chem. Soc. 1953, 75, 422. Note: Add CrO<sub>3</sub> to pyr, not pyr to CrO<sub>3</sub> (inflames)

-Good for acid sensitive substrates

-Radcliffe modification: in situ preparation and use in CH<sub>2</sub>Cl<sub>2</sub>, J. Org. Chem. 1970, 35, 4000.

 $\begin{array}{rcl} \mathsf{RCH}_2\mathsf{OH} & \longrightarrow & \mathsf{RCHO} & & & \\ & & \mathsf{no} \text{ over oxidation} \end{array}$ 

2. Jones Reagent: Jones J. Chem. Soc. 1953, 2548; J. Chem. Soc. 1946, 39.

$$CrO_3$$
 in aq.  $H_2SO_4$ /acetone  $\longrightarrow$   $H_2Cr_2O_7 \xrightarrow{H_2O}$  2  $H_2CrO_4$ 

-Acetone solvent serves to protect substrate from over oxidation

-Not good for oxidations of acid sensitive substrates

$$RCH_{2}OH \longrightarrow RCHO \xrightarrow{H_{2}O} \begin{bmatrix} OH \\ H_{2}O \\ H \end{bmatrix} \longrightarrow RCOOH$$

-Acidic oxidation conditions, H<sup>+</sup> catalyzed reactions possible -Another common side reaction for primary alcohol oxidation:



-**Brown oxidation**: run under two phase reaction conditions, Et<sub>2</sub>O-H<sub>2</sub>O, *J. Org. Chem.* **1971**, *36*, 387. -[R<sub>4</sub>N]<sub>2</sub>Cr<sub>2</sub>O<sub>7</sub> *Synth. Commun.* **1980**, 75. Oxidation of allylic/benzylic alcohols under neutral conditions.

3. Pyridinium Chlorochromate (PCC): Corey and Suggs Tetrahedron Lett. 1975, 2647.



 HCI + CrO<sub>3</sub> + pyr
 Chloride facilitates formation of chromate ester (slow step in oxidation reaction)
 Stable, commercially available reagent

-Reaction usually carried out in  $CH_2CI_2$ 



-Usually only need 1-2 equiv of Cr(VI) reagent (Jones & Collins usually require 6 equiv) -PCC slightly acidic which can cause side reactions, for example:



-To avoid H<sup>+</sup> catalyzed side reaction, use sodium acetate buffer:



-Can take advantage of acidity in PCC reaction (Boger and Corey Tetrahedron Lett. 1978, 2461):





-Aromatic amine effect: dampens reactivity so only selective oxidation of allylic alcohols may be observed PCC, pyr (2%) in CH<sub>2</sub>Cl<sub>2</sub> Chem. Phys. Lipids **1980**, *27*, 281.

PCC, 3,5-dimethylpyrazole (2%) in  $CH_2CI_2$ PCC, benzotriazole (2%) in  $CH_2CI_2$  *Lipids* **1980**, *27*, 281. *J. Org. Chem.* **1983**, *48*, 4766.

CHACIA

Synth. Commun. **1985**, *15*, 393.

-3 Å MS accelerate rate of oxidation (PCC and PDC) *J. Chem. Soc., Perkin Trans.* 1 **1982**, 1967. -**Pyridinium fluorochromate**, related stable reagent that is slightly less acidic (Corey and Suggs) -Other related reagents include bipyridinium chlorochromate (BPCC), DMAP chlorochromate, quinolinium chlorochromate, and pyrazinium chlorochromate.

4. Pyridinium Dichromate (PDC): Corey Tetrahedron Lett. 1979, 399.

-Stable, commercially available reagent

-Not as acidic as PCC

-Oxidations slower than PCC or other oxidation reagents

-Can selectively oxidize 1° alcohols to aldehyde or carboxylic acid depending on solvent

-2° alcohols oxidize only slowly- sometimes require an acid catalyst (pyridinium trifluoroacetate or 3 Å MS)

- Note: Original reagent made in search of more acidic reagent, attempted preparation of pyridinium trifluoroacetyl chromate (Boger, Ph.D. dissertation, Harvard Univ., 1980).

Other related reagents include nicotinium dichromate, quinolinium dichromate, and imidazolium dichromate

- Note: Cr based reagents will oxidize amines and sulfides. Substrates with these functional groups must be oxidized with other reagents (PDC will sometimes leave sulfides unaffected).

## 5. CrO<sub>3</sub>-H<sub>5</sub>IO<sub>6</sub>: Zhao and Reider *Tetrahedron Lett.* 1998, *39*, 5323.

-Catalytic in CrO<sub>3</sub> (1-2%, Industrial scale chromium-based oxidations)

- -1° alcohols ----- carboxylic acids with no racemization



# **B. Manganese-based Oxidation Reagents**

# 1. Manganese Dioxide (MnO<sub>2</sub>)

- -Very mild oxidizing reagent, special "activated" MnO2 preparation required
- -Selectively oxidizes allylic and benzylic alcohols to aldehyde or ketone
- -Requires nonpolar solvent (CH<sub>2</sub>Cl<sub>2</sub>, CHCl<sub>3</sub>, pentane, benzene, etc.)
- -Oxidizing reagent : substrate = 10:1 (10 wt. equiv)



-No isomerization of conjugated double bond. Cr-based reagent will cause problem due to H<sup>+</sup> catalysis -NiO<sub>2</sub>: alternative reagent that behaves similar to  $MnO_2$ 

-Oxidize alcohol to ester, no isomerism of C=C bond (Corey and Ganem J. Am. Chem. Soc. 1968, 90, 5616)



## 2. KMnO<sub>4</sub>

a. KMnO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub>

-Good for RCH<sub>2</sub>OH ----- RCOOH

-Reaction runs in aqueous solution because of the insolubility of KMnO<sub>4</sub> in organic solvents

b. KMnO<sub>4</sub> in <sup>t</sup>BuOH-5% NaH<sub>2</sub>PO<sub>4</sub> aqueous buffer (Masamune *Tetrahedron Lett.* **1986**, *27*, 4537).

-For highly oxygenated systems where multiple side reaction pathways are present with other oxidants



## 3. R<sub>4</sub>NMnO<sub>4</sub>

-Same capabilities as KMnO<sub>4</sub> but soluble in organic solvents

## 4. Cu(MnO<sub>4</sub>)-6H<sub>2</sub>O and BaMnO<sub>4</sub>



Lee J. Am. Chem. Soc. **1983**, *105*, 3188; J. Org. Chem. **1982**, 47, 2790. Hauser J. Am. Chem. Soc. **1984**, *106*, 1862. Jefford J. Chem. Soc., Chem. Commun. **1988**, 634. Hahn Tetrahedron Lett. **1989**, *30*, 2559.

Also Calcium Hypochlorite (Ca(OCl)<sub>2</sub>): McDonald *Tetrahedron Lett.* **1993**, *34*, 2741.

# **C.** Other Oxidation Reagents

## 1. RCH<sub>2</sub>OH or R<sub>2</sub>CHOH oxidation

a. Sodium Hypochlorite (NaOCI): Used primarily to oxidize alcohols or aldehydes to carboxylic acids.

$$RCH_2OH \longrightarrow RCHO \longrightarrow RCOOH$$

b. Sodium Chlorite (NaOCl<sub>2</sub>) Pinnick Tetrahedron **1981**, 37, 2091.

$$\begin{array}{c} H_2O \\ RCH_2OH \\ \hline MeOH \\ \hline RCO_2Me \\ \hline MeOH \\ \hline RCO_2Me \\ \hline \end{array}$$

-Good for oxidation of sensitive aldehydes to carboxylic acids

c. Ag<sub>2</sub>O and Ag<sub>2</sub>CO<sub>3</sub>

$$\begin{array}{c} Ag_2CO_3 \\ \hline Ag_2O \\ \hline RCH_2OH \\ \hline AgO \\ \hline AgO \\ \end{array} RCHO \\ \hline AgO \\ \hline AgO$$

## 2. *m*-CPBA and NalO<sub>4</sub> (Amine and sulfide oxidation)

$$R^{S}R \xrightarrow{NalO_4} R^{S}R \xrightarrow{m-CPBA} OO_{XS}$$

3. TPAP, [Pr<sub>4</sub>NRuO<sub>4</sub>]



**4. Dess-Martin Oxidation:** Dess and Martin *J. Am. Chem. Soc.* **1978**, *100*, 300; *J. Am. Chem. Soc.* **1979**, *101*, 5294; *J. Org. Chem.* **1983**, *48*, 4155; *J. Am. Chem. Soc.* **1991**, *113*, 7277.





-Precursor to Dess-Martin reagent

-Insoluble in almost all organic solvents but is soluble in DMSO and oxidations in this solvent work effectively (25 °C): Frigerio *Tetrahedron Lett.* **1994**, *35*, 8019.



5. Nitroxide: Torii J. Org. Chem. 1990, 55, 462; Skarzewski Tetrahedron Lett. 1990, 31, 2177.



6. Trityl Cation: Jung J. Am. Chem. Soc. 1976, 98, 7882.



**7.** Pt-O<sub>2</sub>: Fuchs and Hutchinson *J. Am. Chem. Soc.* **1987**, *109*, 4755. -Good for oxidation of 1° alcohols directly to carboxylic acids



#### 8. Via Hypohalite

Just *Tetrahedron Lett.* **1980**, *21*, 3219. Doyle *Tetrahedron Lett.* **1980**, *21*, 2795. Nozaki *Tetrahedron Lett.* **1982**, *23*, 539. Hannessian *Synthesis* **1981**, 394. Kanemitsu *Chem. Pharm. Bull.* **1989**, *37*, 2394. Stevens *Tetrahedron Lett.* **1982**, *23*, 4647.

-For example: (Bu<sub>3</sub>Sn)<sub>2</sub>O, Br<sub>2</sub> NiBr<sub>2</sub>, (PhCO<sub>2</sub>)<sub>2</sub> NIS, Bu<sub>4</sub>NI NaBrO<sub>3</sub>, CAN NaOCI, HOAc



9. Oppenauer Oxidation: see Meerwein-Pondorff-Verley reduction, Review: Org. React. 1951, 6, 207.



-Suitable for oxidation of 2° alcohol in the presence of 1° alcohol which do not react

-Good for oxidation of substrates containing easily oxidized functional groups

Posner Angew. Chem., Int. Ed. Eng. 1978, 17, 487; Tetrahedron Lett. 1977, 3227; 1976, 3499.



Boger J. Org. Chem. 1984, 49, 4045.

Oxidations of Alcohols Dale L. Boger

10. Ruthenium Tetroxide (RuO<sub>4</sub>)  $RCH_2OH \longrightarrow RCO_2H$ R<sub>2</sub>CHOH - $R_2C=O$ -*in situ* generation from RuO<sub>2</sub>-NaIO<sub>4</sub> or RuO<sub>2</sub>-NaOCI: Tetrahedron Lett. 1970, 4003. J. Org. Chem. 1987, 52, 1149. from RuCl<sub>3</sub>-H<sub>5</sub>IO<sub>6</sub>: Sharpless J. Org. Chem. 1988, 53, 5187. J. Org. Chem. 1981, 46, 3936. -Note: RuO<sub>4</sub> attacks C=C bonds and will cleave 1,2-diols. **11. TEMPO** RCO<sub>2</sub>H RCH<sub>2</sub>OH -with cat. NaOCI or NaBrO<sub>2</sub>: J. Org. Chem. 1985, 50, 1332. J. Org. Chem. 1987, 52, 2559. CHO J. Org. Chem. 1990, 55, 462. Dess and Martin J. Org. Chem. 1983, 48, 4155. -with cat. Ca(OCI)<sub>2</sub>: Corey J. Am. Chem. Soc. 1996, 118, 1229. Smith J. Am. Chem. Soc. 1989, 111, 5761. Tetrahedron Lett. 1982, 2335. D. Swern Oxidation and Related Oxidation Procedures 1. Swern Oxidation: J. Org. Chem. 1976, 41, 957 and 3329. Reviews: Chem. Rev. 1967, 67, 247. Tetrahedron 1978, 34, 1651. Synthesis 1981, 165. S<sup>+</sup>Cl CH<sub>3</sub> DMSO + CI [DMSO-(COCI)<sub>2</sub>] Org. React. 1990, 34, 297. CH<sub>3</sub> S<sup>+</sup>−O CH<sub>3</sub> >= [DMSO-TFAA] DMSO + TFAA

-Also DMSO-Ac<sub>2</sub>O, DMSO-SO<sub>3</sub>/pyr, DMSO-SOCl<sub>2</sub>, DMSO-Cl<sub>2</sub>

2. Corey-Kim Oxidation: Tetrahedron Lett. 1974, 287; J. Am. Chem. Soc. 1972, 94, 7586.

N-CI -[DMS-NCS]

3. Moffatt-Pfitzner Oxidation (DCC-DMSO): J. Am. Chem. Soc. 1963, 85, 3027; J. Am. Chem. Soc. 1965, 87, 5670.



-All Swern type complexes react with alcohols, in presence of base, to give "activated alcohol complexes".



-Fredericamycin A: Boger J. Am. Chem. Soc. 1995, 117, 11839.



Note: **Kornblum oxidation**, *J. Am. Chem. Soc.* **1957**, *79*, 6562 via DMSO oxygen based displacement of halide (usually activated: benzylic or  $\alpha$ -keto halide) to provide aldehyde or ketone.

- Common byproducts of Swern oxidations are (methylthio)methyl ethers and the amount varies with DMSO coactivator and reaction temperature. It is derived from alcohol trap of a Pummerer rearrangement intermediate: CH<sub>2</sub>=<sup>+</sup>SCH<sub>3</sub>.

Note: **Pummerer rearrangement** is also a formal oxidation reaction Pummerer *Chem Ber.* **1909**, *42*, 2282; *Chem Ber.* **1910**, *43*, 1401.



Reviews: Org. React. 1991, 40, 157. Comprehensive Org. Syn., Vol. 7, pp 194-206.

# **VI. Reduction Reactions**

# A. Conformational Effects of Carbonyl Groups on Reactivity



- So, additions to cyclic ketones are thermodynamically and kinetically favorable.

## 1. Reversible Reactions



- Thermodynamically more favorable for cyclohexanone due to the loss of torsional strain.
- Thermodynamic effect of sp<sup>2</sup> hybridization: the strain free acyclic system does not suffer the strain destabilization of the ground state, so little gain going from sp<sup>2</sup>-> sp<sup>3</sup>.

## 2. Irreversible Reactions (kinetic effect is pertinent)



\*Implication: One can selectively reduce a cyclic carbonyl in the presence of an acyclic carbonyl: under kinetic or thermodynamic conditions.

- Synthetic consideration: may not have to protect acyclic ketone.

## 3. Additional Conformational Effects



- Substituents on the ring benefit from a reduced A value since one axial substituent is removed and the opened bond angle of the carbonyl further reduces the remaining 1,3-diaxial interaction (greater distance).

# **B.** Reactions of Carbonyl Groups

- Three primary reactions which we will discuss relative to nucleophilic addition:



- Each reagent will display competitive reactions among the three primary pathways. Nature of each reagent and the nature of X will determine the course.

# C. Reversible Reduction Reactions: Stereochemistry

- Meerwein-Pondorff-Verley Reduction Reverse reaction is the Oppenauer Oxidation).

Reversible Reduction

Review: Djerassi Org. React. 1951, 6, 207.



- Mechanism: Reversible Intramolecular Hydride Transfer.



- Since it is freely reversible, one obtains the most stable alcohol from the reduction. The reaction is driven to completion by use of excess reagent and by distilling off the acetone formed in the reaction.
- But, the A value of OH = 0.7 kcal/mol and  $K = e^{-\Delta G/RT}$  would predict a 72:28 ratio. Why does the experimental result give better selectivity than the prediction (95:5 > 72:28)?
- We must not only consider the A value, but the larger 1,2-destabilizing steric interactions of the isopropoxy group in the transition state for the equatorial delivery of the hydride: that is, the larger  $\Delta E$  in the transition state.

# D. Irreversible Reduction Reactions: Stereochemistry of Hydride Reduction Reactions and Other Nucleophilic Additions to Carbonyl Compounds

1. Cyclic Ketones a. Examples



- 1,3-interactions are more remote (i.e., smaller), when compared to the 1,2-interactions (larger).
- The destabilizing 1,3-interactions increase as the size of the reagent increases or with the size of the 1,3-diaxial substituents while the 1,2-interactions are not nearly so sensitive to the size of reagents or the size of the substituents.

- For the reduction of cyclohexanone and derivatives, we see the following generalizations:



Increased steric hinderance of the 1,3-diaxial interactions (Me/reagent) make axial hydride delivery more difficult.



Serious 1,3-interactions preclude axial delivery of the hydride, but the axial Me's have no effect on the 1,2-interactions.



Much larger reagent! Now, even the 1,3-H/reagent interactions are large while the 1,2-torsional interactions are not affected. Brown *J. Am. Chem Soc.* **1972**, *94*, 7154.

- Comparison of Diastereoselectivity of Hydride Reducing Reagents.

ή	Bu	O Me	Me Me Me		Me Me Me O
Reagent	% axial OH	% axial OH	% axial OH	% endo OH	% endo OH
NaBH <sub>4</sub>	20	25	58	86	14
LiAIH <sub>4</sub>	8	24	63	89	8
LiAl(OMe) <sub>3</sub> H	9	69	92-98	98	1
LiAl(O <sup>t</sup> Bu) <sub>3</sub> H	9	36	95	94	6
( <sup>s</sup> Bu) <sub>3</sub> BHLi	93	98	99.8	99.6	0.4
(Me <sub>2</sub> CHCHMe) <sub>3</sub> BH	ILi >99	>99	-	>99	no reaction
LiMeBH <sub>3</sub>	2	13	66	-	-

Brown J. Am. Chem. Soc. 1970, 92, 709; 1972, 94, 7159; 1976, 98, 3383.

- Stereochemistry of Other Representative Nucleophilic Additions to Cyclohexanones.



Reagent	% axial OH	% axial OH	% axial OH
MeLi/Et <sub>2</sub> O	65	85	100
MeMgI/Et <sub>2</sub> O	53	84	100
EtMgBr/Et <sub>2</sub> O	71	95	100
PhMgBr/Et <sub>2</sub> O	49	91	100
PhLi	58	88	-

Note: Typically alkyllithium reagents behave as large nucleophiles and approach from the equatorial direction

Ashby Chem. Rev. 1975, 75, 521.

V. Grignard received the 1912 Nobel prize in Chemistry for his discovery of the role of organomagnesium halides in organic synthesis which he made as a graduate student working with P. A. Barbier.

## b. Origin of Diastereoselectivity





Dunitz angle: *Tetrahedron* **1974**, *30*, 1563. Good overlap and ~ approaches bond angle required of sp<sup>3</sup> hybridization. Better  $\sigma - \pi^*$ overlap (FMO) for nucleophilic addition. - Cyclic Ketones: Steric vs. Torsional Interactions.



- As the nucleophile gets larger, this steric interaction with the  $C_3$  - axial H gets worse - equatorial approach becomes the preferred line of attack.

- For C<sub>3</sub> and C<sub>5</sub>-H substituents, this torsional interaction is worse than the steric interaction of Nu<sup>-</sup> / C<sub>3</sub> and C<sub>5</sub>-H's (for small, unhindered Nu<sup>-</sup>).

- All H<sup>-</sup> reductions have transition states that resemble reactant geometry.
- Diastereoselectivity is influenced by:
  - 1) Steric interactions (1,3-diaxial interactions)
  - 2) Torsional strain (1,2-interactions)
  - 3) Remote electronic effects (electrostatic interactions)
- In contrast to early theories of "product development control" / late transition state vs "steric approach control" / early transition state.

#### c. Baldwin's Rules and Dunitz Angle of Attack

Recent review: *Acc. Chem. Res.* **1993**, *26*, 476. Dunitz angle of attack: *Tetrahedron* **1974**, *30*, 1563.

- Nucleophile addition to carbonyl compound takes place not at 90° (perpendicular) to the C=O, but at an angle of ~105°  $\pm$  5°



- First detailed by Eschenmoser Helv. Chem. Acta 1970, 53, 2059.
- Expanded and elaborated to: Baldwin's Rules for Ring Closure *J. Chem. Soc., Chem. Commun.* **1974**, 734, 736.
- Vector analysis and approach trajectory on sp<sup>2</sup>, sp, and sp<sup>3</sup> systems.
- For intramolecular reactions the favored pathways are those where the length and nature of the linking chain enables the terminal atoms to achieve proper geometry for reaction.



#### Baldwin's Rules

Rule 1: tetrahedral (sp <sup>3</sup> ) systems	Rule 2: trigonal (sp <sup>2</sup> ) systems	Rule 3: digonal (sp) systems
(a) 3 to 7- <i>exo-tet</i> are favored	(a) 3 to 7- <i>exo-trig</i> are favored	(a) 3 to 4- <i>exo-dig</i> are disfavored
(b) 5 to 6- <i>endo-tet</i> are disfavored	(b) 3 to 5- <i>endo-trig</i> are disfavored	(b) 5 to 7- <i>exo-dig</i> are favored
	(c) 6 to 7- <i>endo-trig</i> are favored	(c) 3 to 7- <i>endo-dig</i> are favored

-Baldwin: Approach Vector Analysis (Vector Sum establishes the approach of reagent).




- With enones, the substituents in the 5,6-positions play a more dominant role in determining stereochemical outcome of nucleophilic addition to the carbonyl.

### 2. Acyclic Carbonyl Groups

Review: Comprehensive Org. Syn., Vol. 1, pp 49-75.



- Empirical Model



- Large group L eclipsed with R and not the carbonyl, Nu<sup>-</sup> approach from side of small (S) group.
- Stereoselectivity observed usually modest.
- But, most populated (most stable) conformation of acyclic ketone would be the eclipsed carbonyl conformation.



This is not the observed stereochemistry!

Note: Reaction is not from the ground state carbonyl eclipsed  $R_L$  conformation, i.e., the

ground state conformation is not the reactive conformation (Curtin-Hammett Principle).

## b. Felkin (-Ahn) Model

- Large group (L) trans antiperiplanar to forming bond



- Here, L is either the largest group (sterically) or the group whose bond to the  $\alpha$ -carbon provides the greatest  $\sigma$ - $\pi$ <sup>\*</sup> overlap (e.g. halide, alkoxy groups).
- Computational studies of Ahn confirmed this is the most stable transition state and extended it to α-chloroketones. In the latter case, this minimizes destabilizing electrostatic interactions between the halogen (electronegative group) and the incoming nucleophile.

Ahn further refined the Felkin Model, i.e., **Felkin-Ahn Model**, as shown below



Note: Karabatose proposed a similar model as an alternative to the original Cram empirical rationalization based on computational studies that suggested the most favored conformation would have the medium-sized group eclipsing the carbonyl and addition of  $H^-$  occurs from the side of the small substituent.



Karabatose J. Am. Chem. Soc. **1967**, 89, 1367.

The model incorporating the Burgi-Dunitz angle has been even further refined to reflect the impact of substantially different sized R groups on the carbonyl. As the size difference between the two substituents increases, the incoming nucleophile would try to avoid the larger one and the approach vector would be tilted away from the normal plane by an angle referred to as the Flippin-Lodge angle ( $\alpha_{FI}$ ).



Heathcock Aldrichchim. Acta 1990, 23, 99.

Examples:



adjacent o bonds considered

axial attack stabilization



equatorial attack stabilization

1. C-H bond is more electron-rich, better  $\sigma$  e-donation in stabilization of the developing  $\sigma^*$  of bond formation than C-C bond, therefore axial approach preferred.

# 2. $\sigma$ C-O > $\sigma$ C-H > $\sigma$ C-C > $\sigma$ C-S.

3. Nucleophile can affect intensity of effect,  $\sigma^*$  (LUMO of developing bond).

LUMO, veffect, voverlap/stabilization

- (a) Electron donation of solvent (polarity) will increase  $\sigma^*$ ,  $\uparrow$  LUMO,  $\downarrow$  overlap,
  - equatorial attack, i.e. preferentially 🕴 axial attack
- (b) Counterion effect: its ability to complex/stabilize  $\sigma^*$ , lower  $\sigma^* \uparrow$  effect,  $\uparrow$  axial attack.
- (c) Electron-rich Nu<sup>-</sup>:  $\int \sigma^*$  nucleophile,  $\oint$  overlap/effect,  $\oint$  axial attack  $\int$  equatorial attack.
- 4. Heteroatom at 4-position exhibits preference for axial attack: n  $\sigma^*$  stabilization.

## d. Additional Models

- Product development/steric approach control

Dauben: J. Am. Chem. Soc. 1956, 78, 2579.

- Torsional strain (preference for staggered conformation in the transition state)

Felkin:	Tetrahedron Lett. <b>1968</b> , 2199, 2205.	
Houk:	J. Am. Chem. Soc. <b>1987</b> , <i>109</i> , 908. J. Am. Chem. Soc. <b>1988</b> , <i>110</i> , 3228. Science <b>1986</b> , <i>231</i> , 1108.	higher level calculations than Ahn or Cieplak: C-C > C-H electron donation.
Houk-Trost	J. Am. Chem. Soc. <b>1991</b> , <i>113</i> , 5018. J. Am. Chem. Soc. <b>1993</b> , <i>115</i> , 10992. Angew. Chem., Int. Ed. Eng. <b>1992</b> , <i>31</i> , 1019 cf. Chemtracts: Org. Chem. <b>1988</b> , <i>1</i> , 65. Houk Trost:	
Dringiples of least motion	<i>a. Am. onem. coc.</i> <b>1301</b> , <i>100</i> , 3000.	
- Finciples of least motion Yates:	J. Am. Chem. Soc. <b>1974</b> , 96, 3141,	
- Stereoelectronic control and smalles Toromanoff:	t change in conformation <i>Tetrahedron</i> <b>1980</b> , <i>36</i> , 2809.	
- Electrostatic model Kahn, Hehre, Chamberlin:	J. Am. Chem. Soc. <b>1987</b> , <i>109</i> , 650, 663, 666. J. Am. Chem. Soc. <b>1986</b> , <i>108</i> , 7396, 7399.	
- Electronic nonequivalence of carbon	yl faces	
Klein:	Tetrahedron Lett. <b>1973</b> , <i>23</i> , 4307. Tetrahedron <b>1974</b> , <i>30</i> , 3349.	
- Dissymmetric $\pi$ -electron clouds		
Fukui: Burgess, Liotta:	J. Am. Chem. Soc. <b>1976</b> , <i>98</i> , 4054. J. Am. Chem. Soc. <b>1984</b> , <i>106</i> , 4849.	
- Antiperiplanar approach of Nu <sup>-</sup> to oth - Preferential attack antiperi Ahn: Dunitz, Eschenmoser:	ner bonds planar to the best electronic acceptor <i>Tetrahedron Lett.</i> <b>1976</b> , 155, 159. <i>Nouv. J. Chem.</i> <b>1977</b> , <i>1</i> , 61. <i>Top. Curr. Chem.</i> <b>1980</b> , <i>88</i> , 145. <i>Helv. Chim. Acta</i> <b>1980</b> , <i>63</i> , 1158.	
- Preferential attack antiperip	planar to the best electronic donor	
Cieplak Model:	J. Am. Chem. Soc. <b>1981</b> , 103, 4540. J. Chem. Soc., Perkin Trans. 1 <b>1997</b> , 530.	
- Others Ashby: Wigfield:	J. Org. Chem. <b>1976</b> , 41, 2890. J. Org. Chem. <b>1976</b> , 41, 2396; <b>1977</b> , 42, 1108.	
- Bent bond or Tau-bond model		
Vogel, Eschenmoser: Winter:	Chem. Lett. <b>1987</b> , 215. J. Chem. Educ. <b>1987</b> , <i>64</i> , 587.	
- Hyperconjugation		
Coxon, Luibrand:	Tetrahedron Lett. 1993, 34, 7097.	

## e. Comparative Examples of Diastereoselection

- Diastereoselection depends on the size of the ketone substituent. Kobayashi, Ohno *J. Am. Chem. Soc.* **1988**, *110*, 4826.



### f. Chelation-controlled Addition

- Review: Acc. Chem. Res. 1993, 26, 462.
- 1,2-chelation-controlled additions (α-chelation-controlled additions) also formulated by Cram: *J. Am. Chem. Soc.* **1959**, *81*, 2748.
   So please do not refer to as anti-Cram addition as many have!



- Examples of 1,2-chelation-control



-But to invert the stereochemistry



- Still J. Am. Chem. Soc. 1980, 102, 2117, 2118 and 2120. >>> Monensin synthesis



- Note that non chelation-controlled additions exhibit relatively modest stereoselectivities, but chelation-controlled additions can exhibit very good stereocontrol.



chelation model



versus



Nakata Tetrahedron Lett. 1983, 24, 2653 and 2661.





-1,3-Chelation-Controlled Additions (β-chelation-controlled additions):

- First highly selective method was developed with  $R_3B/NaBH_4$  and later with  $Et_2BOCH_3$ -NaBH<sub>4</sub> in THF-MeOH:

Pai *Tetrahedron* **1984**, *40*, 2233. Shapiro *Tetrahedron Lett.* **1987**, *28*, 155. (*syn:anti* 98:2)

- Dibal-H (> 92:8 syn:anti) Kiyooka Tetrahedron Lett. 1986, 27, 3009.



- Examples of anti-1,3-diol preparation:

Evans, Carreira, Chapman J. Am. Chem. Soc. 1988, 110, 3560.





HOAc, low temperature protonates carbonyl, activation for reduction, no reduction without HOAc

- Note that Me<sub>4</sub>NBH(OAc)<sub>3</sub> is unreactive toward carbonyl unless carbonyl oxygen is protonated.
- The key to success is the lack of reactivity of the reagent in the intermolecular reaction, which permits formation of complex:



Davis Tetrahedron 1988, 44, 3761.

# g. Felkin Addition to Other $\pi\text{-}\mathsf{Systems}$

- Reetz Angew. Chem., Int. Ed. Eng. 1989, 28, 1706.



- Rationalize the following results:



# E. Aluminum Hydride Reducing Agents

- LiAIH<sub>4</sub> coordinates with carbonyl oxygen and activates it towards reduction.



- Rate of addition decreases as additional alkoxy groups are placed on AI:  $k_1 > k_2 > k_3 > k_4$ , especially for hindered ketones.
- The aluminum alkoxide hydrides are stable in that they do not disproportionate.
- Reagents have been designed which are less reactive, thus more selective:

- Reactivity: LiAIH<sub>4</sub> > LiAI(OR)H<sub>3</sub> > LiAI(OR)<sub>2</sub>H<sub>2</sub> > LiAI(OR)<sub>3</sub>H

$$LiAIH_4 \xrightarrow{3 \text{ ROH}} LiAIH(OR)_3$$
- Most common are LiAIH(OCH<sub>3</sub>)<sub>3</sub> and LiAIH(O<sup>t</sup>Bu)<sub>3</sub>

- Examples:



- Lithium trialkoxyaluminumhydrides can be chemoselective.



- this is actually dimeric in solution, so effective bulk greater than LiAIH(O $^t$ Bu)\_3
- degree of stereocontrol is concentration dependent with LiAIH(OCH<sub>3</sub>)<sub>3</sub> (dimer and higher aggregates) but not LiAIH(O<sup>t</sup>Bu)<sub>3</sub> (monomeric)

# F. Borohydride Reducing Agents

- Borohydrides (Na<sup>+</sup>, Li<sup>+</sup>, K<sup>+</sup>, Zn<sup>2+</sup>) are nucleophilic H<sup>-</sup> sources.
- Alkoxyborohydrides (RO)<sub>3</sub>B<sup>-</sup>H tend to disproportionate.

Na (RO)<sub>3</sub>BH NaBH<sub>4</sub>

- Therefore,  $k_1 \sim k_2 \sim k_3 \sim k_4$  for the stepwise reactions and you can't typically moderate the reactivity (electronically) by introducing alkoxy substituents.
- However, substitution with bulky alkyl groups on boron will moderate reactivity and diastereoselectivity.



- NOTE: on diborane



- THF optimally provides uncomplexed, monomeric BH<sub>3</sub> available for reduction (or other reactions).

- In ether (B<sub>2</sub>H<sub>6</sub>), or in the presence of amines (BH<sub>3</sub>•NR<sub>3</sub>), less reactive borane-complexes are formed.



- NaBH<sub>4</sub> requires activation of the carbonyl by hydrogen-bonding with alcoholic solvent for reductions. Therefore the reactions are run in alcoholic solvents. The reagent slowly reacts with solvent: MeOH (30 min) > EtOH (slow) >  ${}^{i}$ PrOH (stable) >  ${}^{t}$ BuOH (stable).



- But trialkylborohydrides (R<sub>3</sub>B<sup>-</sup>HM<sup>+</sup>) are reactive enough to use in ethereal solvents (e.g., THF) and don't require this activation of C=O by solvent.

- LiBH<sub>4</sub> is also more reactive than NaBH<sub>4</sub> (Li<sup>+</sup> coordinates better to carbonyl oxygen, activating the carbonyl toward attack by  $H^-$ ).
- Differences in reactivity can give rise to Chemoselectivity:



# **G. Hydride Reductions of Functional Groups**



- DIBAL-H + RC≡N (at 0 °C) gives good yields of RCHO



- or other specially selected amides will cleanly give aldehyde:



Brown J. Am. Chem. Soc. 1961, 83, 2016 and 4549.

3. Weinreb amide

- A more recent and now widely employed method for controlled reduction and nucleophilic addition (i.e. RLi) to carboxamides was introduced by Weinreb (*Tetrahedron Lett.* **1981**, *22*, 3815).



4. The Rosenmund reduction is a much older method that may be utilized to convert carboxylic acids to aldehydes via the acid chloride.

RCO<sub>2</sub>H 
$$\longrightarrow$$
 RCOCI  $\xrightarrow{H_2}$  RCHC  
Pd/BaSO<sub>4</sub> RCHC

Rosenmund *Chem. Ber.* **1921**, *54*, 425. Review: *Org. React.* **1948**, *4*, 362. Burgstahler *Synthesis* **1976**, 767.

5. Bu<sub>3</sub>SnH will selectively reduce selenoesters to aldehydes without further reduction by a free radical mechanism.



acyl radical Pfenninger *Helv. Chim. Acta* **1980**, *63*, 2328.

6. McFadyen-Stevens reduction: J. Chem. Soc. 1936, 584.



Boger J. Org. Chem. 1988, 53, 1405. (Prodigiosin)

- Reactions of Borane (BH<sub>3</sub>) ----- an electrophilic reagent



# H. Characteristics of Hydride Reducing Agents

## **Borohydrides**

# 1. NaBH₄

- Review: Aldrichim. Acta 1979, 12, 3.
- Mild reducing agent used primarily for the reduction of aldehydes and ketones.
- Also available as NaBD<sub>4</sub>, NaBT<sub>4</sub> (although somewhat less reactive) for labelling.
- H<sup>+</sup> workup of NaBH<sub>4</sub> reductions may form BH<sub>3</sub> (if excess NaBH<sub>4</sub> used)
- $\cdots$  might react with other functional groups (this is the origin of the discovery of BH<sub>3</sub>) and its hydroboration of alkenes).
- NaBH<sub>4</sub> reacts with H<sub>2</sub>O, CH<sub>3</sub>OH at 25 °C ----- ca. 30 min reacts only slowly with EtOH (good solvent), is stable in PrOH or BuOH and can also be used in diglyme but the reduction is very slow.

# 2. NaCNBH<sub>3</sub>

- Less reactive than NaBH<sub>4</sub>.
- Stable in aqueous solutions at pH > 3 (permits activation of C=O by protonation).
- Can be used in CH<sub>3</sub>OH.
- Can be used in THF but reduction very slow.
- Reductive amination:



very good way to make 2° amines

the protonated imine is more reactive than aldehyde.

- Review: Comprehensive Org. Syn., Vol. 8, pp 25-78. This review also discusses the diastereoselectivity of cyclic/acyclic imine/iminium reductions with comparisons to the corresponding ketone. Many similarities but also many important distinctions.

## 3. LiBH<sub>4</sub>

- More reactive than NaBH<sub>4</sub> (Li<sup>+</sup> activates C=O by coordination).
- Can be used in THF, diglyme and non protic solvents.
- Excellent reagent for mild reductions.



- clean 1,2-reduction!
- NaBH<sub>4</sub> does not typically reduce esters

## 4. Me<sub>4</sub>NBH<sub>4</sub>, Et<sub>4</sub>NBH<sub>4</sub>

- Soluble in nonpolar aprotic solvents (e.g., THF, benzene).

#### 5. Zn(BH<sub>4</sub>)<sub>2</sub>

- Good in instances of potential competing 1,4-reduction.
- Zn<sup>+2</sup> coordinates to and activates carbonyl.
- Good for chelation-controlled reductions.



- Review: Narasimhan Aldrichim. Acta 1998, 31, 19.

## 6. NaBH<sub>4</sub>/CeCl<sub>3</sub> (catalytic amount (0.1 equiv))

- Luche J. Am. Chem. Soc. 1981, 103, 5454; 1978, 100, 2226.
- Readily enolizable carbonyl can be reduced.

- also true of other nucleophiles



- clean addition, no enolization



Imamoto J. Am. Chem. Soc. 1989, 111, 4392.

- No conjugate reduction: clean 1,2-reduction.

-Reagent comparisions for 1,2- vs. 1,4-reduction



# 7. NaBH<sub>4</sub>-CoCl<sub>2</sub>

- Selective reduction of nitriles.



Ganem J. Am. Chem. Soc. 1982, 104, 6801

- But will also reduce olefins, allylic alcohols, and ketones.



Swato Chem. Pharm. Bull. 1990, 33, 361.

# 8. $Me_4NBH(OAc)_3$ and $NaBH(OAc)_3$

- Unreactive, no intermolecular ketone reductions.
- OAc can exchange with substrate alcohol and provides opportunity for intramolecular reductions (CH<sub>3</sub>CN-HOAc). Used to form *anti*-1,3-diols from acyclic  $\beta$ -hydroxyketones.

# 9. KBH(O<sup>i</sup>Pr)<sub>3</sub>

- Stable (does not undergo disproportionation reaction as with other alkoxy BH), mild reagent.
- Used in THF and only reduces aldehydes and ketones; bulky reagent so it gives equatorial attack on cyclohexanones.

10.9-BBN

- Stable solid; more stable and less reactive/more selective.
- Gives good 1,2- vs. 1,4-reduction selectivity.
- Very selective reagent.
- 11. Li-Selectride



- Large reagents, near exclusive cyclohexanone equatorial H<sup>-</sup>delivery.
- Very bulky.
- Very reactive and give preferential 1,4-reduction.



Ganem J. Org. Chem. 1976, 41, 2194.

## 12. LiBHEt<sub>3</sub> (Super Hydride)

- Very powerful (stronger than  $LiAlH_4$ ), so good for reductions which are otherwise slow.



PhCN



# 14. LiAlH<sub>4</sub>

- LiAID<sub>4</sub> and LiAIT<sub>4</sub> are also available for labelling.
- Reductions can be conducted in ether, THF, DME, diglyme.
- Workup best conducted by 1,2,3 method:

for 1.0 g LiAlH<sub>4</sub> used, add 1 mL H<sub>2</sub>O (slowly) then 2 mL of 10% ageous NaOH, then 3 mL H<sub>2</sub>O  $\longrightarrow$  Al salts are now easily filtered

# 15. NaAlH<sub>4</sub>

- Not quite as reactive as LiAlH<sub>4</sub>, but still quite strong reducing agent.
- THF, DME, diglyme solvents.

# 16. LiAIH(O<sup>t</sup>Bu)<sub>3</sub>

# LiAIH(OEt)<sub>3</sub>

LiAIH(OMe)<sub>3</sub> \_\_\_\_\_ this is the largest reagent (due to aggregation) of the three

- Use in THF, diglyme.

- Review on alkoxyaluminum hydrides: Org. React. 1985, 34, 1; 1988, 36, 249.

# 17. NaAIH<sub>2</sub>(OCH<sub>2</sub>CH<sub>2</sub>OMe)<sub>2</sub> = REDAL-H



- Xylene, benzene, toluene good solvents.

- Good for epoxide openings (especially if able to be directed by proximal OH), halide and sulfonate reduction.

- Because there is no metal cation (Li<sup>+</sup>, K<sup>+</sup>, etc.) in the reagent, very good for directed reductions (i.e., chelation-controlled reductions).
- Good for 1,2- vs. 1,4-reduction.



- Also, use of noncoordinating hydrocarbon solvent (toluene) provides better control than THF for reductions to RCHO.

### 19. AIH<sub>3</sub> AIH<sub>3</sub>-NR<sub>3</sub>

- Park J. Org. Chem. 1990, 55, 2968.



20.  $Bu_3SnH-Bu_4NX$ , X = CI, F

- Shibata Chem. Lett. 1991, 307.



- Can alkylate intermediate directly:





## 21. PhMe<sub>2</sub>SiH



Fujita J. Org. Chem. 1988, 53, 5405 and 5415.

# 22. (EtO)<sub>3</sub>SiH/catalytic Ti(O<sup>i</sup>Pr)<sub>4</sub>

- No solvent, stable to air.

- Reduces esters to alcohols in the presence of a wide variety of functional groups.

RCO₂Et → RCH₂OH

- Buchwald J. Org. Chem. 1992, 57, 3751.

# I. Asymmetric Carbonyl Reductions

- Review: Comprehensive Org. Syn., Vol. 3, pp 159.
- Itsuno Org. React. 1998, 52, 395.

## 1. Catalytic Asymmetric Reduction

- Corey J. Am. Chem. Soc. 1987, 109, 5551.





- General, catalytic, enantioselective synthesis of  $\alpha$ -amino acids.
- Corey J. Am. Chem. Soc. 1992, 114, 1906; Tetrahedron Lett. 1992, 33, 3431, 3435.
- Review: Corey Angew. Chem., Int. Ed. Eng. 1998, 37, 1985.

## 2. Stoichiometric Reagents for Asymmetric Carbonyl Reductions

- Bothner-By J. Am. Chem. Soc. **1951**, 73, 846 (camphor ligand and first report of an asymmetric reduction with optically active reagent). Most subsequent efforts have used chirally modified LiAIH<sub>4</sub>.



Mosher J. Am. Chem. Soc. 1972, 94, 9254; J. Org. Chem. 1973, 38, 1870.



R-alcohol

- Vigneron *Tetrahedron Lett.* **1974**, 2065; **1979**, 2683; *Tetrahedron* **1976**, *32*, 939; used in cationic cyclization approach to steroids.
- Early work with acetylenic ketones, W. S. Johnson



asymmetric total synthesis of steroids via cation-olefin cyclizations

Johnson J. Am. Chem. Soc. 1977, 99, 8339.



Seebach Chem. Ber. 1974, 107, 1748.

- LiAIH<sub>4</sub>/N-methylephedrine/N-ethylaniline or N-ethyl 2-pyridylamine (high ee's for enones: >90% ee)
  - Koga Tetrahedron Lett. 1980, 21, 2753.





Ipc<sub>2</sub>BCI



Midland *J. Org. Chem.* **1989**, *54*, 159. Brown *J. Org. Chem.* **1989**, *54*, 4504.

### 3. Enzyme-catalyzed Ketone Reductions have been extensively used in organic synthesis

- Review: Comprehensive Org. Syn., Vol. 3, pp 183.

# J. Catalytic Hydrogenation

- Amine and sulfur-containing groups will tend to poison catalysts (especially Pd/C).



- 1. H<sub>2</sub> delivery from least hindered face of double bond.
- 2. Cis H<sub>2</sub> delivery

- activity of catalysts toward C=C: Pd > Rh > Pt > Ni > Ru

- 3. Increasing substitution on olefin decreases reactivity.
  - note potential isomerization of olefin and H-migration/allylic exchange in  $D_2/T_2$  hydrogenations
- 4. Alkynes are more reactive than alkenes. Reagents have been developed to selectively prepare olefins from alkynes without over reduction:
  - Lindlar catalyst: Pd(BaSO<sub>4</sub>)
    - only reduce alkyne to alkene (cis)



5. Many kinds of catalyst, but most common are 5% ~ 10% Pd/C or PtO2



- PtO<sub>2</sub> is particularly good for imine reduction to amines.



- Amines will poison Pd/C catalyst, but not Pt(0).

- Raney-Ni (Ra-Ni) also useful (especially for removing sulfide groups).

- (Ph<sub>3</sub>P)<sub>3</sub>RhCl Wilkinson's catalyst (homogeneous).

- a homogeneous catalyst (e.g., dissolve in organic solvent for reaction).

- Review: Org. React. 1976, 24, 1.

- One of the earliest, successful examples of catalytic asymmetric synthesis entailed the homogeneous hydrogenation of enamides to provide amino acid derivatives

G. Wilkinson received the Nobel Prize in Chemistry in 1973 for deducing the structure of metallocenes.		CO₂H NHAc	H <sub>2</sub> , 1 a Rh-diphosi	atm	CO <sub>2</sub> H	٨c
	DIOP	DIPAMP	NORPHO	S BPPM	BINAP	BPPFA
	73% ee	34% ee	90% ee	99% ee	98% ee	93% ee
	1	Kagan <i>J.</i> C	Chem. Soc., (	Chem. Comn	<i>1971.</i> 48	31.

Knowles (Monsato) J. Chem. Soc., Chem. Commun. **1972**, 10; J. Am. Chem. Soc. **1977**, 99, 5946.

# K. Dissolving Metal Reductions

# 1. Birch Reduction

- Reviews: *Comprehensive Org. Syn.*, Vol. 8, 489. *Org. React.* **1992**, *42*, 1 (aromatic ring reduction). *Org. React.* **1984**, *23*, 1 (carbonyl and enone reductions).



*trans* alkene - most stable product

- First reported by Wooster J. Am. Chem. Soc. 1937, 59, 596.

- Extensively developed by Birch Quart. Rev., Chem. Soc. 1950, 4, 69.

#### b. Solvent system

- Typical solvent system

NH<sub>3</sub> : THF : <sup>t</sup>BuOH 2 : 1 : 1

- Liquid NH<sub>3</sub> (bp -33 °C) is used to dissolve metal, ether cosolvent (Et<sub>2</sub>O or THF) is used to dissolve

substrate, and a proton source <sup>t</sup>BuOH; EtOH; MeOH;  $\sim$  NH<sub>2</sub> is used to quench the reaction.

- If proton source is absent:

 $NH_3 \longrightarrow NH_2^-$  isomerization of diene and overreduction



- Be sure to use an argon atmosphere, not  $N_2$  which forms lithium nitrides.

### c. Mechanism

- Molecular Orbital Calculations: Radom J. Am. Chem. Soc. 1980, 102, 6430.



- Site of protonation of the radical anion is determined by site of maximum e<sup>-</sup>density.
- Radom J. Am. Chem. Soc. 1980, 102, 4074.



W = COOH  $COO^-$ 

CONR<sub>2</sub>, SiMe<sub>3</sub>, Ar (electron-withdrawing groups)

- but CO<sub>2</sub>R, COR, CHO  $\longrightarrow$  -CH<sub>2</sub>O<sup>-</sup>, so they are part of donor (D) grouping.

## e. Common application: hydrogenolysis



- can also be used for enone reduction and/or reductive alkylation with alkylative trap of the final enolate



Reduction Reactions Dale L. Boger



### 2. Dissolving Metal Carbonyl Reduction

### a. Ketone Reduction

- Review: Comprehensive Org. Syn., Vol. 8, 107.
- Rule:



Birch reduction forms the most stable product.

- Exception:



- Mechanism:



Special variants of this reaction include the:

### **b.** Acyloin Condensation



- Mechanism: diketyl generation and diradical coupling or:



- Sheehan J. Am. Chem. Chem. 1950, 72, 3376.

- Bloomfield J. Org. Chem. 1975, 40, 393.

- Bloomfield Tetrahedron Lett. 1968, 591.

## c. Pinacol Coupling

- Review: Comprehensive Org. Syn., Vol. 3, 563.



### d. McMurry Coupling

Zn-Cu/TiCl <sub>3</sub>	McMurry J. Org. Chem. 1977, 42, 2655.	olefin product	
LiAIH <sub>4</sub> /TiCl <sub>3</sub>	McMurry J. Am. Chem. Soc. 1983, 105, 1660.	]	
Mg-Hg/TiCl₄ - diol product	Corey J. Org. Chem. 1976, 41, 260.		

## e. Radical-Alkyne/Alkene Addition

- The ketyl (radical anion) can be trapped in intramolecular reactions:
  - Stork J. Am. Chem. Soc. 1979, 101, 7107.



# L. Other Reduction Methods

- 1. Diimide Reduction
  - Review: Org. React. 1991, 40, 91.


- Mechanism:



Adam J. Org. Chem. 1977, 42, 3987.

- Formation (generation) of reagents (diimide)

i. 
$$H_2O_2/H_2NNH_2 \longrightarrow H_{N=N}H$$
 old method

ii. recent method

$$Me \xrightarrow{\bigcup_{i=1}^{N} H_{i}} NH_{i} + Base \xrightarrow{N=N} H_{i} H_{i}$$

- related to McFadyen-Stevens Reduction.

iii. 
$$KO_2C-N=N-CO_2K$$
  $cat H^+$   $H_N=N'H$   
(anhydrous)  $(anhydrous)$   $N=N'$ 

iv. retro Diels Alder reaction







- Other reduction methods would give substantial debromination.

# VII. Hydroboration - Oxidation (Reduction - Oxidation)

- Review: Comprehensive Org. Syn., Vol. 8, pp. 703-732.

### A. Mechanism



- rate

- Increased by electron-donating substituents on olefins.

- Increased by strain of olefins.
- Increased by decreased steric hinderance of olefins.

The reaction is characterized by a slight tendency for H (H<sup>-</sup>) to add to carbon most capable of stabilizing a  $\delta^+$  charge or, in other words, for the nucleophilic carbon to attack the electrophilic B. However, it is also characterized by a nonpolar transition state where the rate of reaction and regioselectivity are determined principally by steric factors with unsymmetrical olefins.



# **B.** Regioselectivity

1. Steric Effects





### 2. Electronic Effects







### C. Diastereoselectivity

1. Endocyclic Olefins



- predominant attack from least hindered face.



 $R^{1}/BH_{2}$  interactions are worse than Me/BH<sub>2</sub> interactions

Kishi *Aldrichim. Acta* **1980**, *13*, 23. Burgess *Tetrahedron Lett.* **1989**, *30*, 395.

Me

 $R^1$  H

Me

### 4. Allylic Alcohols and Ethers

- Cyclic allylic alcohols and ethers.



- Regioselectivity avoids a R<sub>2</sub>B/H 1,3-diaxial interaction.

- Acyclic allylic alcohols and ethers



- Reaction takes place from H-eclipsed conformation and *cis* to the smaller OR group.



Major

# D. Metal-Catalyzed Hydroboration

- Diastereoselectivity can be reversed with catecholborane and Rh(I) catalyst (i.e., Wilkinson's catalyst).



- Exocyclic allylic alcohols and ethers



Evans J. Am. Chem. Soc. 1988, 110, 6917.



-Review of transition metal-catalyzed hydroboration: Beletskaya and Pelter Tetrahedron 1997, 53, 4957.



- This was utilized in the synthesis of the unusual L-gulose sugar found in the disaccharide of bleomycin A2



Boger J. Am. Chem. Soc. 1994, 116, 5647.

### **E. Directed Hydroboration**



- Brown Tetrahedron 1981, 37, 3547; J. Org. Chem. 1981, 46, 2988; 1982, 47, 5065.



Partridge J. Am. Chem. Soc. 1973, 95, 7171.



- Models



# **VIII. Enolate Chemistry**

Enolate Alkylations:	Comprehensive Org. Syn., Vol. 3, 1.
Formation of Enolates:	Comprehensive Org. Syn., Vol. 2, 99.
Aldol Condensation:	Comprehensive Org. Syn., Vol. 2, 133, 181 and 239.
Reformatsky Reaction:	Comprehensive Org. Syn., Vol. 2, 277.
Acylation of Enolates:	Comprehensive Org. Syn., Vol. 2, 796.
Enol Ethers:	Comprehensive Org. Syn., Vol. 2, 595 and 629.
Metalloenamines:	Comprehensive Org. Syn., Vol. 2, 475.
Hydrazones:	Comprehensive Org. Syn., Vol. 2, 503.

### A. Acidic Methylene Compounds (i.e., Malonates)

-  $\alpha$ -Deprotonation



- Use of a base which stoichiometrically deprotonates the ketone completely: (i.e.  $K_{eq}$  > 100)



Therefore, a good deprotonation (essentially all ketone deprotonated) Note: need to have  $pK_a$  difference of 2  $pK_a$  units to get  $K_{eq} = 100$ .

#### 1. Estimation of pKa



- an increase in acidity of H results in a *faster* deprotonation (kinetic effect) as well as a stabilization of anion formed (thermodynamic effect).



Others:  $NO_2 > COR > SO_2R > CO_2R$ , CN > SOR, Ph





- If a compound has a vinyl spacer, the reactivity parallels that of the parent compound.

1,3-Cyclohexadione in its enol form is a vinylogous carboxylic acid and it exhibits many properties of a RCOOH, including low  $pK_a$ , O-alkylation.



vinylogous acid chloride

#### 3. Acetoacetic Ester Synthesis



- The product can be further alkylated:



- Hydrolysis and decarboxylation gives  $\alpha$ -substituted ketones:



#### 4. Malonic Ester Alkylation





- tends to react with harder electrophiles  $(CH_3OTs, Me_3^+OBF_4^-)$ 

reacts with softer alkylating agents (RI, RBr)

more reactive or more ionized = harder

Meerwein's salt

- Intramolecular constraints can affect course of C- vs. O-alkylation





- Mitsunobu alkylation

Mitsunobu, Yamada, Mukaiyama Bull. Chem. Soc., Jpn. 1967, 40, 935.

Review: Mitsunobu Synthesis 1981, 1.

Hughes Org. React. 1992, 42, 335; Castro Org. React. 1983, 29, 1.

- Mechanism:

HX:  $pK_a$  typically <15 (RCO<sub>2</sub>H, phenols, imides, malonates,  $\beta$ -keto esters)

Related reagents including Ph<sub>3</sub>P/CCl<sub>4</sub>, Ph<sub>3</sub>P/NXS are used to convert an alcohol to the corresponding halide.

- Factors which favor O-alkylation

1. Polar solvent:

polar, aprotic solvents: **HMPA** NMe<sub>2</sub> NMe<sub>2</sub> DMSO DMF Me<sub>2</sub>NCHO

a. separate metal cation from enolate oxygen, making oxygen more free to react

OH

- b. coordinate electrophile, activate and increase their reactivity
- c. increase rate of reaction

2. Large, noncoordinating metal cation:



- again, frees up oxygen to react

$$M^{+} = R_4 N^{+} > K^{+} > Na^{+} > Li^{\dagger}$$

C-alkylation O-alkylation

rate of reaction

ion pair lithium essentially covalently coordinated to O separation of charge, harder more reactive anion

3. Aggregation/Solubility: Homogeneous, monomeric enolates  $\longrightarrow$  *O*-alkylation Heterogeneous, aggregate enolates  $\longrightarrow$  *C*-alkylation Li enolates tend to be more aggregated  $\downarrow^{V_{a}}_{K'}$   $H \rightarrow \downarrow^{V_{a}}_{K'}$ And for RX to get to O atom, so reacts at C 4. Structure of alkylating agent a. Leaving group: (hard alkylating agents) (soft alkylating agents)



b. Degree of substitution of alkylating agent:



Enolate Chemistry Dale L. Boger

works well in polar, aprotic solvents (ie., HMPA, DMSO), or even  $K_2CO_3$ , acetone will work

### **B. Enolate Structure**

- Actually exist as higher aggregates in solution: dimer-tetramer.
- Originally suggested by House J. Org. Chem. 1971, 36, 2361.
- Supported by NMR studies: Jackman Tetrahedron 1977, 33, 2737.
- Confirmed by X-ray: Dunitz Helv. Chim. Acta 1981, 64, 2617.



Ester Enolates:



 $K_{eq}$  < 1 for Li  $K_{eq}$  > 1 for ZnBr (Reformatsky reagents)

### **C.** Enolate Alkylations: *π*-Facial Stereoselectivity

#### 1. Stereoelectronic Effects

- The attacking electrophile must obey the principle of maximum overlap of the participating orbitals by perpendicular approach to the plane of atoms which constitute the enolate (enol) function.



- Also applies to protonation in reprotonation reaction:



- Nucleophilic addition to carbonyl compound takes place not at 90° (perpendicular) but at an angle of 105 ± 5°

Dunitz Tetrahedron 1974, 30, 1563.

- Same applies to enolate alkylations



enolate HOMO

- Ramifications: <sup>t</sup>Βυ trans E+ OM LDA or <sup>t</sup>Bu <sup>t</sup>Βυ cis E<sup>+</sup> <sup>t</sup>Bu ····· base removal predominant trans of axial proton product observed 'ОМ

axial attack proceeds through a chair-like T.S.

- In order to get *cis*, must proceed through a boat-like T.S.!



- Therefore



Energy of activation for formation of the more stable *cis* product is higher because it involves a boat-like T.S.

reaction coordinate —

Corey, Sneen *J. Am. Chem. Soc.* **1956**, *78*, 6269 (origin of axial alkylation). They also introduced the term stereoelectronic effect to describe this behavior.

This was the pioneering work that led to the now widespread predictions about reactions and reaction products based on orbital alignment or overlap and provided the term "stereoelectronic" effect.

#### - Examples of stereoelectronic control



Kuehne J. Org. Chem. 1970, 35, 161, 171.

#### 2. Steric Effects

- Stereoelectronic effects equivalent for exocyclic enolates.
- Relatively insensitive to alkylating agent and conditions.

Behavior as a large reagent preferring equatorial delivery.

- Transition states for enolate alkylations are thought to be REACTANT-LIKE.

House *J. Org. Chem.* **1968**, *33*, 943. Krapcho *J. Org. Chem.* **1980**, *45*, 3236.





minor product





# **D. Enolate Generation**

### 1. Soluble Bases

- NaNH<sub>2</sub>, LiNH<sub>2</sub>, KNH<sub>2</sub> ----- strong bases, but insoluble in conventional organic solvents
- Soluble secondary amine derived bases



- Aggregates: Williard J. Org. Chem. 1993, 58, 1.
- Other widely used bases:



Lithium isopropylcyclohexylamide (LICA)
very hindered base



Lithium 2,2,6,6-tetramethylpiperidide ("FAT ALBERT", LTMP)
very hindered

also nonnucleophilic (relatively hindered)



- M = Li Lithium hexamethyldisilazide (LHMDS or LHDS)
  - = Na Sodium hexamethyldisilazide (NaHMDS)
  - = K Potassium hexamethyldisilazide (KHMDS)



now available

Corey Org. Syn. 1987, 65, 166.



Collum Tetrahedron Lett. 1993, 34, 5213.

#### Reviews:

ConiaRec. Chem. Prog. 1963, 24, 43.HouseRec. Chem. Prog. 1967, 28, 99.FlemingChimica 1980, 34, 265.FlemingSynthesis 1982, 521.FlemingSynthesis 1977, 509.d'AngeloTetrahedron 1976, 32, 2979 (Methods for regiospecific enolate generation).EvansAsymm. Synthesis, Morrison, Ed., Vol. 3, 1.

#### 2. Kinetic and Thermodynamic Enolates



deprotonation-reprotonation equilibrium

#### 3. Regiospecific Enolate Generation

- In the above case, the  $\Delta^{2,3}$  enolate cannot be cleanly obtained directly, but other approaches to this have been developed.



See: Stork J. Am. Chem. Soc. 1961, 83, 2965; 1965, 87, 275.

- Representive enolate selectivities:



- Enantio- or diastereoselective protonation of ketone enolates

### deprotonation:

Majewski Can. J. Chem. 1994, 72, 1699.

Simpkins *Tetrahedron Lett.* **1992**, *33*, 8141. **1989**, *30*, 7241.

protonation:

Fehr Angew. Chem., Int. Ed. Eng. 1994, 33, 1764.

### 4. Cyclic Carbonyl Compounds

- site of deprotonation
- enolate geometry fixed



#### 5. Acyclic Carbonyl Compounds





- ASIDE: Geometry of enolate can be determined by Claisen rearrangement:



- Claisen rearrangement known to proceed through chair-like T.S.:



- Thermodynamic enolate formation



Collum J. Am. Chem. Soc. 1991, 113, 9571.

- Similar to ketones:



thermodynamic enolate kinetic enolate (more stable)

R <sup>1</sup>	R <sup>2</sup>	base	Ζ	:	Е	
Ме	Me	LDA	5	:	95	
<sup>t</sup> Bu	Me	LDA	5	:	95	
Ме	Et	LDA	9	:	91	kinetic
Ме	Et	LDA/HMPA	84	:	16	thermodynamic
<sup>t</sup> Bu	Et	LDA	5	:	95	
<sup>t</sup> Bu	Et	LDA/HMPA	77	:	23	

Role of HMPA: increase rate of equilibration, break up enolate aggregation

Ireland J. Org. Chem. 1991, 56, 650 and 3572.



### - Silyl Ketene Acetals

### Otera Synlett 1994, 213.

0	R <sub>3</sub> SiCl	OSiR <sub>3</sub>	+	OR
OR	(	DR		ÓSiR <sub>3</sub>
R = <sup>t</sup> Bu	LDA	>99	:	1
EtMe <sub>2</sub> C	LDA	97	:	3
Ph <sub>3</sub> C	LDA	>99	:	1
	LDA	99	:	1
<sup>i</sup> Pr	LDA	83	:	17
bornyl	LDA	83	:	17
Et	LDA	84	:	16
Ме	LDA	87	:	13
Ме	LDA-HMPA or DMPU	4	:	96
Et	"	3	:	97
bornyl	11	13	:	87
<sup>i</sup> Pr	II	13	:	87
EtMe <sub>2</sub> C	II	26	:	74
<sup>t</sup> Bu	"	28	:	72

### C. Acyclic Amides



#### 6. Ireland Transition State Model for Deprotonation



- More hindered bases (<sup>*t*</sup>Bu<sub>2</sub>NLi, LiHMDS, LTMP) would increase selectivity for kinetic enolate formation (1,3-diaxial interactions even larger in T.S. for thermodynamic enolate formation)

- For Acyclic Ketones, Esters, and Amides:



- Example:



- NOTE: model only applicable for conditions which would promote coordination of base (Li cation) with carbonyl. It breaks down with polar solvents, crown ether, HMPA conditions for deprotonation.

### E. Alkylation Reactions: Stereochemistry

### 1. Exocyclic Enolates

i. 1,2-Stereocontrol in Exocyclic Enolates



H-eclipsed conformation



- Also true for other common ring sizes:



Heathcock Tetrahedron Lett. 1979, 2115.









Me ⁼₄CO₂Me

85

+

R = Et



Me ∎\_,,CO₂Me

43%

only product

65%

Weiss, Coscia Tetrahedron 1964, 20, 357.

ii. 1,3-Stereocontrol



Krapcho J. Org. Chem. 1980, 45, 3236.



iii. 1,4-Stereocontrol



Krapcho J. Org. Chem. 1980, 45, 3236.



reactive conformation

Again, equatorial attack predominates due to destabilizing steric interactions for axial approach of electrophile.

House J. Org. Chem. **1968**, 33, 943. Ziegler, Wender J. Am. Chem. Soc. **1971**, 93, 4318.



### 2. Endocyclic Enolates

a. 1,2-Stereocontrol

R<sup>2</sup> R<sup>2</sup>X  $\bar{\bar{R}}^1$  $\overline{R}^{1}$ major  $R^2X$  $\mathbb{R}^1$ <sup>n</sup>Bu Mel 88 12 2 vinyl group sterically smaller, so stereoselectivity lower 75 CH=CH<sub>2</sub> Mel 25 : Br Me 89 11 :

OM

Posner J. Am. Chem. Soc. **1975**, 97, 107. Coates J. Org. Chem. **1974**, 39, 275.



axial attack preferred on stereoelectronic and steric grounds

 $\mathbb{R}^2$ 

171

b. 1,3-Stereocontrol



73 : 27

Conia Bull. Soc. Chim., Fr. **1966**, 3881 and 3886.



- <sup>t</sup>Bu group in preferred equatorial position

- axial attack favored on stereoelectronic basis no steric bias for either face

c. 1,4-Stereocontrol





House J. Org. Chem. 1973, 38, 1000.



preferred stereoelectronic approach from most stable conformation with <sup>*t*</sup>Bu equatorial

d. 1,5-Stereocontrol





Ireland J. Org. Chem. 1970, 35, 570.



reaction from preferred conformation where Me group vs Ph adopts pseudo axial position

preferred stereoelectronic approach

### 3. Other Conformationally Inflexible Systems

- Exocyclic Enolates of a Fixed Conformation



Matthews J. Chem. Soc., Chem. Commun. 1970, 38 and 708.
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R

Ĥ

Me

CN

R'''

0









Н

R

- Predict the major product for



 $R = CN, CO_2Me$ 

Kuehne J. Org. Chem. 1970, 35, 161.







removes one 1,3-diaxial interaction for axial alkylation through chair-like T.S. 90

10

:

### 4. Conjugate Addition/Alkylation: Stereochemistry

- There are also many examples of tandem conjugate addition/alkylation reactions and conjugate reduction/alkylation reactions that combine elements of both the conjugate addition or reduction with the subsequent alkylation.



Corey and Boger Tetrahedron Lett. 1978, 5, 9, and 13.

## F. Asymmetric Alkylations

### Conformational or Intraannular Chirality Transfer

1. Schöllkopf asymmetric amino acid synthesis:



*Angew. Chem., Int. Ed. Eng.* **1979**, *18*, 863; **1981**, *20*, 798 and 977. *Liebigs Ann. Chem.* **1981**, 696 and 2407. *Synthesis* **1981**, 966 and 969.



Seebach J. Am. Chem. Soc. **1983**, 105, 5390. Fráter Tetrahedron Lett. **1981**, 22, 4221.

#### **Chelation Enforced Chirality Transfer**





Z-enolate

Access to either enantiomer <

new chiral centers created which have opposite absolute configuration.

- Factors responsible for high diastereoselectivity:

a. formation of Z-enolate (exclusively).

b. chelation results in formation of rigid template, single conformation.

E+

c.  $\pi$ -facial selectivity results from sterics of alkylation.

#### **Extraannular Chirality Transfer**

5. Schöllkopf Liebigs Ann. Chem. 1981, 439.



#### 6. Schöllkopf Tetrahedron Lett. 1979, 20, 3929.



#### **Through Space Interactions/Blocking Groups**





with certain esters of chiral alcohols, could see enantioselectivity via conformational control H and carbonyl are eclipsed in much preferred conformation

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Helmchen Angew. Chem., Int. Ed. Eng. **1981**, 20, 207. Tetrahedron Lett. **1980**, 21, 1137.

8. Catalytic asymmetric alkylation: Corey Tetrahedron Lett. 1998, 39, 5347.



Additional examples of asymmetric alkylations may be found in the sections discussing enolate equivalents.

### G. Aldol Addition (Condensation)



#### 1. Nomenclature

syn/anti	J. Am. Chem. Soc. 1981, 103, 2106. (supercedes erythro/threo nomenclature)
erythro/threo	Angew. Chem., Int. Ed. Eng. <b>1980</b> , 19, 557.
Summary	Asymm. Synth. Vol. 3, pp. 111-212. (Review of aldol diastereoselection)
IUPAC	Pure. Appl. Chem. 1976, 45, 11.
Others	Angew. Chem., Int. Ed. Eng. 1966, 5, 385. (based on Cahn, Ingold, Prelog)
	Angew. Chem., Int. Ed. Eng. 1982, 21, 654. (Seebach, Prelog)
	J. Org. Chem. 1982, 47, 3811. (Carey, Kuehne)

#### 2. Generalizations



- 1. Z-enolates give predominantly syn (or threo) aldol products (thermodynamic enolates).
- 2. E-enolates give predominantly anti (or erythro) aldol products (kinetic enolates).

and

- 3. Diastereoselectivity (for syn aldol) of Z-enolates is greater than that of E-enolates (for anti).
- 4. Correlation for *E* or *Z*-enolate is greater when  $R^1$  is sterically demanding.
- 5. Correlation is stronger when R<sup>3</sup> is large (most important for boron enolates).
- 6. Correlation is reversed when  $R^2$  is sterically demanding (very large).
- Advances in <sup>1</sup>H NMR, <sup>13</sup>C NMR permitted detection, quantification and identification.
- Issue of equilibration addressed.

R. R. Ernst received the 1991 Nobel Prize in Chemistry for the development of the methodology of high resolution NMR spectroscopy. Modern Organic Chemistry The Scripps Research Institute

3. Examples



Heathcock J. Org. Chem. 1980, 45, 1066.

#### 4. Origin of Diastereoselectivity

- Zimmerman-Traxler Model (J. Am. Chem. Soc. 1957, 79, 1920)
- Chair-like, closed transition state: metal coordination to both carbonyls



- 1. Diastereoselectivity for Z-enolate (giving *syn* aldol product) is maximized when R<sup>1</sup> and R<sup>3</sup> are sterically demanding (R<sup>1</sup>/R<sup>3</sup> interaction is maximized).
- Diastereoselectivity also increases as metal is changed to boron. This is attritubted to a tighter T.S. (B–O bond shorter, so R<sup>1</sup>/R<sup>3</sup> steric interactions are magnified in T.S. for *anti* product).
- 3. When  $R^2$  is very large the  $R^3/R^2$  gauche interaction >  $R^1/R^3$  1,3-diaxial interaction (Why?).



- 1. Diastereoselectivity increases as R<sup>1</sup> and R<sup>3</sup> become sterically large, and a switch to the boron enolate will increase selectivity.
- 2. Diastereoselectivity may switch when R<sup>2</sup> is very large (Why?).

#### 5. Cyclic Ketones

- Only *E*-enolate and therefore *anti* aldol.

- Aldol addition is reversible, can get very different stereoselectivity by allowing reaction products to equilibrate (and equilibration can be very fast).



#### 6. Acyclic Enolates

- Effect of R <sup>1</sup>	OLi R <sup>1</sup>	+ PhCHO		<i>syn</i> : <i>anti</i> aldol
		syn:a	anti ratio	_
	R <sup>1</sup>	Z-enolate	E-enolate	
	OMe	-	1.5	
	O <sup>t</sup> Bu	-	1.0	typically:
	Н	1.0	1.5	Z > E diastereoselection
	Et	9.0	1.5	diastoropoloction
	<sup>i</sup> Pr	9.0	1.0	increases as size
	Ph	7	-	of R <sup>1</sup> increases
	<sup>t</sup> Bu	70	-	
	mesityl	>50	<0.02	

#### 7. Refined and Alternative Models

- Idealized closed, chair transition state does not account for Z > E diastereoselectivity nor does it explain the switch in diastereoselectivity when  $R^2$  is sterically demanding.
- Transition state for addition more closely resembles eclipsed conformation.
- Dubois, Fellmann Tetrahedron Lett. 1975, 1225; Tetrahedron 1978, 34, 1349.
- Heathcock J. Org. Chem. 1980, 45, 1066.
- For Z-enolate



- Burgi-Dunitz approach angle -skewed approach - R<sup>2</sup>/R<sup>3</sup> come closer together than R<sup>1</sup>/R<sup>3</sup>



- An additional alternative explanation considers the boat transition states Evans *Top. Stereochem.* **1982**, *13*, 1.
- In addition to the four idealized closed chair transition states, four closed boat transition states must be considered as well.



R<sup>3</sup>/R<sup>2</sup> eclipsed

- However, the boat transition state alternative does not explain the *E*-enolate switch from *anti* to *syn* aldol when R<sup>2</sup> becomes sterically more demanding.

R<sup>2</sup>/H eclipsed

- Examples





OLi <sup>t</sup> Bu R <i>Z</i> -enolate	<sup>t</sup> BuCHO Et <sub>2</sub> O, 20 °C	O OH <sup>t</sup> Bu R <sup>t</sup> Bu <i>R</i> <i>anti</i>	<sup>t</sup> Bu R <sup>t</sup> Bu R syn		
	R = Me	0	:	100	
	= Et	0	:	100	
	= <sup>n</sup> Pr	2	:	98	
	= <sup>i</sup> Bu	3	:	97	
	= <sup>i</sup> Pr	71	:	29	
	$= {}^{t}Bu$	100	:	0	

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#### 8. Boron Enolates





Masamune Tetrahedron Lett. 1979, 1665.

#### a. Z-enolate Preparation and Reactions



b. E-enolate Preparation and Reactions



- originally difficult to control but:



c. Examples of more recent methods to control boron enolate geometry



-These results are difficult to achieve with boron triflates

Brown J. Am. Chem. Soc. 1989, 111, 3441.



Z-enolate is easy to access: thermodynamic enolate E-enolate is less stable, more difficult to generate without equilibration (also still difficult to prepare unless alkyl groups are bulky).

- see also Brown J. Org. Chem. 1992, 57, 499 and 2716.

Brown J. Org. Chem. 1994, 59, 2336.



#### 9. Aldol Condensation with Chiral Aldehydes

#### a. Felkin Addition

Two faces of aldehyde are diastereotopic.
Nucleophilic addition of enolate follows Cram's empirical generalization (Felkin-Ahn addition).



- Can combine all selectivities to give 3 contiguous chiral centers, if the chiral aldehyde and enolate partners are both highly diastereoselective.



Heathcock J. Org. Chem. 1980, 45, 1066.

- *syn* aldol reaction proceeds with >98% *syn* selectivity
- Cram/Felkin-Ahn addition proceeds with 86:14 syn selectivity

b. Chelation Control



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#### 10. Aldol Condensation with Chiral Enolates

#### Evans' Chiral *N*-Acyl Oxazolidinones



only Z-enolate (independent of conditions)

1. Experimental results

two possible *syn* aldol products (relative to chiral center on aux.)

OH

OH

Ŕ

Ř

or

Ř

С

 $R^1$ 



Evans J. Am. Chem. Soc. 1981, 103, 2876 and 3099.

#### 2. Origin of diastereoselectivity



190

3. For the alternative enantiomer



As before - two possible transition states for syn aldol product formation



- anti carbonyl conformation



- syn carbonyl conformation

- steric interactions between H's



observed syn aldol product



(minor syn aldol product)





4. Ti enolate promoted Evans aldol (non-Evans syn aldol)



Thornton *J. Am. Chem. Soc.* **1989**, *111*, 5722; **1991**, *113*, 1299. Evans *J. Am. Chem. Soc.* **1991**, *113*, 1047. Thornton *J. Org. Chem.* **1991**, *56*, 2489. *syn* aldol product but opposite absolute stereochemistry (non-Evans *syn* aldol).

5. Origin of diastereoselectivity - chelated Z-enolate



6. Chelated and non-chelated Ti enolates



Crimmins J. Am. Chem. Soc. 1997, 119, 7883.

7. Anti-selective additions

- see also Aldrichchimica Acta 1990, 23, 99; J. Org. Chem. 1991, 56, 5747.

#### **11. Asymmetric Aldol Reactions**

- Review: Paterson Org. React. 1997, 51, 1.

Corey J. Am. Chem. Soc. **1990**, 112, 4976. Corey J. Am. Chem. Soc. **1989**, 111, 5493.



hindered base

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Examples

Corey Tetrahedron Lett. 1993, 34, 1737.





			neiu	syn.am	Major Frou.	66
	X = SPh	CH <sub>2</sub> Cl <sub>2</sub> , <sup>i</sup> Pr <sub>2</sub> NEt	90%	99:1	1	97%
	X = SPh	toluene, Et <sub>3</sub> N	78%	94:6	1	95%
	X = O <sup>t</sup> Bu	CH <sub>2</sub> Cl <sub>2</sub> , <sup>i</sup> Pr <sub>2</sub> NEt	89%	4:96	2a	94%
Note:	X = O <sup>t</sup> Bu	toluene, Et <sub>3</sub> N	64%	2:98	2a	94%
Z-enolate	 X = OBn	CH <sub>2</sub> Cl <sub>2</sub> , <sup>i</sup> Pr <sub>2</sub> NEt	73%	84:16	1	97%
E-enolate	 X = OBn	toluene, Et <sub>3</sub> N	78%	15:85	2a	97%
	X = SBn	CH <sub>2</sub> Cl <sub>2</sub> , <sup>i</sup> Pr <sub>2</sub> NEt	79%	70:30	1	81%
	X = SBn	toluene, Et <sub>3</sub> N	84%	9:91	2a	94%
	X = S <sup>t</sup> Bu	CH <sub>2</sub> Cl <sub>2</sub> , <sup>i</sup> Pr <sub>2</sub> NEt	73%	71:29	1	50%
	X = S <sup>t</sup> Bu	toluene, Et <sub>3</sub> N	86%	6:94	2b (+ 2a)	46%

see also- Corey Tetrahedron Lett. 1992, 33, 6735.

- Mukaiyama Chem. Lett. 1973, 1011; review Org. React. 1982, 28, 203.
- Carreira's catalytic asymmetric aldol



Carreira J. Am. Chem. Soc. 1994, 116, 8837.

- Evans C2-symmetric bisoxazoline catalysts



Evans J. Am. Chem. Soc. 1997, 119, 7893.



Evans J. Am. Chem. Soc. 1997, 119, 10859.

#### 12. Enzyme-Catalyzed Aldol

- see: Comprehensive Org. Syn., Vol. 2, 455.
- Wong aldolase based synthesis of carbohydrates and aza-sugars



- Review: Wong Pure Appl. Chem. 1993, 65, 803.

- Lerner catalytic antibodies
  - wide range of donors and acceptors utilized
  - commercially available



Lerner J. Am. Chem. Soc. 1998, 120, 2768.

### **H. Aldol Equivalents**

#### 1. Chiral Organoboranes



Brown J. Am. Chem. Soc. 1986, 108, 293.



Roush and Halterman J. Am. Chem. Soc. 1986, 108, 294.



- asymmetric induction is a consequence of n/n electronic repulsive interactions disfavoring transition state B relative to transition state A

#### 2. AllyIsilanes

Reviews: Fleming *Org. React.* **1989**, *37*, 57. Panek *Chem. Rev.* **1995**, *95*, 1293.





# - Chiral allylsilanes add to carbonyls in *syn* fashion (either synclinal or antiperiplanar T.S.) (Unless chelation control is utilized)



Jain and Panek J. Am. Chem. Soc. 1996, 118, 12475.

### I. Enolate-imine Addition Reactions

- Review: Hart Chem. Rev. 1989, 89, 1447.

R <sup>1</sup> C	CO₂Et	1. LDA 2. PhCH=NSiMe <sub>3</sub> 3. HCl, H <sub>2</sub> O	R <sup>1</sup> Ph H····H NH	+	H Ph R <sup>1</sup> ····H NH
R <sup>1</sup>			yield		yield
Н			14%		0%
Me	•		41%		3%
Et			72%		0%
<sup>/</sup> Pr			80%		1%
<sup>t</sup> Bu	l		40%		0%

Hart J. Am. Chem. Soc. 1984, 106, 4819.





### J. Claisen Condensation



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- Weinreb Amide

Turner J. Org. Chem. 1989, 54, 4229.



- Knoevenagel-Doebner and Stobbe Condensation



### K. Dieckmann Condensation

- Org. React. 1967, 15, 1.
- Examples



The analogous intramolecular keto ester condensation may be described as "occurring under Dieckmann conditions" see: *Org. React.* **1959**, *8*, 79.



conjugate 1,4-addition

Boger and Corey Tetrahedron Lett. 1978, 4597.

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Boger and Brotherton *J. Org. Chem.* **1984**, *49*, 4090. Boger and Takahashi *J. Am. Chem. Soc.* **1995**, *117*, 12452.



Boger *J. Org. Chem.* **1992**, *57*, 3974. Boger *J. Am. Chem. Soc.* **1995**, *117*, 11839.

- Asymmetric Dieckmann-like condensation



Boger J. Am. Chem. Soc. 1997, 119, 312.

### L. Enolate Dianions



Weiler *J. Am. Chem. Soc.* **1974**, *96*, 1082 Weiler *Tetrahedron Lett.* **1983**, *24*, 253. Harris *Org. React.* **1969**, *17*, 155-212. (review)

### M. Metalloimines, Enamines and Related Enolate Equivalents





- Simple alkylation of enolates not always straightforward.

- Can get polyalkylation mixtures.

- Solutions



- Examples:



-also useful in acyclic cases



- very good as aldehyde enolate equivalents



- Enders chiral hydrazones (SAMP and RAMP)



90% de Review: Asymm. Synth. Vol. 3, 275.

- Meyers chiral oxazolines





Review: Asymm. Synth. Vol. 3, 213.

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### N. Alkylation of Extended Enolates



- For alkylation in the γ position - can use a dianion



- In cyclic systems



Danheiser, Stork *J. Org. Chem.* **1973**, *38*, 1775. Cargill *J. Org. Chem.* **1973**, *38*, 2125.



Yoshimoto, Ishida, Hiraoka *Tetrahedron Lett.* **1973**, 39. Bryson, Gammill *Tetrahedron Lett.* **1974**, 3963.

# **IX. Metalation Reactions**

### A. Directed Metalation

- Kinetic acceleration of deprotonation of a relatively non-acidic site.
- Synthesis 1983, 95.
- Acc. Chem. Res. 1982, 15, 306.
- Org. React. **1979**, 26, 1.

lateral lithiation: Org. React. 1995, 47, 1.



- Usually requires very strong base (<sup>n</sup>BuLi, <sup>s</sup>BuLi or <sup>t</sup>BuLi, sometimes LDA).
- Sometimes requires additives (TMEDA, DABCO) to break up Li aggregates (make bases more reactive).



- Examples:



- All aromatic H's have approximately the same  $pK_a$ 



- Not limited to aromatic substrates



- Kinetic acceleration of deprotonation even in the presence of a more acidic proton. - Directed Metalation Groups



Snieckus Chem. Rev. 1990, 90, 879.

- Examples (cooperative effect)



Boger and Garbaccio, J. Org. Chem. 1997, 62, 8875.

- Representative Organolithium Compounds by Directed Metalation





Baldwin J. Am. Chem. Soc. 1974, 96, 7125.

$$CH_{2}=CHCH_{2}OTMS + {}^{s}BuLi \qquad \xrightarrow{THF, HMPA}_{-78 \ °C, 5 \ min} \qquad \xrightarrow{H}_{H_{2}C} H_{Li} \qquad H_{Li} \qquad \xrightarrow{H}_{Li} M_{2}C_{Li} \qquad \xrightarrow{H}_{Li} M_{2} M_{2}$$

Still J. Org. Chem. 1976, 41, 3620.

Ph<sub>3</sub>Si + <sup>n</sup>BuLi 
$$\xrightarrow{\text{THF}, -78 \circ \text{C}}_{4 \text{ h}}$$
 Ph<sub>3</sub>Si O

Eisch J. Am. Chem. Soc. 1976, 98, 4646.
## B. Organolithium Compounds by Metal-Halogen Exchange

Jones and Gilman Org. React. 1951, 6, 339.



Parham J. Org. Chem. 1977, 42, 257.

Corey and Boger Tetrahedron Lett. 1978, 5, 9, and 13.



### C. Organolithium Compounds by Metal-Metal Exchange

- Reactions of organotin reagents with alkyllithium reagents are particularly significant



## D. Organolithium Compounds from the Shapiro Reaction



Shapiro *Org. React.* **1976**, *23*, 405. Bond *J. Org. Chem.* **1981**, *46*, 1315. Chamberlin *Org. React.* **1990**, *39*, 1.

Key Ring Forming Reactions Dale L. Boger

# X. Key Ring Forming Reactions

# A. Diels-Alder Reaction

#### 1. Reviews

- 1. General reference: Onischenko, A. S. *Diene Synthesis*; Daniel Davy: New York, 1964.
- 2. General reference: Wasserman, A. *Diels-Alder Reactions*; Elsevier: New York, 1965.
- 3. General review: Alder, K. *Newer Methods of Preparative Organic Chemistry*, Vol. 1, Wiley: New York, 1948, pp. 381-511.
- 4. General review: Huisgen, R.; Grashey, R.; Sauer, J. in *Chemistry of Alkenes*; S. Patai, Ed.; Wiley: New York, 1964, pp. 878-953.
- 5. General review: Wollweber, H. in Houben-Weyl, *Methoden der Organischen Chemie*; E. Muller, Ed.; Georg Thieme: Stuttgart, 1970, pp. 977-1210.
- 6. General reference: Wollweber, H. *Diels-Alder Reaction*; Georg Thieme: Stuttgart, 1972.
- 7. General reference: Fleming, I. Frontier Orbitals and Organic Chemical Reactions; Wiley: New York, 1977.
- 8. Diels-Alder reactions with maleic anhydride: Kloetzel, M. C. Org. React. 1948, 4, 1.
- 9. Diels-Alder reactions with ethylenic and acetylenic dienophiles: Holmes, H. L. Org. React. 1948, 4, 60.
- 10. Diels-Alder reactions with quinones: Butz, L. W.; Rytina, A. W. Org. React. 1949, 5, 136.
- 11. Diels-Alder reaction: preparative aspects: Sauer, J. Angew. Chem., Int. Ed. Eng. 1966, 5, 211.
- 12. Diels-Alder reaction: mechanism: Sauer, J. Angew. Chem., Int. Ed. Eng. 1967, 6, 16.
- 13. Stereochemistry of the Diels-Alder reaction: Martin, J. G.; Hill, R. K. Chem. Rev. 1961, 61, 537.
- 14. Regiochemistry of the Diels-Alder reaction: Titov, J. A. Russ. Chem. Rev. 1962, 31, 267.
- 15. Mechanism of the Diels-Alder reaction: Seltzer, S. Adv. Alicycl. Chem. 1968, 2, 1.
- 16. Diels-Alder reaction of heteroatom-substituted dienes: Petrizilka, M.; Grayson, J. I. Synthesis 1981, 753.
- 17. Preparation and Synthetic Aspects: Wagner-Jaueggs, T. Synthesis 1976, 349; Synthesis 1980, 165, 769.
- 18. Diels-Alder reaction of azadienes: Boger, D. L. *Tetrahedron* **1983**, *39*, 2869.
- 19. Review on "Danishefsky's diene" and related dienes in the Diels-Alder reaction: Danishefsky, S. *Acc. Chem. Res.* **1981**, *14*, 400.
- 20. Intramolecular Diels-Alder reaction: Carlson, R. G. Ann. Rep. Med. Chem. 1974, 9, 270.
- 21. Intramolecular Diels-Alder reaction: Oppolzer, W. Angew. Chem., Int. Ed. Eng. 1977, 16, 10.
- 22. Intramolecular Diels-Alder reaction of *o*-quinodimethanes: Oppolzer, W. Synthesis **1978**, 793.
- 23. Intramolecular Diels-Alder reaction: Brieger, G.; Bennet, J. N. Chem. Rev. 1980, 80, 63.
- 24. Intramolecular Diels-Alder reaction: Ciganek, E. Org. React. 1984, 32, 1.
- 25. Intramolecular Diels-Alder reaction: Fallis, A. G. Can. J. Chem. 1984, 62, 183.
- 26. Intermolecular Diels-Alder reaction: Oppolzer, W. in Comprehensive Organic Synthesis, Vol. 5; pp. 315-399.
- 27. Intramolecular Diels-Alder reaction: Roush, W. R. in Comprehensive Organic Synthesis, Vol. 5; pp. 513-550.
- 28. Retrograde Diels-Alder reactions: Sweger, R. W. in *Comprehensive Organic Synthesis*, Vol. 5; pp. 551-592.
- 29. The Retro-Diels-Alder reaction: Rickborn, B. Org. React. 1998, 52, 1.
- 30. Heterodienophile Diels-Alder reactions: Weinreb, S. M. in *Comprehensive Organic Synthesis*, Vol. 5; pp. 401-449.
- 31. Heterodiene Diels-Alder reactions: Boger, D. L. in *Comprehensive Organic Synthesis*, Vol. 5; pp. 451-512.
- 32. Hetero Diels-Alder Reaction: Boger, D. L.; Weinreb, S. M. *Hetero Diels-Alder Methodology in Organic Synthesis*; Academic: San Diego, 1987.

#### 2. Discovery

Wieland (*Ber.* **1906**, *39*, 1492) described the 1:1 dimerization of conjugated dienes in what was probably the first report of a Diels-Alder reaction.



Diels and Alder Ann. 1928, 460, 98.

In fact, von Euler had correctly, but tentatively, identified the 2:1 adduct of isoprene with *p*-benzoquinone before Diels and Alder's work. von Euler, Josephson *Ber.* **1920**, *53*, 822.



von Euler received the 1929 Nobel Prize in Chemistry for his investigations on fermentations of sugars and the fermentative enzymes. He had trained with Landolt, Nernst, van't Hoff, Arrhenius, Hantzsch, and Thiele and was remarkable in his scientific pursuits. By 1910, he had already initiated his monumental studies of enzyme structure, kinetics, and mechanism and his occasional forays into pure organic chemistry were just as remarkable.

For an engaging description of the discovery of the Diels-Alder reaction, the competition for its exploration and applications, and the missed opportunities, see: Berson *Tetrahedron* **1992**, *48*, 3.

Even in their first disclosure, Diels and Alder recognized the potential the reaction might hold for synthesis: "Thus, it appears to us that the possibility of synthesis of complex compounds related to or identical with natural products such as terpenes, sesquiterpenes, perhaps also alkaloids, has moved to a near prospect." They also felt this could be reserved: "We explicitly reserve for ourselves the application of the reaction discovered by us to the solution of such problems." Fortunately, their claims were ignored and an extraordinary group of investigators helped define the scope and mechanism of the Diels-Alder reaction.

The first applications in total synthesis include: Cortisone by Woodward, Sondheimer *J. Am. Chem. Soc.* **1951**, 73, 2403; Sarett (Merck) *J. Am. Chem. Soc.* **1952**, 74, 4974. Cantharidin by Stork, Burgstahler, van Tamelen *J. Am. Chem. Soc.* **1951**, 73, 4501.

#### 3. Mechanism, FMO Treatment



#### 4. Diastereoselectivity









Result: Both cis rule and endo rule Diels-Alder reaction very useful, diastereoselective

c. Factors influencing endo selectivity of the Diels-Alder reaction



- i. Endo transition state is favored by stabilizing secondary orbital interactions.
- ii. Endo selectivity often increases with the use of Lewis acid catalysis.
- iii. Endo selectivity often increases with increase in pressure of reaction.



110 °C

130 °C

2

1

1

1



Furukawa J. Am. Chem. Soc. 1970, 92, 6548.



Some Diels-Alder adducts are thermally unstable (reversible) and subject to equilibration via retro Diels-Alder reaction to provide the most stable product: Ripoll *Tetrahedron* **1978**, *34*, 19.



see also: Rickborn Org. React. 1998, 52, 1.

#### 5. Regioselectivity

a. 1-Substituted dienes react with substituted dienophiles to give the ortho product:



usually around 9:1

For example:



-Device for predicting regioselectivity: draw out "zwitterionic" representations (resonance structures) for the reactants.



b. 2-Substituted dienes give predominantly the para product:



- c. Complementary substitution usually provides even greater regioselectivity
  - -1,3-Disubstituted Dienes



But noncomplementary substitution may cause problems (lower regioselectivity)

-1,2-Substituted Dienes



relative amounts of each depend on electron donating strength of substituents X and X'

 $NHCO_2R > SR > OR > alkyl > H$ 



85% 100:0

Cohen J. Org Chem. 1982, 47, 4005.



Trost J. Am. Chem. Soc. 1980, 102, 3548.



Overman J. Am. Chem. Soc. 1983, 105, 6335.

d. Apparent regioselectivity can be altered by adding a controlling group that is subsequently removed

-Dienophile



-CO<sub>2</sub>CH<sub>3</sub> is in *meta* position -SO<sub>2</sub>Ph > CO<sub>2</sub>CH<sub>3</sub> in controlling regioselectivity

Bass J. Chem. Soc., Chem. Commun. 1987, 1836.

- Diene

Corey Tetrahedron Lett. **1981**, *22*, 603. Ono J. Chem. Soc., Perkin Trans. 1 **1987**, 1929. Tanis Syn. Commun. **1986**, *16*, 251.

Rate of reaction generally insensitive to solvent polarity, but...

#### 6. Lewis Acid Catalysis



Addition of Lewis Acid Catalysts:



Increases: 1. Reaction Rate

- 2. Reaction Regioselectivity
- 3. Reaction Endo Diastereoselectivity

Key Ring Forming Reactions Dale L. Boger

-Examples



Calculations: s-cis > s-trans





catalyzed reaction: 1.9 kcal/mol for endo 2.7 kcal/mol for exo

uncatalyzed reaction: 0.6 kcal/mol for endo 1.7 kcal/mol for exo

Birney, Houk J. Am. Chem. Soc. 1990, 112, 4127.

 $\Delta E$  for:

-Lewis Acid catalysis can also alter regioselectivity



Rationalization: monodentate vs. bidentate coordination



-Hydrophobic effect: H<sub>2</sub>O solvent acceleration:

Breslow J. Am. Chem. Soc. **1980**, *102*, 7816. Rideout Tetrahedron Lett. **1983**, *24*, 1901.

also:

Sternbach J. Am. Chem. Soc. **1982**, *104*, 5853. Grieco *Tetrahedron Lett.* **1983**, *24*, 1897.

Jorgensen - Hydrogen-bonding of H<sub>2</sub>O serves in the same capacity as a mild Lewis acid.

requires H-bonding carbonyl requires H-bonding solvent

Jorgensen J. Am. Chem. Soc. **1991**, 113, 7430. J. Org. Chem. **1994**, 59, 803.

#### 7. Detailed FMO Analysis

-Using simple computational tools now available, one can quickly and easily predict regioselectivity and comparatively assess rate and diastereoselectivity of a Diels-Alder reaction by examining the frontier molecular orbitals (FMO). Each of the calculations that follow took < 1 min to run.

Classification of Diels-Alder Reactions.



J. A. Pople (computational methods in quantum chemistry) and W. Kohn (density-functional theory) received the 1998 Nobel Prize in Chemistry for their pioneering contributions to theoretical and computational methods for defining properties and chemical behavior.

Common Computational Tools:

Semiempirical

MNDO: Dewar J. Am. Chem. Soc. 1977, 99, 4899.AM1: Dewar J. Am. Chem. Soc. 1985, 107, 3902.

Ab Initio

Gaussian: Pople, Carnegie-Mellon Quantum Chem. Pub. Unit, Pittsburgh, PA.

π system	E		Coeff	icients		
H <sub>2</sub> C=CH-CH=O			0-1	C-2	C-3	C-4
E LUMO E HOMO	0.0 eV -10.9 eV	LUMO: HOMO:	0.42 0.35	-0.50 0.05	-0.43 -0.68	0.63 -0.65
H <sub>2</sub> C=CH-CH=OH <sup>+</sup>						
E LUMO E HOMO	-7.0 eV -16.6 eV	LUMO: HOMO:	0.36 0.36	-0.73 0.23	-0.03 -0.73	0.58 -0.53
$H_2C^4=CH-C(CH_3)=C^1H_2$			<u>C-1</u>	C-2	C-3	C-4
E LUMO E HOMO	0.5 eV -9.2 eV	LUMO: HOMO:	0.57 0.60	-0.43 0.45	-0.37 -0.41	0.51 -0.55
$H_2C^4=CH-C(OCH_3)=C^1H_2$						
E LUMO E HOMO	0.4 eV -9.1 eV	LUMO: HOMO:	0.51 0.67	-0.41 0.42	-0.44 -0.28	0.58 -0.41
$H_2C^2=CH-OCH_3$			<u>C-1</u>	<u>C-2</u>	OCH <sub>3</sub>	
E LUMO E HOMO	1.4 eV -9.5 eV	LUMO: HOMO:	0.72 0.48	-0.66 0.69	0.21 -0.51	

AM1 Theoretical Highest Occupied  $\pi$  Orbital (HOMO) and Lowest Unoccupied  $\pi$  Orbital (LUMO)

AM1  $\pi$ -MO's





Rate:

-Lewis acids catalyze reaction by lowering energy of  $\pi$  MO's of dienophile. -Importantly, the LUMO of the dienophile becomes much lower in energy.

of reaction. For uncatalyzed reaction,  $\Delta E = 9.2 \text{ eV}$ 

Rate increase by Lewis acid catalysis due to lowering of E of LUMO<sub>dieneophile</sub>.

#### Regioselectivity:



due to smaller (relative) coefficient at C3 of diene.







Thermal and (Lewis) acid-catalyzed HOMO<sub>diene</sub>-controlled Diels-Alder reaction of acrolein and 2-methoxybutadiene, AM1 results



// -21.6 eV



Thermal and (Lewis) acid-catalyzed LUMO<sub>diene</sub>-controlled Diels-Alder reaction of acrolein and methyl vinyl ether, AM1 results



Strained Olefins Participate in Accelerated Normal or Inverse Electron Demand Diels-Alder Reactions: FMO Basis

#### 8. Cation-Radical Diels-Alder Reaction



Bauld J. Am. Chem. Soc. 1981, 103, 718; 1982, 104, 2665; 1983, 105, 2378.

#### 9. Ionic Diels-Alder Reaction



Gassman J. Am. Chem. Soc. **1987**, 109, 2182. J. Chem. Soc., Chem. Commun. **1989**, 837.

#### 10. Dienophiles

a. Effect of electron-withdrawing group



- b. Alkyl groups on dienophile can slow Diels-Alder reaction (steric effect)
- c. Strain in dienophile



-Normal and inverse electron demand Diels-Alder reactions of cyclopropenone ketals



65% R = CO<sub>2</sub>Me

Boger *Tetrahedron* **1986**, *42*, 2777. Boger *J. Am. Chem. Soc.* **1986**, *108*, 6695.



Boger J. Am. Chem. Soc. 1995, 117, 12452.

#### d. Quinones are outstanding dienophiles



e. Number and position of electron-withdrawing groups



#### f. cis vs. trans Dienophiles

-In polar (or radical) processes, cis isomer reacts faster than trans, but in Diels-Alder reaction:



steric interaction

-The relative rates of such *cis* vs. *trans* reactions are sometimes used to distinguish between concerted cycloadditions vs. nonconcerted stepwise reactions.

g. Heterodienophiles: typically electron-deficient



Catalytic Diels-Alder reaction Boger *J. Org. Chem.* **1982**, *47*, 895.

- i. Dienophiles which are not electron-deficient
  - (1) Participate in inverse electron demand Diels-Alder reactions:



McBee J. Am. Chem. Soc. **1954**, 77, 3858. Jung J. Am. Chem. Soc. **1977**, 99, 5508.

- (2) Can be used in cation-radical Diels-Alder reactions.
- (3) Also include the behavior of strained olefins.

#### j. Dienophile equivalents

-Many specialized dienophiles have been developed which react well in the Diels-Alder reaction and which serve to indirectly introduce functionality not otherwise directly achievable.





#### 11. Diene

-Dienes must adopt an s-cisoid (s-Z) conformation to react.





reaction rates for cyclic dienes are faster



#### **12. Functionalized Dienes**

Review: Petrzilka, Grayson Synthesis 1981, 753.

-Diels-Alder reaction with introduction of useful functionality



Danishefsky J. Am. Chem. Soc. 1979, 101, 6996.

-Danishefsky:





So an alternative disconnection for  $\alpha,\beta$ -unsaturated enones

TMSO

looks like a Robinson annulation product











Wieland-Miescher Ketone

see also: Danishefsky J. Am. Chem. Soc. 1979, 101, 6996, 7001, 7009, 7013.



Woodward *J. Am. Chem. Soc.* **1952**, *74*, 4223; Bloom *J. Org. Chem.* **1959**, *24*, 278.



very useful

See also: Robinson *J. Am. Chem. Soc.* **1961**, *83*, 249. Orchin, Butz *J. Org. Chem.* **1943**, *8*, 509. Kishi *Tetrahedron Lett.* **1970**, 5127. Kakushima *Can. J. Chem.* **1976**, *54*, 3304. Can also add nucleophiles (RLi, H<sup>-</sup>) to the "vinylogous ester" carbonyl:



Boger J. Org. Chem. 1984, 49, 4033, 4045 and 4050.

Use of aromatic annulation in total synthesis:





Heteroatom Substituted Dienes:



Danishefsky Diene: (see summary list)



Danishefsky J. Am. Chem. Soc. 1977, 99, 6066.

Key Ring Forming Reactions Dale L. Boger



Note the dienophile and diene equivalency list



Brassard Tetrahedron Lett. 1979, 4911.

Danishefsky Applications

Reviews:	Danishefsky Chemtracts: Org. Chem. 1989, 2, 273
	Danishefsky Acc. Chem. Res. 1981, 14, 400.

dienes	J. Am. Chem. Soc. 1979, 101, 6996, 7001 and 7008.
tatettine	J. Am. Chem. Soc. <b>1980</b> , <i>102</i> , 2838.
coriolin	J. Am. Chem. Soc. 1980, 102, 2097.
prephenate	J. Am. Chem. Soc. <b>1979</b> , 101, 7013.
griseofulvin	J. Am. Chem. Soc. <b>1979</b> , 101, 7018.
pentalenolactone	J. Am. Chem. Soc. <b>1980</b> , <i>102</i> , 1974.
vernolepin	J. Am. Chem. Soc. 1977, 99, 6066.
lasiodiplodin	J. Org. Chem. <b>1979</b> , 44, 4716.
papulacandin aglycon	Carbohydr. Res. 1987, 171, 317.
vineomycinone	J. Am. Chem. Soc. <b>1985</b> , 107, 1285.
methyllincosamide	J. Am. Chem. Soc. <b>1985</b> , 107, 1274.
KDO and N-acetylneuraminic acid	J. Am. Chem. Soc. <b>1988</b> , 110, 3929.
tunicaminyluracil	J. Am. Chem. Soc. 1985, 107, 7761.
mevinolin	J. Am. Chem. Soc. <b>1989</b> , 111, 2599.
	Pure App. Chem. 1988, 60, 1555.
compactin	J. Am. Chem. Soc. 1989, 111, 2596.
avermectin A <sub>1a</sub>	J. Am. Chem. Soc. <b>1987</b> , <i>109</i> , 8119.
	J. Am. Chem. Soc. <b>1987</b> , <i>109</i> , 8117.
	J. Am. Chem. Soc. <b>1989</b> , 111, 2967.
octosyl acid	J. Am. Chem. Soc. <b>1988</b> , 110, 7434.
$\alpha$ -methylperacetylhikosanamide	J. Am. Chem. Soc. <b>1989</b> , <i>111</i> , 2193.
zincophorin	J. Am. Chem. Soc. 1988, 110, 4368.
6a-deoxyerythronolide	Silicon Chem. 1988, 25 (Ellis Horwood Ltd.)

-Unactivated dienes





 $R_2$ 

н

 $E = CO_2CH_3$ 

double activation permits reaction even with deactivated dienes

Boger J. Org. Chem. 1985, 50, 1904.



intramolecular reaction permits use of unactivated diene or dienophile

Boger Tetrahedron Lett. 1991, 32, 7643.

 $R_2$ 

ĊO<sub>2</sub><sup>t</sup>Bu

1. Cs<sub>2</sub>CO<sub>3</sub>,

2. TsOH

 $CH_2CI_2$ 

-Deslongchamp: Tetrahedron Lett. 1990, 31, 3969; Synlett 1990, 516.



via [4 + 2] Diels-Alder reaction



-Compilation of Representative Functionalized Dienes

Review: Petrzilka, Grayson Synthesis 1981, 753.





#### reference

R = CH <sub>3</sub> , Ac		Tetrahedron Lett. 1976, 3869, 3873.		
	R = Ac	J. Am. Chem. Soc. 1977, 99, 8116.		
OR	R = CH <sub>3</sub> , 3-Me	Tetrahedron Lett. 1978, 1387.		
	R = CH <sub>3</sub> , 4-Me	Tetrahedron Lett. 1978, 3869.		
	R = Ac, 3-Me	J. Chem. Soc., Chem. Commun. <b>1980</b> , 197.		
		Syn. Commun. <b>1980</b> , 197.		
OMe Me <sub>3</sub> SiO		J. Org. Chem. <b>1980</b> , 45, 4825.		
	Danishefsky's diene	J. Am. Chem. Soc. <b>1974</b> , <i>96</i> , 7807.		
		J. Org. Chem. <b>1975</b> , 40, 538.		
		J. Am. Chem. Soc. <b>1977</b> , <i>99</i> , 5810.		
		J. Am. Chem. Soc. <b>1979</b> , 101, 6996, 7001.		
		See Danishefsky reference list.		
		see also: J. Chem. Soc., Perkin Trans. 1 <b>1979</b> , 3132.		
QR	R = Me	J. Org. Chem. <b>1982</b> , 47, 4474.		
	R = Et	J. Am. Chem. Soc. <b>1978</b> , 100, 7098.		
RO	$R = SiMe_3$	Syn. Commun. <b>1977</b> , 7, 131.		
KO		Chem. Lett. 1978, 649.		
		Tetrahedron Lett. 1976, 3169.		
		Chem. Pharm. Bull. <b>1978</b> , <i>26</i> , 2442.		
		<i>Synthesis</i> <b>1981</b> , 30.		
		Tetrahedron Lett. 1979, 159.		
		Tetrahedron Lett. 1980, 21, 3557.		
OR	R = SiMe <sub>3</sub>	Tetrahedron Lett. <b>1979</b> , 4438.		
OMe	, , , , , , , , , , , , , , , , , , ,	Chem. Lett. 1978, 649.		
Me <sub>3</sub> SiO	R = Me	J. Chem. Soc., Perkin Trans. 1 <b>1976</b> , 1852.		
		J. Org. Chem. <b>1978</b> , <i>43</i> , 379.		
		J. Am. Chem. Soc. <b>1979</b> , 101, 7001.		
		See Danishefsky reference list.		
Me <sub>3</sub> SiO		J. Org. Chem. <b>1977</b> , 42, 1819.		
ŠePh				


J. Chem. Soc., Perkin Trans. 1 1979, 3132.

Me<sub>3</sub>SiO



Tetrahedron 1967, 23, 87.





#### 13. Heterodienes

-Typically, heterodienes are electron-deficient and participate in inverse electron demand Diels-Alder reaction

Reviews: Boger Tetrahedron **1983**, *34*, 2869. Comprehensive Org. Syn., Vol. 5, 451.

-Acyclic azadienes, N-sulfonyl-1-azadienes:



 Regiospecific and Diastereospecific



- \* Secondary orbital interaction (C-2 diene/OR)
- \* n  $\sigma^*$  stabilization (T.S. anomeric effect)
- \* Solvent independent rate
- \* Dienophile geometry conserved

- \* Pressure-induced endo diastereoselectivity
- \* k (trans) > k (cis)
- \* C-3 EWG accelerates reaction (25 °C)
- $_{\star}$  And C-2 or C-4 EWG accelerate reaction
- \* C-3 > C-2 or C-4 (25 °C)

Boger J. Am. Chem. Soc. 1991, 113, 1713.





Fredericamycin A Boger *J. Am. Chem. Soc.* **1995**, *117*, 11839. Streptonigrone Boger *J. Am. Chem. Soc.* **1993**, *115*, 10733. (–)-Mappicine Nothapodytine B Boger *J. Am. Chem. Soc.* **1998**, *120*, 1218.

-Representative heteroaromatic azadiene Diels-Alder reactions taken from the work of Boger



 Reviews: Boger
 Tetrahedron 1983, 34, 2869.
 Prog. Heterocycl. Chem. 1989, 1, 30.

 Chem. Rev. 1986, 86, 781.
 Bull. Chim. Soc. Belg. 1990, 99, 599.

 Chemtracts: Org. Chem. 1996, 9, 149.

-Heterocyclic azadiene Diels-Alder reaction total synthesis applications taken from the work of Boger



Streptonigrin *J. Am. Chem. Soc.* **1985**, *107*, 5745.



Prodigiosin J. Org. Chem. **1988**, *53*, 1405.



*cis*-Trikentrin A *J. Am. Chem. Soc.* **1991**, *113*, 4230.



J. Org. Chem. 1984, 49, 4405.

H. Fischer received the 1930 Nobel Prize in Chemistry on the structure of haemin and chlorophyll and the subsequent synthesis of haemin. By many, this is regarded as a milestone accomplishment for the field of organic synthesis.



Lavendamycin *J. Org. Chem.* **1985**, *50*, 5782 and 5790.



Ningalin A J. Am. Chem. Soc. **1999**, 121, 54.



Isochrysohermidin *J. Am. Chem. Soc.* **1993**, *115*, 11418.



Phomazarin J. Am. Chem. Soc. **1999**, *121*, 2471.







J. Am. Chem. Soc. 1987, 109, 2717.







Bleomycin A<sub>2</sub> J. Am. Chem. Soc. **1994**, *116*, 5607, 5619, 5631, 5647.





Permethyl Storniamide A *J. Am. Chem. Soc.* **1999**, *121*, 54.

### 14. Intramolecular Diels-Alder Reactions

Review: Ciganek *Org. Reac.* **1984**, *32*, 1. Jung *Synlett* **1990**, 186. Thomas *Acc. Chem. Res.* **1991**, *24*, 229. Weinreb *Acc. Chem. Res.* **1985**, *18*, 16. Oppolzer *Comprehensive Organic Synthesis*, Vol. 5; 315.

A. General Considerations:

-less negative  $\Delta S^{\ddagger}$ , which accelerates reaction and results in milder reaction conditions.

-naturally affects regioselectivity and diastereoselectivity.

-extends Diels-Alder reaction to include systems which are normally unreactive.



Wilson J. Am. Chem. Soc. 1978, 100, 6289.

B. Notable applications in synthesis:

-tethered intramolecular Diels-Alder reactions



Batey J. Am. Chem. Soc. 1999, 121, 450.

-metal-catalyzed intramolecular Diels-Alder reactions

An emerging group of transition-metal mediated [4 + 2] cycloadditions are under development.

Ni-catalyzed



Wender J. Am. Chem. Soc. 1989, 111, 6432.

Rh-catalyzed



Livinghouse J. Am. Chem. Soc. 1990, 112, 4965.

-applications in total synthesis



Roush J. Am. Chem. Soc. 1998, 120, 7411.

### **15. Asymmetric Diels-Alder Reaction**

#### A. General considerations

-Unsymmetrically substituted dienes or dienophiles have enantiotopic faces. Even with exclusive *cis-endo* addition and regioselectivity, products occur as a pair of enantiomers.



-There are three possible ways to obtain one of the enantiomers in excess:

- a) using chiral dienes.
- b) using chiral dienophiles.
- c) using chiral Lewis acid catalysts.

In addition, double stereoselection can be realized in many situations.

-Comparison of chiral substrate vs. chiral catalyst

use of a chiral substrate (chiral diene or dienophile): a stoichiometric amount of chiral auxiliary R\* is needed and its introduction before and removal after the Diels-Alder reaction are neccessary.



use of a chiral catalyst: usually 0.1 equiv. is enough to introduce chirality and the catalyst can be recovered from the reaction mixture and reused.



#### B. Chiral dienophiles

Review: Oppolzer *Angew. Chem., Int. Ed. Eng.* **1984**, *23*, 876. Ager and East *Asymmetric Synthetic Methodology*, CRC Press: New York, 1996.

-Chiral dienophiles provide the vast majority of the examples of asymmetric Diels-Alder reactions.

Type I

Type II

First example:



## Masamune J. Org. Chem. 1983, 48, 1139, 4441.



>98% de

Evans J. Am. Chem. Soc. 1984, 106, 4261; 1988, 110, 1238.

other notable chiral dienophiles:



Arai J. Org. Chem. 1991, 56, 1983.



Oppolzer *Helv. Chim. Acta* **1989**, *72*, 123. Oppolzer *Tetrahedron Lett.* **1990**, *31*, 5015.



Liu *Tetrahedron Lett.* **1991**, *32*, 2005. Boger *J. Org. Chem.* **1985**, *50*, 1904.







Koizumi Tetrahedron Lett. 1984, 25, 87.



Ghosez Tetrahedron Lett. 1989, 30, 5891.



Boeckman J. Am. Chem. Soc. 1992, 114, 2258.



Inverse electron demand Diels-Alder reaction Posner J. Am. Chem. Soc. **1986**, *108*, 7373.



Feringa Tetrahedron: Asymmetry 1991, 2, 1247.



Meyers Tetrahedron Lett. 1989, 30, 6977.



Danishefsky J. Am. Chem. Soc. **1982**, 104, 6457. Danishefsky J. Am. Chem. Soc. **1984**, 106, 2455.



Koga J. Chem. Soc., Perkin Trans. 1 1990, 426.

#### C. Chiral dienes

-These have been much less extensively studied.



McDougal Tetrahedron Lett. 1989, 30, 3897.

Me

## D. Chiral Lewis acid catalysts

Review: Oh Org. Prep. Proced. Int. 1994, 26, 129.

Age and East Asymmetric Synthetic Methodology; CRC Press: New York, 1996.

## -Pioneer work



Koga J. Chem. Soc., Chem. Commun. **1979**, 437. Tetrahedron Lett. **1987**, 28, 5687.

a. Boron-based Lewis acids



Yamamoto J. Org. Chem. 1989, 54, 1481.



Kelly J. Am. Chem. Soc. 1986, 108, 3510.

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Yamamoto Tetrahedron Lett. 1986, 27, 4895.

other boron-based catalysts





Yamamoto *Synlett* **1990**, 194. Helmchen *Synlett* **1990**, 197. Mukaiyama *Chem. Lett.* **1991**, 1341. Corey *J. Am. Chem. Soc.* **1991**, *113*, 8966.

 $C_3$ -symmetric

Kaufmann *Angew. Chem., Int. Ed. Eng.* **1990**, *29*, 545. See also: Yamamoto *J. Am. Chem. Soc.* **1998**, *120*, 6920.



Hawkins J. Am. Chem. Soc. 1991, 113, 7794.

<sup>t</sup>BuCH<sub>2</sub>  $CH_3$ CH<sub>3</sub> Br BBr<sub>2</sub>•SMe<sub>2</sub>

Kaufmann *Tetrahedron Lett.* **1987**, *28*, 777. Kaufmann *J. Organomet. Chem.* **1990**, *390*, 1.



other chiral ligands used for chiral aluminum-based Lewis acids:





Kagan Tetrahedron: Asymmetry 1990, 1, 199.



Wulff, Rhenigold J. Am. Chem. Soc. 1993, 115, 1814.

Chapuis Helv. Chim. Acta 1987, 70, 436.

### c. Titanium-based Lewis acids





Other Titanium catalysts:







Mikami *Tetrahedron: Asymmetry* **1991**, *2*, 643. Chapuis *Helv. Chim. Acta* **1987**, *70*, 436.

## d. Copper-based Lewis acids



Cu(OTf)<sub>2</sub> bis(oxazoline)





Ph O TiCl<sub>2</sub>

Oh J. Org. Chem. 1992, 57, 396.



Yamamoto J. Org. Chem. 1993, 58, 2938.



Evans J. Am. Chem. Soc. **1993**, *115*, 6460. Evans Tetrahedron Lett. **1993**, *34*, 7027.



Evans J. Am. Chem. Soc. 1998, 120, 4895.

e. Iron, Magnesium-based Lewis Acids



Corey J. Am. Chem. Soc. 1991, 113, 728.

f. Miscellaneous chiral Lewis acids





Corey Tetrahedron Lett. 1992, 33, 6807.



Danishefsky J. Am. Chem. Soc. 1986, 108, 7060.

Kobayashi Tetrahedron Lett. 1993, 34, 4535.



Masamune J. Org. Chem. 1983, 48, 4441.



Evans Tetrahedron Lett. 1993, 34, 7027.

G. Intramolecular Diels-Alder reactions





Roush J. Am. Chem. Soc. 1982, 104, 2269.

Narasaka Chem. Lett. 1989, 1947.

## 16. Some Classic and Favorite Total Synthesis Applications



Reserpine Woodward *Tetrahedron* **1958**, *2*, 1.



Ibogamine

Sallay J. Am. Chem. Soc. **1967**, *89*, 6762. Trost J. Am. Chem. Soc. **1978**, *100*, 3930.



allo-Inositol myo-Inositol Kowarski J. Org. Chem. **1973**, *38*, 117.



Cantharidin Stork, Burgstahler *J. Am. Chem. Soc.* **1953**, *75*, 384. Dauben *J. Am. Chem. Soc.* **1980**, *102*, 6893.



Tetrodotoxin Kishi *J. Am. Chem. Soc.* **1972**, *94*, 9217.



Pyridoxol Harris *J. Org. Chem.* **1962**, *27*, 2705. Daktorova *Tetrahedron* **1969**, *25*, 3527.



Fraxinellone Fukuyama *Tetrahedron Lett.* **1972**, 3401.



α-Damascone Cookson *J. Chem. Soc.*, *Chem. Commun.* **1973**, 161, 742.



Quinic acid Raphael *J. Chem. Soc.* **1960**, 1560. Smissman *J. Am. Chem. Soc.* **1963**, *85*, 2184. Wolinsky *J. Org. Chem.* **1964**, *29*, 3596. Raphael *Tetrahedron Lett.* **1968**, 1847. Newkome *Tetrahedron Lett.* **1968**, 1851.



Patchouli alcohol Naf, Ohloff *Helv. Chim. Acta* **1974**, *57*, 1868.



Prostaglandins Corey J. Am. Chem. Soc. **1970**, *92*, 397. Taub *Tetrahedron Lett.* **1975**, 3667.



Nootkatone Dastur *J. Am. Chem. Soc.* **1974**, *96*, 2605.



Steroids Sarett J. Am. Chem. Soc. **1952**, 74, 4974. Sarett J. Am. Chem. Soc. **1954**, 76, 5026.



Lycorine Torssell *Tetrahedron Lett.* **1974**, 623.



Hasubanan Derivative Evans J. Am. Chem. Soc. **1972**, *94*, 2891.



Colchicine Eschenmoser *Helv. Chim. Acta* **1961**, *44*, 540. Boger *J. Am. Chem. Soc.* **1986**, *108*, 6713.



α-Copaene Corey *J. Am. Chem. Soc.* **1973**, *95*, 2303.



Chelidonine Oppolzer J. Am. Chem. Soc. **1971**, *93*, 3836.



Dendrobine Kende J. Am. Chem. Soc. **1974**, *96*, 4332. Roush J. Am. Chem. Soc. **1980**, *102*, 1390.



Minovine Spitzner *J. Am. Chem. Soc.* **1973**, *95*, 7146. Spitzner *J. Am. Chem. Soc.* **1970**, *92*, 3492.



## Shikimic acid

Raphael J. Chem. Soc., Chem. Commun. 1960, 1560. Raphael Tetrahedron Lett. 1968, 1847. Newkome Tetrahedron Lett. 1968, 1851. Smissman J. Am. Chem. Soc. 1962, 84, 1040. Smissman J. Am. Chem. Soc. 1959, 81, 2910. Wolinsky, Vasileff J. Org. Chem. 1964, 29, 3596.



Prostaglandins Sakai, Kobori Tetrahedron Lett. 1981, 115.



Illudol Fomannosin Semmelhack J. Am. Chem. Soc. 1980, 102, 7567. Semmelhack J. Am. Chem. Soc. 1981, 103, 2427. Semmelhack J. Am. Chem. Soc. 1982, 104, 747.







#### Pumilotoxin

Oppolzer Helv. Chim. Acta 1977, 60, 48, 204. Inubushi Chem. Pharm. Bull. 1978, 26, 2442. Inubushi Tetrahedron Lett. 1976, 3169. Overman Tetrahedron Lett. 1977, 1253. Overman J. Am. Chem. Soc. 1978, 100, 5179.







Anthraguinone antibiotics (aglycon) Kelly J. Am. Chem. Soc. 1980, 102, 5983. Cava J. Am. Chem. Soc. 1981, 103, 1992. Vogel Tetrahedron Lett. 1979, 4533. Brassard Tetrahedron Lett. 1979, 4911. Gesson Tetrahedron Lett. 1981, 22, 1337. Rapoport Tetrahedron Lett. 1980, 21, 4777. Gesson Tetrahedron Lett. 1980, 21, 3351.



Kuehne J. Org. Chem. 1980, 45, 3259.

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Seychellene Yoshkoshi *J. Chem. Soc., Perkin Trans. 1* **1973**, 1843. Jung *Tetrahedron Lett.* **1980**, *21*, 3127.



Gibberellic acid Corey *Tetrahedron Lett.* **1973**, 4477. Corey *J. Am. Chem. Soc.* **1978**, *100*, 8031, 8034.



Rufescine Boger *J. Org. Chem.* **1984**, *49*, 4050.



Patchouli alcohol Naf *Helv. Chim. Acta* **1974**, *57*, 1868.



Fumagillin Corey J. Am. Chem. Soc. **1972**, *94*, 2549.



Streptonigrone Boger J. Am. Chem. Soc. **1993**, 115, 10733.



Bleomycin A<sub>2</sub> Boger *J. Am. Chem. Soc.* **1994**, *116*, 5607, 5619, 5631, 5647.



(+)-P-3A Boger *J. Am. Chem. Soc.* **1994**, *116*, 82.



*cis*-Trikentrin A Boger *J. Am. Chem. Soc.* **1991**, *113*, 4230.



Streptonigrin

Boger J. Am. Chem. Soc. **1985**, 107, 5745. Weinreb J. Am. Chem. Soc. **1980**, 102, 3962.



Octamethylporphin Boger *J. Org. Chem.* **1984**, *49*, 4405.



Prodigiosin Boger *J. Org. Chem.* **1988**, *53*, 1405.



Juncusol Boger *J. Org. Chem.* **1984**, *49*, 4045.



Isochrysohermidin Boger *J. Am. Chem. Soc.* **1993**, *115*, 11418.



Lavendamycin methyl ester Boger *J. Org. Chem.* **1985**, *50*, 5790.



Trichodermol Still *J. Am. Chem. Soc.* **1980**, *102*, 3654.



(+)-CC-1065/PDE-I and PDE-II Boger *J. Am. Chem. Soc.* **1987**, *109*, 2717. Boger *J. Am. Chem. Soc.* **1988**, *110*, 4796.



Sendaverine Boger *J. Org. Chem.* **1984**, *49*, 4033.

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n = 0: Endiandric acid E n = 1: Endiandric acid F Nicolaou *J. Am. Chem. Soc.* **1982**, *104*, 5555, 5557, 5558, 5560.



Catharanthine Trost *J. Org. Chem.* **1979**, *44*, 2052.



Indicine *N*-oxide Keck *J. Am. Chem. Soc.* **1980**, *102*, 3632.



Dodecahedrane Paquette J. Am. Chem. Soc. **1982**, 104, 4503.



9-Isocyanopupukeanone 9-Pupukeanone Yamamoto *J. Am. Chem. Soc.* **1979**, *101*, 1609. White *J. Org. Chem.* **1980**, *45*, 1864.



n = 0: Endiandric acid D n = 1: Endiandric acid G Nicolaou *J. Am. Chem. Soc.* **1982**, *104*, 5555, 5557, 5558, 5560.



Quassin and Quassinoids Grieco J. Am. Chem. Soc. **1980**, *102*, 7586.



Retigeranic acid Corey *J. Am. Chem. Soc.* **1985**, *107*, 4339.



Perhydrohistrionicotoxin Keck J. Org. Chem. **1982**, 47, 3590.



Sativene Snowden *Tetrahedron Lett.* **1981**, *22*, 97, 101.



Phyllanthocin Burke *Tetrahedron Lett.* **1986**, *27*, 4237.



Fredericamycin A Boger *J. Am. Chem. Soc.* **1995**, *117*, 11839.



Grandirubrine Imerubrine Boger J. Am. Chem. Soc. **1995**, 117, 12452.



(–)-Mappicine and Nothapodytine B Boger *J. Am. Chem. Soc.* **1998**, *120*, 1218.

# **B.** Robinson Annulation

Reviews House pp. 606-613. M. Jung, *Tetrahedron* **1976**, *32*, 3. *Org. React.* **1959**, *10*, 179. *Org. React.* **1968**, *16*, 3. *Synthesis* **1976**, 777. *Synthesis* **1969**, 49. R. Robinson was awarded the 1947 Nobel Prize in Chemistry for his work on the synthesis of natural products, especially steroids and alkaloids. Notably, he was also the first to address the issue of reaction mechanisms with applications of valence theory to reaction mechanisms, and is credited with the first use of the curved arrow to indicate electron movement. His synthesis of tropinone (1917) is viewed by many to represent the first natural product total synthesis from simple precursors (succindialdehyde, acetone, and methylamine).

Robinson J. Chem. Soc. 1917, 762. (tropinone)



Robinson J. Chem. Soc. 1935, 1285.

Generated a great deal of interest and subsequent work because of relationship to steroid synthesis.

## 1. Scope

- Formally, a [4 + 2] condensation approach



Wieland-Miescher ketone

Wieland and Miescher Helv. Chim. Acta 1950, 33, 2215.

- Alternative "[3 + 3] Robinson Annulation"



Both the were firs

Both the [4 + 2] and [3 + 3] approaches were first generalized by Robinson *J. Chem. Soc.* **1937**, 53.



- With stronger base, other reactions are observed:



- Double addition of MVK sometimes a problem, especially at more acidic sites.



-Solutions



- For the preparation of the useful octalone derivative, the low yield is acceptable since it is prepared from readily available materials.



Marshall J. Org. Chem. 1964, 25, 2501.

At low temperature, MVK polymerization is slow and Michael reaction OK, but not sufficiently vigorous for elimination, so the reaction is conducted in two steps.



Heathcock and McMurry Tetrahedron Lett. 1971, 4995.

- Alternatives to methyl vinyl ketone: MVK difficult to employ due to tendency to polymerize



- Other equivalents



#### **Enamine Annulations**



Corey J. Am. Chem. Soc. 1963, 85, 3527.

- The bridged annulation



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- Helminthosporal synthesis, Corey J. Am. Chem. Soc. 1963, 85, 3527.



## **Aromatic Annulation**



## 2. Diastereoselectivity



When R = H, also subject to equilibration to most stable isomer.

Substituents at this position subject to thermodynamic equilibration to most stable product.

General Observations:



#### 3. Tandem Robinson Annulation

(Incorporation of more than four carbons from MVK for more convergent syntheses)

- Examples



Karady *Tetrahedron Lett.* **1976**, 2401. Velluz *Angew. Chem., Int. Ed. Eng.* **1965**, *4*, 181.

via Michael addition to vinyl pyridine Birch reduction to dihydropyridine, and hydrolysis to diketone

Danishefsky J. Am. Chem. Soc. **1968**, *90*, 520. Danishefsky J. Am. Chem. Soc. **1975**, *97*, 380.

Elements of three sequential Robinson annulations



via Birch reduction of aromatic ring, followed by hydrolysis



Poirier Tetrahedron 1989, 45, 4191.



Danishefsky J. Am. Chem. Soc. 1971, 93, 2356.





Hydrogenation: McMurry J. Am. Chem. Soc. 1968, 90, 6821; Can. J. Chem. 1972, 50, 336.

Birch reduction: For exceptions to generalizations which can exist-see Boger Tetrahedron Lett. 1978, 17.



5. Asymmetric Robinson Annulation and Related Reactions

Taber J. Org. Chem. 1989, 54, 3831.



## **Asymmetric Michael**

Revial *Tetrahedron Lett.* **1989**, *30*, 4121. d'Angelo *J. Am. Chem. Soc.* **1985**, *107*, 273. Guingant *Tetrahedron: Asymm.* **1993**, *4*, 25.


#### 6. Steroid Synthesis

Steroid synthesis: Woodward (Nobel 1965), Robinson (Nobel 1947) Isolation methods: Chromatography Conformational analysis: Barton (Nobel 1969) UV spectroscopy: Woodward, Fieser ORD: Djerassi Biosynthesis theory: Bloch and Lynen (Nobel in Med. 1964), Cornforth (Nobel 1975)

1. Cholesterol

Isolation: 1812 Structure, wrong!, Windaus (Nobel 1928) and Wieland (Nobel 1927) 1932, correct planar connectivity (Wieland) 1947, stereochemistry 1952, absolute stereochemistry

2. Sex Hormones



The hormone responsible for female development and maintenance of reproductive organs and secondary sex characteristics. Pure material isolated 1929, E. Doisy (St. Louis Univ.) and A. Butenandt (Gottingen, Nobel 1939)

4 tons of sow ovaries: 25 mg



The male sex hormone

1931, Butenandt isolated androsterone (metabolite of testosterone) 15,000 L of men's urine: 15 mg

1935, testosterone isolated from 100 kg bull testicles: 10 mg, E. Laquer

1939, planar structure elucidated by Butenandt, Ruzicka (Nobel 1939)



Progesterone

The pregnancy hormone: maintains proper uterine environment for development of fetus, inhibits further ovulation, nature's contraceptive. 1934, isolation and planar structure, Butenandt 50,000 sows to provide 625 kg ovaries: 20 mg





Structure: 1935-38, Kendall, Reidstein, Wintersteiner from adrenal cortex of 1.25 million cattle
1952, 36 step synthesis via degradation of bile acids (Sarett, Merck)
1949, Hench and Kendall (Mayo Clinic), 1950 Nobel with Reinstein for anti-arthritic activity
1951, Djerassi (Syntex), synthesis from Mexican yam steroid
1951, Upjohn microbial process for C11 oxidation of progesterone Natural steroid hormones are present in such trace amounts in mammals that it is not a practical source. Synthetic steroids, e.g. 19-nor steroids, became commercially important.

Russell E. Marker (Syntex, Penn. State) Degradation of sapogenins and other plant products *J. Am. Chem. Soc.* **1947**, *69*, 2167. Diosgenin is obtained from the Mexican diocorea plant (Mexican yams).



Dehydropregnenolone is easily transformed to progesterone in 3 steps: (1)  $H_2$ , Pd-C (2) hydrolysis (3) Oppenauer oxidation: cyclohexanone,  $Al(O^iPr)_3$ 

Upjohn avoided attempted monopoly by use of stigmasterol obtained from soybeans:





#### The Total Synthesis Of Steroids

Representative strategies employing the Robinson and related annulations

The Velluz Approach (Roussel-Uclaf, Paris) *Compt. rend.* **1960**, *250*, 1084, 1511. *Angew. Chem., Int. Ed. Eng.* **1965**, *4*, 181.

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Stork isoxazoles, J. Am. Chem. Soc. 1967, 89, 5464.

S. Danishefsky vinyl pyridines, J. Am. Chem. Soc. 1975, 97, 380.

J. Tsuji via Wacker oxidation of terminal double bonds, J. Am. Chem. Soc. 1979, 101, 5070.

Comparison of strategies employing the intramolecular Diels-Alder reaction:

First applications of this strategy were developed independently in laboratories of T. Kametani and W. Oppolzer.

Examples

T. Kametani, *Tetrahedron Lett.* **1978**, 2425. *J. Am. Chem. Soc.* **1976**, *98*, 3378. *J. Am. Chem. Soc.* **1977**, *99*, 3461. *J. Am. Chem. Soc.* **1978**, *100*, 6218.

Oppolzer *Helv. Chim. Acta* **1977**, *60*, 2964. Oppolzer *Angew. Chem., Int. Ed. Eng.* **1977**, *16*, 10. Oppolzer *Helv. Chim. Acta* **1980**, *63*, 1703.





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T. Saegusa J. Am. Chem. Soc. 1981, 103, 476.



K. P. C. Vollhardt and R. Funk J. Am. Chem. Soc. 1977, 99, 5483.





(±)-Estra-1,3,5(10)-trien-17-one

K. Vollhardt J. Am. Chem. Soc. 1979, 101, 215.



Total Synthesis of Cortisone

R. B. Woodward received the 1965 Nobel Prize in Chemistry for "Contributions to the Art of Organic Synthesis" and the award preceded the total synthesis of vitamin B<sub>12</sub> carried out in collaboration with Eschenmoser, the principles of orbital symmetry conservation (Hoffmann Nobel Prize in 1981), the Wilkinson structure determination of ferrocene (Nobel 1973) carried out with Woodward, and the collaborative delineation of the steroidal biosynthesis involving stereoselective cation-olefin cyclizations in collaboration with Bloch (Nobel 1964). Woodward changed synthesis from application of empirical reactions to a mechanistic foundation for predicting substrate reactivity (rates, stereoselectivity) and designed this rationale into the preplanned synthesis. The results were stunning with unattainable objectives falling one after another: quinine (1944), patulin (1950), cholesterol (1951), cortisone (1951), lanosterol (1954), lysergic acid (1954), strychnine (1954), reserpine (1956), chlorophyll (1960), tetracyclines (1962), colchicine (1963), cephalosporin C (1966), most before the wide spread usage of <sup>1</sup>H NMR. Breathtaking natural product structure determinations: penicillin (1945), strychnine (1948), patulin (1949), terramycin (1952), aureomycin (1952), cervine (1954), magnamycin (1956), gliotoxin (1958), oleandomycin (1960), streptonigrin (1963), and tetrodotoxin (1964) also preceded the reliance on <sup>1</sup>H NMR. The formal total synthesis of vitamin B<sub>12</sub> was completed in 1972 in collaboration with A. Eschenmoser (>100 postdoctoral fellows) and synthetic cobyric acid was converted to vitamin B<sub>12</sub> in 1976.

#### R. B. Woodward

J. Am. Chem. Soc. 1951, 73, 2403, 3547, 4057.

J. Am. Chem. Soc. 1952, 74, 4223.



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# **C. Birch Reduction**



Robinson annulationtype product

- See the discussion in the sections on the Birch reduction and the Robinson annulation.
- Allows an aromatic ring to be incorporated into a synthesis and converted into a useful, nonaromatic ring system.

## **D. Dieckmann Condensation**

- An intramolecular Claisen condensation, see enolate section for a more detailed discussion.



## E. Intramolecular Nucleophilic Alkylation

- Powerful approach to closure of rings

Examples:

- Kinetic enolate generation (Note: O-alkylation may compete).



 Gem dimethyl effect facilitates cyclization





- Versus thermodynamic enolate generation (Note: O-alkylation may compete).



- Closure subject to stereoelectronic control.



## F. Intramolecular Aldol Condensation

- The intramolecular aldol condensation has been used extensively to close or form rings.

Representative Examples:







Fredericamycin A Boger J. Org. Chem. **1991**, *56*, 2115. J. Am. Chem. Soc. **1995**, *117*, 11839.

## **G. Intramolecular Michael Reaction**



# H. Cation-Olefin Cyclization

### 1. Reviews

Johnson	Acc. Chem. Res. 1968, 1, 1.			
	Angew. Chem., Int. Ed. Eng. 1976, 15, 9.			
	Bioorg. Chem. 1976, 5, 51.			
Harding	Bioorg. Chem. 1973, 2, 248.			
Goldsmith	Fortschr. Chem. Org. Nat. 1972, 29, 363.			
Lansbury	Acc. Chem. Res. <b>1972</b> , <i>5</i> , 311.			

### 2. Representative Cation-Olefin Cyclizations





Goldsmith J. Org. Chem. 1970, 35, 3573.



Money J. Chem. Soc., Chem. Commun. 1971, 766.



Lansbury J. Am. Chem. Soc. **1966**, 88, 4290. J. Am. Chem. Soc. **1970**, 92, 5649.



Marvell J. Org. Chem. 1970, 35, 391.



Baldwin Tetrahedron Lett. 1975, 1055.



Bartlett J. Am. Chem. Soc. **1965**, *87*, 1288. Johnson J. Am. Chem. Soc. **1964**, *86*, 5593.



Marshall J. Am. Chem. Soc. **1965**, 87, 2773. J. Am. Chem. Soc. **1966**, 88, 3408.



Johnson J. Am. Chem. Soc. 1968, 90, 2994.



Johnson J. Am. Chem. Soc. **1970**, *92*, 4461. J. Am. Chem. Soc. **1980**, *102*, 7800.



J. Org. Chem. **1975**, 40, 973.

J. Am. Chem. Soc. 1970, 92, 2568.



#### 3. Background

Squalene cyclization first suggested as a biosynthetic precursor to cholesterol

Heilbrow, Kann, and Owens *J. Chem. Soc.* **1926**, 1630. Robinson *Chem. Ind.* **1934**, *53*, 1062. J. L. Goldstein and M. S. Brown received the 1985 Nobel Prize in Medicine for their discoveries concerning the regulation of cholesterol metabolism.



HC

- Lanosterol was proposed in 1953 by Woodward and Block.

- Experimental verification that cholesterol is biosynthesized from squalene was developed independently by

Block J. Biol. Chem. 1953, 200, 129.

Cornforth *Biochem. J.* **1954**, *58*, 403. *Biochem. J.* **1957**, *65*, 94.

K. Block received the 1964 Nobel Prize in Medicine for his discoveries concerning the mechanism and regulation of the cholesterol and fatty acid metabolism. J. W. Cornforth received the 1975 Nobel Prize in Chemistry jointly with V. Prelog for outstanding intellectual achievement on the stereochemistry of reactions catalyzed by enzymes.

- Stork-Eschenmoser hypothesis: the *trans-anti-trans* stereochemistry of the steroids and many terpenoids is a consequence of a concerted polyene cyclization.



- Anti addition of a carbocation and nucleophilic olefin on opposite faces of a  $\pi$ -bond analogous to *trans* electrophilic addition to alkenes. Therefore, cyclization of a *trans* olefin leads to a *trans* ring fusion and cyclization of a *cis* olefin leads to a *cis* ring fusion.

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Cholesterol

#### 4. Key Publications

- Initial experimental demonstrations of multiple cascade cyclizations and the Stork-Eschenmosher steroid-type cyclizations:

Stork and Burgstahler J. Am. Chem. Soc. 1955, 77, 5068.

Eschenmoser and Arigoni Helv. Chim. Acta 1955, 38, 1890.

First disclosed in lectures and proposals as early as 1950, but experimental verification was difficult.

- First clear verification of Stork-Eschenmoser hypothesis.

Johnson J. Am. Chem. Soc. **1964**, *36*, 1959. J. Am. Chem. Soc. **1965**, *30*, 1735.



#### 5. Three Stages of Reaction

- Initiation
- Cyclization
- Termination
- Mechanistically all three may take place simultaneously or stepwise paths may be involved.
- Depends on the nature of the substrate and the reaction medium.
- Without careful control, the formation of many products will result in a complex mixture.
- For example: Johnson verification of Stork-Eschenmoser hypothesis.



- Much effort expended to control the reaction through mild, selective and efficient initiation and termination.

#### 8. Synthesis of Progesterone



- How would you imagine doing this?
- Remember chair-like transition states for the cyclization.

## I. Free Radical Cyclizations

## 1. Reviews

Acyloin Condensation: Bloomfield, J. J.; Owsley, D. C.; Nelke, J. M. Org. React. 1976, 23, 259.
McMurry Coupling: McMurry, J. E. Acc. Chem. Res. 1983, 16, 405.
Julia Free Radical Cyclization: Julia, M. Acc. Chem. Res. 1971, 4, 386. Pure App. Chem. 1967, 15, 167.

#### - General Reviews

Beckwith, A. L. J.; Ingold, K. U. *Rearrangements in Ground State and Excited States*, Vol. 1.; de Mayo, P., Ed.; Academic: NY, 1980, pp. 182-220.
Beckwith, A. L. J. *Tetrahedron* 1981, *37*, 3037. (Regioselectivity of ring cyclization)
Giese, B. *Radicals in Organic Synthesis: Formation of Carbon-Carbon Bonds*, Pergamon: Oxford, 1986.
Symposium-in-print: *Tetrahedron* 1985, *41*, no. 19.
Curran, D. P. *Synthesis* 1988, 417 and 489.
Hart, D. J. *Science* 1984, *223*, 883.
Ramaiah, M. *Tetrahedron* 1987, *43*, 3541. *Comprehensive Org. Syn.*, Vol. 4., Chapter 4.1 and 4.2, pp. 715-831.
Laird, E. R.; Jorgensen, W. L. *J. Org. Chem.* 1990, *55*, 9.
Giese, B. *Org. React.* 1996, *48*, pp. 301-856.

## 2. Reductive Coupling of Carbonyl Compounds

a. Acyloin Condensation



Sheehan J. Am. Chem. Soc. 1950, 72, 3376.





b. Rühlmann Modification with Me<sub>3</sub>SiCl



Tetrahedron Lett. 1968, 591.

#### 3. Reductive Coupling of Ketones and Aldehydes (Pinacol Coupling and McMurry Reaction)

- Low valent Ti reagents used to generate ketyl radicals and chosen to permit generation of either the pinacol or olefin product.





McMurry J. Am. Chem. Soc. 1983, 105, 1660.



Estrone Synthesis: Ziegler J. Org. Chem. 1982, 47, 5229.

- Other Functional Groups: Corey Tetrahedron Lett. 1983, 24, 2821.



### 4. Sml<sub>2</sub> Promoted Reductive Coupling Reactions (Radical Mechanisms)

- Lanthanide chemistry reviews

Molander Chem. Rev. 1992, 92, 29.

Molander in *Chemistry of the Carbon Metal Bond*, Hartley, F. R.; Patai, S., Eds.; Wiley: NY, 1989, Vol 5 Molander in *Comprehensive Org. Syn.*, Vol. 1, p. 262.

Kagan *Nouv. J. Chem.* **1990**, *14*, 453. Kagan *Tetrahedron* **1986**, *42*, 6573. Soderquist *Aldrichim. Acta* **1991**, *24*, 15.

a. Ketyl-Olefin Coupling Reactions

- Intermolecular (Only effective for "activated" olefins)



93%



Enholm J. Am. Chem. Soc. 1989, 111, 6463.



Curran J. Am. Chem. Soc. 1988, 110, 5064.



Molander J. Org. Chem. 1994, 59, 3186.

- Imminium ion generated in situ

 $CIO_4^ N_+$  Ph  $CIO_4^ N_+$  Ph  $CH_3CN$  N (''H) Ph

Martin Tetrahedron Lett. 1988, 24, 6685.

- Hydrazone (5-exo hydrazone >> 5-exo alkene; 6-exo hydrazone > 5-exo alkene)



Fallis J. Am. Chem. Soc. **1994**, *116*, 7447. J. Org. Chem. **1994**, *59*, 6514.

- Fragmentation-cyclization



Motherwall Tetrahedron Lett. 1991, 32, 6649.

b. Alkyl/Aryl Radical Cyclizations





Curran Synlett 1990, 773.

- c. Pinacol-type Coupling Reactions
  - Intermolecular



Kagan Tetrahedron Lett. 1983, 24, 773.

- Intramolecular



Molander J. Org. Chem. 1988, 53, 2132.



Molander J. Org. Chem. 1988, 53, 2132.



Molander J. Org. Chem. 1988, 53, 2132.





Chiara *Tetrahedron Lett.* **1994**, *35*, 2969.

- A recent total synthesis of (–)-Grayanotoxin III incorporated two ketyl-olefin cyclization reactions and a pinacol coupling reaction (Sml<sub>2</sub>-promoted).
- Shirahama J. Org. Chem. 1994, 59, 5532.





#### 5. Radical-Olefin Cyclizations

- a. Representative Examples
  - Concurrent with Johnson's investigation of cation-olefin cyclizations, Julia initiated radical-olefin cyclization studies.



- b. Reactivity and Regioselectivity
  - Relative rates of addition to PO(OEt)<sub>2</sub>: typical electron-deficient olefin.

	CH₃•	CH <sub>3</sub> CH <sub>2</sub> ●	CH <sub>3</sub> OCH <sub>2</sub> •	(CH <sub>3</sub> ) <sub>2</sub> CH●	(CH <sub>3</sub> ) <sub>3</sub> C∙
$k_{\rm rel} =$	1	1	2.7	4.8	24

- Alkyl radicals are regarded as nucleophilic.

Steric Effects on Addition Regioselectivity						
olefin	% addition to:		<u>k<sub>rel</sub></u>			
<b>A</b>	Ca	Cb				
	>95	<5	1.16			
a b						
	>95	<5	18.4			
a b	>95	<5	2 x 136			
a b	-					
	50	50	2 x 0.50			
	50	50	2 x 0.63			
a h						
a b	> 05	Б	15			
	>90	Э	10			
, ,	_					
$\rightarrow$	<5	>95	13.9			
/a b						



β-substitution strongly decelerates intermolecular addition with activated acceptors

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### c. Cyclization Rates, Regioselectivity, and Diastereoselectivity



Beckwith J. Chem. Soc., Chem. Commun. **1974**, 472. Beckwith J. Chem. Soc., Chem. Commun. **1980**, 484.



- Chair-like transition state subject to stereoelectronic and kinetic control rather than thermodynamic control.



Stereochemical features of substitution can be rationalized and predicted based on these models.



- Linker chain effects



- Stabilized radicals participate in reversible cyclizations and the thermodynamic product is observed.



- Alkynyl radicals give 5-exo closure (stereoelectronic) even with stablized radicals.



Note effect of additional sp<sup>2</sup> centers in the linking chain: 5-*exo* closure takes precedence over the overall stability of the resulting free radical.
 1° vs 3°



more stable

- Closure onto carbonyls possible









Note: Alkyl and vinyl radicals are subject to faster reduction. Cyclizations such as the above example or those for the formation of 7-membered rings are not very successful, but acyl radicals are much more stable and not subject to competitive reduction.



- Cyclization-Addition Reactions



- Addition-Cyclization Reactions



- Macrocyclization onto activated acceptor is faster than 6-exo, 7-exo or 6-endo closure.
- Competitive with 5-exo closure.



- Rearrangement/Ring-enlargement Cyclization





J. Am. Chem. Soc. **1988**, *110*, 1321. J. Am. Chem. Soc. **1988**, *110*, 4756.



J. Org. Chem. 1992, 57, 2873.



Little J. Am. Chem. Soc. 1981, 103, 2744.
## J. Anionic Cyclizations



Stereochemistry and comparison with radical cyclizations: Cooke J. Org. Chem. 1992, 57, 1495.

Funk J. Am. Chem. Soc. 1993, 115, 7023.



Synthetic aspects of magnesium (Grignard) carbometalation have been studied in detail. For a review see: Oppolzer *Angew. Chem., Int. Ed. Eng.* **1989**, *28*, 38.



Oppolzer Tetrahedron Lett. 1982, 23, 4669.

### K. 1,3-Dipolar Cycloadditions

Review: 1,3-Dipolar Cycloaddition Chemistry, Padwa, A., Ed., Wiley: New York, 1984.

- $2\pi^{s}$  +  $4\pi^{s}$  Cycloaddition
- Subject to FMO control: can predict regioselectivity and reactivity.

#### - FMO Control:

- (a) Reactivity:  $\Delta E$  (HOMO/LUMO) and the reactivity of the system is related to the magnitude of the smallest energy gap of the pair of HOMO-LUMO combinations.
- (b) Regioselectivity: depends on the magnitude of the orbital coefficients and is determined by the orbital coefficients on the predominant HOMO-LUMO interaction. The largest coefficient on the 1,3-dipole binds to the largest coefficient on the dipolarophile.
- (c) Diastereoselectivity: influenced by stabilizing secondary orbital interactions and subject to an endo effect.
- (d) Olefin geometry is maintained in the course of the cycloaddition reaction -> concerted reaction.
- (e) No solvent effect on the reaction rate -> concerted.
- (f) No rearrangement products from postulated zwitterion or biradical.
- (g) *Trans*-1,2-disubstituted olefins react faster than *cis*-1,2-disubstituted olefins. *cis* olefins are generally more reactive than *trans* olefins in ionic or radical addition reactions.

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1. Azomethine Ylides



1,3-dipole

2. Azomethine Imines



3. Nitrones



- Symmetrical precursor or a precusor with one adjacent oxidizable center.



- The regioselectivity depends on X and the substitution pattern of the nitrone.

- Review: Confalone Org. React. 1988, 36, 1.

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### 8. Nitrile Ylides









9. Carbonyl Ylides



- problem: collapse of the carbonyl ylide to the epoxide

#### 10. Methylene Cyclopropanone Ketals



### 11. Cyclopropenone Ketal (CPK) Diels-Alder/Dipolar Cycloadditions



## L. 1,3-Sigmatropic Rearrangement

### 1. Vinylcyclobutane rearrangement



Overberger J. Am. Chem. Soc 1960, 82, 1007.



Sano Chem. Pharm. Bull. 1992, 40, 873.

### 2. Vinylcyclopropane rearrangement

Review: Hudlicky Chem. Rev. 1989, 89, 165.



Org. React. 1985 33, 247.

Mechanism:



Paquette Tetrahedron Lett. 1982, 23, 263.







Trost J. Am. Chem. Soc. 1976, 98, 248.



Harvey Tetrahedron Lett. 1991, 32, 2871.



Wood, Smith J. Am. Chem. Soc. 192, 114, 10075.

### 3. Carbonyl/Imine cyclopropane rearrangement



Stevens J. Am. Chem. Soc. 1968, 90, 5580.



Boger, Gabaccio J.Org. Chem. 1997, 62, 8875.

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## **M. Electrocyclic Reactions**



Nicolaou J. Am. Chem. Soc. 1982, 104, 5555, 5557, 5558 and 5560.

## **N. Nazarov Cyclization**

 $4\pi e^-$  Conrotatory electrocyclic ring closure

Review: Santelli-Rouvier, C.; Santelli, M. *Synthesis* **1983**, 4295. Nazarov *Usp. Khim.* **1949**, *18*, 377.; *Usp. Khim.* **1951**, *20*, 71. Denmark *Org. React.* **1994**, *45*, 1-158. Denmark *Comprehensive Org. Syn.*, Vol. 5, pp. 751-784.





Braude J. Chem. Soc. 1953, 2202.

- Silicon-directed Nazarov cyclization.



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Eaton J. Org. Chem. 1976, 41, 2238.

- Extensions to annulation procedures.



Raphael J. Chem. Soc. **1953**, 2247. J. Chem. Soc., Perkin Trans. 1 **1976**, 410.

- Stereochemical course of the reaction: via Nazarov cyclization.



Hiyama J. Am. Chem. Soc. **1979**, 101, 1599. Bull. Chem. Soc. Jpn. **1981**, 54, 2747.

- Lewis acid-catalyzed reactions.



Tsuge Bull. Chim. Soc. Jpn. 1987, 60, 325.

- Tin-directed Nazarov cyclization.



Johnson Tetrahedron Lett. 1986, 27, 5947.

# O. Divinylcyclopropane Rearrangement

*Comprehensive Org. Syn.,* Vol. 5, 971. *Org. React.* **1992**, *41*, 1.

 $(2\sigma^{\rm s}+2\pi^{\rm s}+2\pi^{\rm s})$ 



- Mechanism:



- Synthesis of functionalized 7-membered rings:



## P. Carbene Cycloaddition to Alkenes

### 1. Halocabenes

Parman, Schweizer *Org. React.* **1963**, *13*, 55. Moss *Acc. Chem. Res.* **1989**, *22*, 15. *Acc. Chem. Res.* **1980**, *13*, 58. Kostikov, Molchanov, Khlebnikov *Russ. Chem. Rev.* **1989**, *58*, 654.





- Methods for generating halocarbenes:

For a comprehensive list see: Kirmse Carbene Chemistry, 1971, 313.



- Reaction with alkenes:



Doering J. Am. Chem. Soc. 1956, 78, 5447.

- Reaction with aromatic C=C bonds (cyclopropanation followed by rearrangement):



Parman, Schweizer J. Am. Chem. Soc. 1961, 83, 603.



Closs, Schwartz J. Org. Chem. 1961, 26, 2609.

### 2. Simmons-Smith Reaction

Simmons *Org. React.* **1973**, *20*, 1. Simmons, Smith *J. Am. Chem. Soc.* **1958**, *80*, 5323.

+ 
$$CH_2I_2$$
 +  $Zn(Cu)$  +  $ZnI_2$  +  $Cu$ 

- Mechanism:



- Addition can be directed by a hydroxyl group or ether functionality:



# 3. Diazocarbene Addition - Rearrangement

Review: Burke and Grieco Org. React. 1979, 26, 361.



#### 4. Metal-Carbene Cycloaddition Reactions

Comprehensive Org. Syn., Vol. 5, pp. 1065.

- Three-membered ring [2 + 1] Bookhart, Studabaker *Chem. Rev.* **1987**, *87*, 411. Doyle *Chem. Rev.* **1986**, *86*, 919. E. O. Fischer received the 1973 Nobel Prize in Chemistry for his work in organometallic chemistry with transition metal complexes including metallocenes and his stabilized carbene complexes.

Reaction works well for electron-rich, electron-poor and unactivated C=C bonds.



- Fischer carbene addition to alkynes typically leads to 6-membered ring , 4- and 5-membered rings form only under special circumstances.



Yamashita Tetrahedron Lett. 1986, 27, 5915.

- Six-membered rings [3 + 2 + 1] (Fischer carbene addition to alkynes)

Dötz, Fischer Transition Metal Carbene Complexes, VCH: Deerfield Beach, FL, 1983.

Dötz Angew. Chem., Int. Ed. Eng. 1984, 23, 587.

Casey in *Transition Metal Organometallics in Organic Synthesis*, Academic Press: New York, 1976, Vol. 1. Dötz *Pure Appl. Chem.* **1983**, *55*, 1689.

Casey in *Reactive Intermediates*, Wiley-Interscience: New York, 1982, Vol. 2, and 1985, Vol. 3.

Hegedus *Principles and Applications of Organotransition Metal Chemistry*, University Science Books: Mill Valley, CA, 1987, p. 783.

Brown Prog. Inorg. Chem. 1980, 27, 1.

Wulff in Advances in Metal-Organic Chemistry, JAI Press: Greenwich, CT, 1989, Vol. 1.

- General scheme



- Most widely studied after cyclopropanation of Fischer carbenes. Extensively applied in natural product synthesis. Examples:



Wulff in Advances in Metal-Organic Chemistry, JAI Press: Greenwich, CT, 1989, Vol. 1.



## Q. [2 + 3] Cycloadditions for 5-Membered Ring Formation

Review: Comprehensive Org. Syn., Vol. 5, 239.



Noyori Tetrahedron Lett. 1978, 493.

- Intramolecular version: Yamamoto J. Am. Chem. Soc. 1979, 101, 220.



- Reviews: Acc. Chem. Res. **1979**, *12*, 61. Org. React. **1983**, *29*, 163. Modern Organic Chemistry The Scripps Research Institute



Mayr Angew. Chem., Int. Ed. Eng. 1981, 20, 1027.

**2. (2**π **+** 4π)





- Trost trimethylenemethane equivalent:

J. Am. Chem. Soc. 1979, 101, 6429. J. Am. Chem. Soc. 1983, 105, 2315.





MeO<sub>2</sub>C 32%



Related equivalents:



1,4-addition of allylsilane: Knapp Tetrahedron Lett. 1980, 4557.



Trost J. Am. Chem. Soc. 1980, 102, 5680.



Nakamura J. Am. Chem. Soc. 1989, 111, 7285. J. Am. Chem. Soc. 1991, 113, 3183.

## **R. Cyclopropenone Ketal Cycloadditions**

Review: Boger Adv. Cycloaddition Chem., JAI Press: Greenwich, CT, Vol. 2, 1990, pp. 147-219.

1. [2 + 1] Cycloaddition





- Carbene addition:  $2\pi^{s} + 2\omega^{a}$ suprafacial antarafacial QMe OMe  $\otimes$ OMe Н н OMe Ŕ Н endo stabilization QMe OMe R OMe Н OMe н Ĥ 

- Carbene angle of attack: Jorgensen J. Am. Chem. Soc. 1989, 111, 1919.



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#### 2. [3 + 2] Cycloaddition

- Substrates that contain two geminal electron-withdrawing groups.



### 3. [4 + 3] Cycloaddition



-  $2\pi^{s}$  +  $4\pi^{s}$  Cycloaddition or Diels-Alder but via a  $2\pi$  three carbon dienophile.



Boger J. Am. Chem. Soc. 1986, 108, 6713.

### 4. [4 + 2] Cycloaddition (standard Diels-Alder reaction)



Boger J. Am. Chem. Soc. 1995, 117, 12452.

# S. [2 + 2] Cycloadditions

### 1. Ketene [2 + 2] cycloadditions

Baldwin J. Chem. Soc., Chem. Commun. 1972, 1337.

### 2. Photochemical [2 + 2] cycloaddition

*Comprehensive Org. Syn.,* Vol. 5, 123. *Org. React.* **1993**, *44*, 297.



Cargill Tetrahedron Lett. 1978, 4465.



C. R. Johnson J. Am. Chem. Soc. 1981, 103, 7667.

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- Note regioselectivity:



Corey J. Am. Chem. Soc. 1964, 86, 5570.

#### 3. Paterno-Buchi Reaction

*Comprehensive Org. Syn.,* Vol. 5, 151. Dermuth *Synthesis* **1989**, 152. First studied in detail by Buchi *J. Am. Chem. Soc.* **1954**, *76*, 4327.



-Addition to enol ether occurs with only moderate selectivity ...



... while addition of the carbonyl to a furan occurs with high selectivity.



Schenk Chem. Ber. 1963, 96, 498.

- Intramolecular variant:



Carless J. Chem. Soc., Chem. Commun. 1984, 667.

- Diastereoselectivity:



Aoyoma J. Org. Chem. **1984**, 49, 396. Pattenden J. Chem. Soc., Chem Commun. **1980**, 1195. J. Chem. Soc., Chem Commun. **1979**, 235.

### **T. Arene-Olefin Photoadditions**

- Discovery in 1966: Wilzbach J. Am. Chem. Soc. 1966, 88, 2066. Bryce-Smith J. Chem. Soc., Chem. Commun. 1966, 512.

Comprehensive Org. Syn., Vol. 5, 645.



Wender J. Am. Chem. Soc. 1982, 104, 5805.

76%

93%

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### **U. Intramolecular Ene Reaction**

Review: H. M. R. Hoffmann *Angew. Chem., Int. Ed. Eng.* **1969**, *8*, 556. *Comprehensive Org. Syn.,* Vol. 5, pp. 9.



review: Oppolzer Angew. Chem., Int. Ed. Eng. 1978, 17, 476.

- First systematic study by Alder:

- First intramolecular versions:





Treibs, Schmidt Chem. Ber. 1927, 60, 2335.





Smith J. Am. Chem. Soc. 1991, 113, 2071.



Overman Tetrahedron Lett. 1985, 35, 4167.

Note the Sharpless mechanism for SeO<sub>2</sub> oxidation of olefins: allylic oxidation involves an ene reaction.



Amine Oxide Elimination (Cope Elimination)

*Org. React.* **1960**, *11*, 361. *Org. Syn.* **1963**, *4*. 612. Cope J. Am. Chem. Soc. **1954**, *81*, 2799. Zutter J. Am. Chem. Soc. **1986**, *108*, 1039.



#### Sulfoxide Elimination

Trost Chem. Rev. **1978**, 78, 363. Acc. Chem. Res. **1978**, 11, 453. J. Am. Chem. Soc. **1973**, 95, 6840. J. Am. Chem. Soc. **1976**, 98, 4887. Ziegler J. Am. Chem. Soc. **1984**, *106*, 721. Schreiber J. Am. Chem. Soc. **1984**, *106*, 4038. Agosta J. Am. Chem. Soc. **1986**, *108*, 3385.













Boger, Mullican *J. Org. Chem.* **1980**, *45*, 5002. *J. Org. Chem.* **1984**, *49*, 4045.

#### - Selenoxide Elimination

Clive *Tetrahedron* **1978**, *34*, 1049. Reich *Acc. Chem. Res.* **1979**, *12*, 22.



### V. Oxy-Ene Reaction: Conia Reaction

*Comprehensive Org. Syn.,* Vol. 5, 20. Review: J. M. Conia *Synthesis* **1975**, 1.





tandem Conia reactions: Conia Tetrahedron Lett. 1974, 2931.

### W. Cyclopentenone Annulation Methodology



Piers Tetrahedron Lett. 1979, 3279. Altenbach Angew. Chem., Int. Ed. Eng. 1979, 18, 940.

- Flemming-Greene Annulation:



Loganin: Flemming *J. Chem. Soc., Chem. Commun.* **1977**, 81. Hirsutene: Greene *Tetrahedron Lett.* **1980**, 3059. Hirsutic Acid: Greene *J. Am. Chem. Soc.* **1983**, *105*, 2435.



Danheiser J. Am. Chem. Soc. **1981**, *103*, 1604. *Tetrahedron* **1983**, *39*, 935.

- Cyclopropylphosphonium salts:



Fuchs J. Am. Chem. Soc. 1974, 96, 1607.



- β-Vetivone synthesis:



Dauben J. Am. Chem. Soc. 1975, 97, 1622.







Corey, Boger Tetrahedron Lett. 1978, 5, 9, 13 and 4557.



Piers Tetrahedron Lett. 1994, 35, 8573.

- Additional reviews: Denmark *Org. React.* **1994**, *45*, 1. Hudlicky *Chem. Rev.* **1989**, *89*, 1467. Sehore *Chem. Rev.* **1988**, *88*, 1085. Ramarah *Synthesis* **1984**, 529.

## X. Pauson-Khand Reaction

[2 + 2 + 1] *Comprehensive Org. Syn.*, Vol. 5, pp. 1037-1064. *Org. React.* **1991**, *40*, 1. Pauson *Tetrahedron* **1978**, *41*, 5855. Schore *Chem. Rev.* **1988**, *88*, 1081. First detailed study: Khand *J. Chem. Soc., Perkin Trans. 1* **1973**, 977.



- 1. Regio- and stereochemistry are controlled by steric factors.
- 2. Complexation of alkene and insertion into Co-C bond occurs from less hindered face.
- 3. Insertion of the alkene carbon bearing the largest allylic substituent to form the first C-C bond occurs at the alkyne carbon bearing the smallest substituent.
- 4. Subsequent CO insertion occurs next to the largest alkyne substituent.
- 5. Reductive elimination followed by decomplexation gives the final product.

- Intermolecular:





Schore J. Org. Chem. **1987**, *52*, 3595.

entry into guaianolide and pseudoguaianolide natural products

- Intramolecular



Magnus J. Am. Chem. Soc. 1983, 105, 2477.



Schore J. Am. Chem. Soc. 1988, 110, 5224.



Serratosa *Tetrahedron Lett.* **1985**, *26*, 2475. *Tetrahedron* **1986**, *42*, 1831.

-Heterosubstituted systems:



85%

Schreiber J. Am. Chem. Soc. 1986, 108, 3128.



Smith Tetrahedron Lett. 1986, 27, 1241.
# Y. Carbonylation Cyclizations

Comprehensive Org. Syn., Vol. 4, 1015. Alper Acc. Chem. Res. 1995, 28, 414.

- Pd mediated carbonylation



Negishi J. Am. Chem. Soc. 1985, 107, 8289.

- Formation of lactones



Norton J. Am. Chem. Soc. 1981, 103, 7520.

- Formation of amides

Heck J. Org. Chem. 1975, 40, 2667.



Brown, Negishi J. Chem. Soc., Chem. Commun. 1967, 594. J. Am. Chem. Soc. 1967, 89, 5477.

60%

Ĥ

# Z. Olefin Ring Closing Metathesis

Grubbs Comprehensive Org. Syn., Vol. 5, 1115.
Acc. Chem. Res. 1995, 28, 446.
Tetrahedron 1998, 54, 4413.
Schrock J. Am. Chem. Soc. 1990, 112, 3875 and 8378.
J. Am. Chem. Soc. 1991, 113, 6899.

K. Ziegler and G. Natta shared the 1963 Nobel Prize in Chemistry for their discovery and development of transition metal catalyzed preparation of polyethylene and stereoregular polymers including polypropylene.



Grubbs *Comprehensive Organometallic Chem.*, Vol. 8, 1982, 499. Sehrer *J. Sci. Ind. Res.* **1983**, *42*, 250.

- Defined Catalysts
  - 1. Early catalysts were poorly defined and incompatible with basic functionality.
  - 2. Development of well-defined catalysts lead to high catalytic activity and compatibility with a wide variety of funtionalities.
  - 3. Catalysts are based on variety of transition metals including: Mo, Ru, W, Re, Ti and Ta.
  - 4. The mechanism appears the same for all transition metals.
  - 5. The most widely used catalysts are:



- Applications to organic synthesis

Ring closing metathesis is rapidly becoming one of the more powerful methods for preparing medium and large rings.

Modern use of ring closing metathesis traced back to:



Grubbs, R. H.; Fu, G. C. J. Am. Chem. Soc. **1992**, 114, 5426, 7324. J. Am. Chem. Soc. **1993**, 115, 3800.

Recent examples:

HN



Hoveyda J. Am. Chem. Soc. **1995**, 117, 2943. J. Am. Chem. Soc. **1996**, 118, 10926.

ΗN

- Danishefsky, Nicolaou and Schinzer have all prepared Epothilone A using ring closing metathesis as the key cyclization step.



Danishefsky J. Am. Chem. Soc. **1997**, *119*, 2733. Nicolaou Angew. Chem., Int. Ed. Eng. **1997**, *36*, 166. Schinzer Angew. Chem., Int. Ed. Eng. **1997**, *36*, 523.

- Application to ring closing metathesis of enynes:



 $\begin{array}{l} {\sf R} = {\sf Me:} \ \ \, \textbf{2} \ \, (5 \ mol\%), \ \ \, {\sf C}_6{\sf H}_6, \ 50 \ \, {}^\circ{\sf C}, \ 73\% \\ {\sf R} = {\sf CO}_2{\sf Me:} \ \ \, \textbf{3} \ \, (4 \ mol\%), \ \, {\sf CH}_2{\sf Cl}_2, \ 25 \ \, {}^\circ{\sf C}, \ 87\% \\ \end{array}$ 

Kinoshita, Mori J. Org. Chem. **1996**, *61*, 8356.

- Application to the synthesis of fused nitrogen heterocycles:



Martin Tetrahedron 1996, 52, 7251.

# XI. Olefin Synthesis

## A. Wittig Reaction

G. Wittig received the 1979 Nobel Prize in Chemistry for "many significant contributions to Organic Chemistry" which included not only the Wittig reaction, but also PhLi prepared by metal-halogen exchange, benzyne, and the Wittig rearrangement.

Reviews:	Comprehensive Org. Syn., Vol. 1, 755.
	<i>Org. React.</i> <b>1965</b> , <i>14</i> , 270.
	Angew. Chem., Int. Ed. Eng. <b>1964</b> , <i>3</i> , 250.
	Top. Stereochem. <b>1970</b> , <i>5</i> , 1.
	Pure. Appl. Chem. <b>1979</b> , <i>51</i> , 515.
	Chem. Rev. <b>1989</b> , <i>89</i> , 863.

### 1. Formation of Ylides



- Unstabilized ylides are sensitive to  $H_2O$ ,  $O_2$ 

## 2. Reaction of Ylides with Ketones



Strong bond formation is part of the driving force for the collapse of the oxaphosphetane.

Wittig and Schöllkopf Chem. Ber. 1954, 87, 1318.

### 3. Mechanism and Stereoselectivity of the Wittig Reaction

$$Ph_3P=CHCH_3 \xrightarrow{RCHO} CH_3$$

cis olefin from nonstabilized ylides

R

- Stereoselectivity increases as the size of the R group increases.
- Accepted mechanism today: irreversible and concerted [2 + 2] cycloaddition.



Orientation such that the R groups on the aldehyde and on the ylide are as far apart as possible.

- The three alternative [2 + 2] cycloaddition transition states suffer destabilizing steric interactions:



- So, the mechanism involves fast, irreversible [2 + 2] cycloaddition (usually occurs at –78 °C) followed by slow decomposition of oxaphosphetane (frequently requires warming to 0-25 °C).
- Nonpolar solvents facilitate the initial addition.
- Polar solvents facilitate the final elimination reaction.

### 4. Representative Examples





Still J. Org. Chem. 1980, 45, 4260.

85%, >99% *Z* 

OH

Z-alkene

- Schlösser modification: allows the preparation of trans vs. cis olefins.



Schlösser Angew. Chem., Int. Ed. Eng. 1966, 5, 126.

- β-Oxido Phosphonium Ylide Reaction: adaptation of the Schlösser modification for the stereoselective preparation of trisubstituted allylic alcohols.



Only 2° alkoxide forms oxaphosphetane that eliminates to form the olefin.

Corey, Katzenellenbogen and Posner *J. Am. Chem. Soc.* **1967**, *89*, 4245. Corey and Yamamoto *J. Am. Chem. Soc.* **1970**, *92*, 226. Corey and Yamamoto *J. Am. Chem. Soc.* **1970**, *92*, 6636. Corey and Yamamoto *J. Am. Chem. Soc.* **1970**, *92*, 6637.



Chiappe Tetrahedron Lett. 1996, 37, 4225.

### 5. Stabilized Ylides



- Stabilized ylides are solid; stable to storage, not particularly sensitive to moisture, and can even be purified by chromatography.
- Because they are stabilized, they are much less reactive than alkyl ylides. They react well with aldehydes, but only slowly with ketones.
- The first step, involving the addition to the aldehyde, is slow and reversible with stabilized ylides.



- It is also possible that elimination occurs in a stepwise manner via stabilized zwitterionic intermediate that may simply afford the more stable product.

### - α-oxygenated substrates

- The exception to the generation of *E*-alkenes with stabilized ylides is their reaction with  $\alpha$ -alkoxy aldehydes.



Tronchet, Bentzle Helv. Chim. Acta 1979, 62, 2091.

## 6. Annulation Applications of the Wittig Reaction



- Homoconjugate addition:



- Modest yields because one electron-withdrawing group is not sufficient to activate the cyclopropane ring to nucleophilic ring opening.



Dauben J. Am. Chem. Soc. 1975, 97, 1622.

- Applications:



# **B. Wadsworth-Horner-Emmons Reaction**

Horner *Chem. Ber.* **1958**, *91*, 61; **1959**, *92*, 2499. Wadsworth, Emmons *J. Am. Chem. Soc.* **1961**, *83*, 1733. Wadsworth, Emmons *J. Am. Chem. Soc.* **1966**, *88*, 5654.

Reviews: Org. React. **1977**, *25*, 73-253. Comprehensive Org. Syn., Vol. 1, 761.

## 1. Arbuzov Reaction - Preparation of Phosphonate Esters



Good reactions for:  $EtO_{U} \\ EtO_{U} \\ O \\ W \\ W = CN, COOR, C(O)R, CHO, SO_2Ph, Ph But not W = alkyl, H$ 

### 3. Modifications and Scope

- LiCl/tertiary amines (DBU, <sup>*i*</sup>Pr<sub>2</sub>NEt, Et<sub>3</sub>N)

Masamune, Roush Tetrahedron Lett. 1984, 25, 2183.

Can substitute for conventional conditions and is especially good for base sensitive substrates (epimerization, elimination).



Keck J. Org. Chem. 1989, 54, 896. (thioester was also stable to these conditions)

-Hindered phosphonates and hindered aldehydes increase E-selectivity (trans).

CH3	CH3	CH3	
BnO, CHO B	nO	<sup>∕</sup> CO₂R	
Ph <sub>3</sub> P=CHCO <sub>2</sub> Et, CH <sub>2</sub> Cl <sub>2</sub> , 0 °C	7:1	E : Z	
( <sup>i</sup> PrO) <sub>2</sub> POCH <sub>2</sub> CO <sub>2</sub> Et, KO <sup>t</sup> Bu, THF, -78 °C	C 95 : 5	E : Z	
(MeO) <sub>2</sub> POCH <sub>2</sub> CO <sub>2</sub> Me, KO <sup>t</sup> Bu, THF, -78	°C 1:3	E : Z	

Kishi Tetrahedron 1981, 37, 3873.

- The use of a nonhindered phosphonate, low temperatures, and a strongly dissociating base (KO<sup>t</sup>Bu) can give increased or high *Z*-selectivity (*cis*).
- Coordinating countercations slow the rate of elimination relative to equilibration.

	PhCHO	Ph	0₂R	PhCH_3
	ĒH <sub>3</sub>	$\bar{\bar{C}}H_3 \dot{C}H_3$	т	ĒH <sub>3</sub> CO <sub>2</sub> R
Stabilized	Ph <sub>3</sub> P=C(Me)CO <sub>2</sub> Et, CH <sub>2</sub> Cl <sub>2</sub> , 25 °C	95	:	5
Wittig reagent	Ph <sub>3</sub> P=C(Me)CO <sub>2</sub> Et, MeOH, 25 °C	85	:	15
	(MeO) <sub>2</sub> POCH(Me)CO <sub>2</sub> Me, KO <sup>t</sup> Bu, THF, -78 °C	5	:	95
Wadsworth-Horner-	(MeO) <sub>2</sub> POCH(Me)CO <sub>2</sub> Et, KO <sup>t</sup> Bu, THF, -78 °C	10	:	90
Emmons reagent	(EtO) <sub>2</sub> POCH(Me)CO <sub>2</sub> Et, KO <sup>t</sup> Bu, THF, -78 °C	40	:	60
	( <sup><i>i</i></sup> PrO) <sub>2</sub> POCH(Me)CO <sub>2</sub> Et, KO <sup><i>t</i></sup> Bu, THF, –78 °C	90	:	10
	( <sup>/</sup> PrO)₂POCH(Me)CO₂ <sup>/</sup> Pr, KO <sup>t</sup> Bu, THF, −78 °C	95	:	5

- Still-Gennari modification selective for Z-alkenes (cis):



Still Tetrahedron Lett. 1983, 24, 4405.



## **C.** Peterson Olefination

Peterson J. Org. Chem. 1968, 33, 781.

Reviews: Org. React. 1990, 38, 1.

## 1. Nonstabilized Peterson Reagents

- Me<sub>3</sub>SiCH<sub>2</sub>Met, Met = Li, Mg, offer an alternative to Wittig or Tebbe procedures. They are more reactive and sterically less demanding than a Wittig reagent and the volatile byproduct (Me<sub>3</sub>SiOH/Me<sub>3</sub>SiOSiMe<sub>3</sub>) is simpler to remove than Ph<sub>3</sub>PO. It does, however, require a second step to promote elimination of the  $\beta$ -hydroxysilane.

- Example



Danishefsky J. Org. Chem. 1988, 53, 3391.

- TMS eliminates in preference to Ph<sub>3</sub>P or P(O)(OR)<sub>2</sub>:

Peterson. J. Org. Chem. 1968, 33, 780.

Note: this is the origin of its discovery

- Modifications include: Me<sub>3</sub>SiCH<sub>2</sub>MgBr/ TiCl<sub>4</sub> (direct production of olefin), and Me<sub>3</sub>SiCH<sub>2</sub>Li/ CeCl<sub>3</sub> (enolizable ketones and aldehydes, while esters and acid chlorides give allylsilanes via addition 2x).
- The elimination is stereospecific: acid-promoted being anti and base-promoted being syn.



Hudrlik, Peterson J. Am. Chem. Soc. 1975, 97, 1464.

- Unstabilized Peterson reagents add to ketones and aldehydes irreversibly with little diastereoselectivity. Therefore, mixtures of *cis* and *trans* olefins are obtained and the reactions are not yet as useful as the Wittig reaction.

### 2. Stabilized Peterson Reagents

- The stabilized Peterson reagents give predominantly the most stable *trans* olefins (*E*) although this has been studied far less than the Wittig or Wadsworth-Horner-Emmons reactions. The origin of this diastereoselection has not been extensively explored with regard to enolate geometry, reversible/ irreversible addition, or mechanism of elimination. In this case, the elimination takes place under the reaction conditions.



- Additional examples:



Corey, Weigel, Chamberlin, Lipshutz *J. Am. Chem. Soc.* **1980**, *102*, 1439. Corey, Enders, Bock *Tetrahedron Lett.* **1976**, 3 and 7.



Corey and Boger Tetrahedron Lett. 1978, 5.

# D. The Tebbe Reaction and Related Titanium-stabilized Methylenations

reviews: Org. React. **1993**, 43, 1. Comprehensive Org. Syn., Vol. 1, 743.

- The Wittig, Wadsworth-Horner-Emmons, and Peterson olefination do not convert esters or amides to the corresponding olefin, but rather fail to react or result in the cleavage of the ester or amide bond.
- Schrock discovered that Ta and Nb *tert*-butyl alkylidene complexes behave analogous to phosphorous ylides and, notably, react with esters and amides to provide the corresponding <sup>1</sup>butylalkenes.

Schrock J. Am. Chem. Soc. 1976, 98, 5399.

- The Tebbe reagent was introduced in 1978 and was shown to react with aldehydes, ketones, esters, and lactones to produce the methylene derivatives.



Tebbe J. Am. Chem. Soc. 1978, 100, 3611.

- Tolerates ketal and alkene derivatives.

Scope defined by Evans and Grubbs *J. Am. Chem. Soc.* **1980**, *102*, 3270. Extended to tertiary amides by Pine *J. Org. Chem.* **1985**, *50*, 1212.



Use of Cp<sub>2</sub>TiMe<sub>2</sub>: Petasis J. Am. Chem. Soc. 1990, 112, 6392.

# E. Representative Other Methods for Terminal Methylene Formation

### Reagents

References

 $\label{eq:rescaled} \begin{array}{l} R_2CO,\ CH_2Cl_2,\ Mg\\ R_2CO,\ LiCH_2PO(NMe_2)_2\\ R_2CO,\ LiCH_2SPh;\ CH_3SO_2Cl;\ Li/NH_3\\ R_2CO,\ LiCH_2SPh;\ (RO)_2PCl;\ heat\\ R_2CO,\ LiCH_2S(O)Ph \end{array}$ 

Cainelli *Tetrahedron Lett.* **1967**, 5153. Corey *J. Am. Chem. Soc.* **1966**, *88*, 5653. Ghatak *J. Am. Chem. Soc.* **1972**, *94*, 4758. Kuwajima *Tetrahedron Lett.* **1972**, 737. Kuwajima *Tetrahedron Lett.* **1972**, 649.

- Julia Olefination

Review: Comprehensive Org. Syn., Vol. 1, 792.



- Example:



Julia Tetrahedron Lett. 1973, 4833.

 $\begin{array}{l} \mathsf{R}_2\mathsf{CO}, \mathsf{LiCH}_2\mathsf{S}(\mathsf{O})^t\mathsf{Bu}; \mathsf{SOCI}_2\text{-}\mathsf{CH}_2\mathsf{CI}_2\\ -\mathsf{CH}(\mathsf{OH})\mathsf{CH}_2\mathsf{CO}_2\mathsf{H}, \mathsf{HC}(\mathsf{OMe})_2\mathsf{NMe}_2, \mathsf{heat}\\ \mathsf{RC}{=}\mathsf{CH}, \mathsf{RCu} \longrightarrow \mathsf{R}_2\mathsf{C}{=}\mathsf{CH}_2\\ \mathsf{RCO}_2\mathsf{CH}_3, \mathsf{Ph}_3\mathsf{P}{=}\mathsf{CH}_2 \longrightarrow \mathsf{R}(\mathsf{CH}_3)\mathsf{C}{=}\mathsf{CH}_2\\ \mathsf{R}_2\mathsf{CO}, \mathsf{PhS}(\mathsf{O})(\mathsf{NCH}_3)\mathsf{CH}_2\mathsf{Li}\\ \mathsf{RCH}_2\mathsf{SO}_2\mathsf{CH}_2\mathsf{CI}, \mathsf{HO}^-\end{array}$ 

Durst J. Am. Chem. Soc. **1973**, *95*, 3420. Hara Tetrahedron Lett. **1975**, 1545. Normant Tetrahedron Lett. **1971**, 2583. van der Gen Tetrahedron Lett. **1975**, 1439. Johnson J. Am. Chem. Soc. **1973**, *95*, 6462. Doomes and Corfield J. Am. Chem. Soc. **1970**, *92*, 2581.

- Ramberg-Backlund reaction



Org. React. 1977, 25, 1.

Reagents	References
RC≡CH, H <sub>2</sub> / Lindlar catalyst R <sub>2</sub> CHCH <sub>2</sub> OAc, ∆ (pyrolysis) Also: xanthates R <sub>2</sub> CHCH <sub>2</sub> NMe <sub>2</sub> , H <sub>2</sub> O <sub>2</sub> , ∆	<i>Org. Syn.</i> <b>1969</b> , <i>46</i> , 89. <i>Org. React.</i> <b>1961</b> , <i>12</i> , 57. <i>Chem Rev.</i> <b>1960</b> , <i>60</i> , 431. <i>Org. React.</i> <b>1960</b> , <i>11</i> , 317

- Cope Elimination

- it is related to the Hofmann elimination reaction  $(-NMe_3)$ 

- Both the acetate pyrolysis and the Cope elimination have been superceeded by the related *syn* elimination reactions of sulfoxides and selenoxides.

R<sub>2</sub>C(Hal)CH<sub>3</sub>, <sup>t</sup>BuOK

J. Chem. Soc., Chem. Commun. 1968, 305.

# F. Olefin Inversion Reactions



90%; >99% trans

-Deoxygenation of epoxides (with retention of geometry)



-Deoxygenation of epoxides (with inversion of geometry)



- Me<sub>3</sub>SiK
- PhMe<sub>2</sub>SiLi

-Diol ----- Alkene



van Tamelen *J. Am. Chem. Soc.* **1951**, *73*, 3444. Chan *J. Am. Chem. Soc.* **1972**, *94*, 2880.

Stojnac Can. J. Chem. 1975, 621.

Johnstone J. Chem. Soc., Perkin Trans. 1 **1975**, 1216. Clive J. Chem. Soc., Chem. Commun. **1973**, 253.

Chan *Tetrahedron Lett.* **1974**, 2091. Calo *Synthesis* **1976**, 200.

R R'

Dervan J. Am. Chem. Soc. **1976**, *98*, 1265. Reetz Synthesis **1976**, 199.

R R'





Review:

(RO)<sub>3</sub>P *cis* elimination

Org. React. 1984, 30, 457.

Corey J. Am. Chem. Soc. **1963**, 85, 2677. Corey J. Am. Chem. Soc. **1965**, 87, 934.







R'

Eastwood Aust. J. Chem. **1964**, *17*, 1392. Eastwood Tetrahedron Lett. **1970**, 5223.



Burgstahler, Boger Tetrahedron 1976, 32, 309.

# G. [3,3]-Sigmatropic Rearrangements

## 1. Claisen and Cope Rearrangement

*Org. React.* **1975**, *22*, 1. *Synthesis* **1977**, 589. *Acc. Chem. Res.* **1977**, *10*, 227. *Comprehensive Org. Syn.*, Vol. 5, pp. 785.



Cope Rearrangement

Oxy-Cope Rearrangement

Claisen Rearrangement

Introduction of C=O is the driving force of the reaction

- Originally conducted on aryl allyl ethers.
- Most useful variant established when extended to nonaromatic substrates.
- First example of an acyclic Claisen rearrangement:



Burgstahler J. Am. Chem. Soc. 1961, 83, 198.

## 2. Amino-Claisen Rearrangement



- This reaction occurs best when nitrogen is converted to the ammonium salt.

Gilbert *Tetrahedron Lett.* **1984**, *25*, 2303. Stille *J. Org. Chem.* **1991**, *56*, 5578.

## 3. Thio-Claisen Rearrangement



- This reaction is often run with a reagent that will convert sulfur to oxygen following the reaction.

- An advantage of the thio-Claisen rearrangement is that the precursor can be deprotonated and alkylated.



Corey J. Am. Chem. Soc. **1970**, *92*, 5522. Yamamoto J. Am. Chem. Soc. **1973**, *95*, 2693 and 4446. - Also can be conducted with the corresponding sulfoxide.



Block J. Am. Chem. Soc. 1985, 107, 6731.

### 4. The Carroll Reaction



Carroll *J. Chem. Soc.* **1940**, 704, 1266. Hartung *J. Chem. Soc.* **1941**, 507. Cope *J. Am. Chem. Soc.* **1943**, *65*, 1992. Tanabe *J. Am. Chem. Soc.* **1980**, *102*, 862.

### 5. Ireland Ester Enolate Claisen Rearrangement

- The most useful of all Claisen rearrangements. The enolate may be trapped with TMSCI or the enolate may be used directly.
- The reaction works well with the free enolate and actually allows for a faster rearrangement that will occur at 25 °C (anion accelerated).



Ireland J. Am. Chem. Soc. **1972**, *94*, 5897. Larock Comprehensive Org. Trans., pp. 935.

### 6. Oxy-Cope Rearrangement



Evans J. Am. Chem. Soc. 1975, 97, 4765.



Macdonald Tetrahedron Lett. 1993, 34, 247.

- For a review of anion accelerated sigmatropic rearrangements: Org. React. 1993, 43, 93.

### 7. Representative [3,3]-Sigmatropic Rearrangement Routes to Olefins





Coates J. Am. Chem. Soc. 1975, 97, 1619.



Faulkner J. Am. Chem. Soc. 1973, 95, 553.

# H. [2,3]-Sigmatropic Rearrangements

Review: Comprehensive Org. Syn., Vol. 6, pp. 834, 873-908. Org. React. **1994**, *46*, 105-209.

- Analogous to [3,3]-sigmatropic rearrangement except it enlists a localized charge (anion) in place of a double bond.
- Often times the reaction is referred to as a Wittig [2,3]-rearrangement in honor of Wittig's discovery of the related 1,2-alkyl shift of oxycarbanions (Wittig Rearrangement). The reacton is simply a [2,3]-sigmatropic version of the Wittig rearrangement.





Julia Tetrahedron Lett. 1974, 2077. more stable anion



- reaction facilitated by loss of positive charge on sulfur

ylide zwitterion

Lythgoe J. Chem. Soc., Chem. Commun. 1972, 757.



Evans Acc. Chem. Res. 1974, 7, 147.

- Still's use of the [2,3]-sigmatropic rearrangement:



Still J. Am. Chem. Soc. 1978, 100, 1927.



- R prefers the axial versus equatorial position:

- Selectivity is lost when A 1,2-strain is removed





Bodalski Synthesis 1990, 799.

- Ring expansion:



Vedejs *J. Am. Chem. Soc.* **1975**, *97*, 6878. Vedejs *J. Org. Chem.* **1978**, *43*, 1185. Vedejs *Tetrahedron Lett.* **1978**, 523, 519.



Jones J. Org. Chem. 1962, 27, 3572.





Evans Tetrahedron Lett. 1972, 5121.

83%



Evans Tetrahedron Lett. 1973, 4691.



Mander J. Org. Chem. **1973**, *38*, 2915. Büchi J. Am. Chem. Soc. **1974**, *92*, 7573.



Kreiser Tetrahedron Lett. 1975, 1669.

NH<sub>2</sub>

Stork J. Am. Chem. Soc. **1974**, *96*, 6774. *o*-formylation of anilines:



Prostaglandin synthesis; sulfenate/sulfoxide rearrangement. note olefin inversion.





Juncusol

Boger J. Org. Chem. 1984, 49, 4045.



Nakai Chem. Lett. 1990, 2069.



See Also:

Sato J. Am. Chem. Soc. 1990, 112, 1999-2001.



di- and trisubstituted olefins

Olefin Synthesis Dale L. Boger

# I. Olefin Synthesis Exemplified with Juvenile Hormone

1. Trost Synthesis:

J. Am. Chem. Soc. **1967**, 89, 5292.

Wadsworth-Horner-Emmons Reaction

2. Syntex Synthesis:

nthesis: J. Am. Chem. Soc. **1968**, *90*, 6224.



Robinson Annulation Alkylation Diastereoselectivity Fragmentation Reaction Directed Epoxidation Reaction

3. Corey Synthesis: J. Am. Chem.

J. Am. Chem. Soc. 1968, 90, 5618.

Dissolving Metal Reductions: Cyclic Precursors to Trisubstituted Olefins Oxidative Cleavage of Enol Ethers LiAlH<sub>4</sub> Reduction of Propargyl Alcohols Cuprate Coupling Reactions Allylic Alcohol Oxidation

4. Johnson Synthesis: J. Am. Chem. Soc. 1968, 90, 6225.

Julia Olefin Synthesis Cornforth Nucleophilic Addition

#### 5. Corey Synthesis:

J. Am. Chem. Soc. **1970**, *92*, 6635, 6636, 6637.

Lindlar Catalyst Alkyne Reduction 1,5-Hydrogen Migration  $\beta$ -Oxido Ylide Reaction Diimide Reduction

6. Johnson Synthesis: J. Am. Chem. Soc. 1970, 92, 4463.

3,3-Sigmatropic Rearrangements Claisen Reaction Cope Reaction Oxy-Cope Reaction

**7. Stotter-Kondo Synthesis:** *J. Am. Chem. Soc.* **1973**, *95*, 4444. *J. Chem. Soc., Chem. Commun.* **1972**, 1311.

> Dihydrothiopyran Strategy: Cyclic Precursors to Trisubstituted Olefins Stabilized Allylic Anions, Desulfurization (Benkeser Dissolving Metal Reduction) Sulfur Ylides Cyclopropane Synthesis Epoxide Synthesis

### 8. Still Synthesis:

Tetrahedron Lett. 1979, 593.

2,3-Sigmatropic Rearrangement

### 9. Other Syntheses:

Beltsville Synthesis:	<i>J. Econ. Entomol.</i> <b>1968</b> , <i>61</i> , 866.
Mori Synthesis:	Tetrahedron <b>1969</b> , <i>25</i> , 1667.
MacKay Synthesis:	J. Chem. Soc., Chem. Commun. <b>1969</b> , 733.
Schering Synthesis:	Angew. Chem., Int. Ed. Eng. 1969, 8, 271. (Farnesol -> C-18 JH)
Zoecon Synthesis:	J. Am. Chem. Soc. <b>1970</b> , 92, 735.
van Tamelen Synthesis:	J. Am. Chem. Soc. <b>1970</b> , 92, 737.

#### 1. Trost Synthesis:

J. Am. Chem. Soc. 1967, 89, 5292.



**Robinson Annulation** 





Fragmentation Reactions Grob Angew. Chem., Int. Ed. Eng. 1969, 8, 535. Angew. Chem., Int. Ed. Eng. 1967, 6, 1.



Thermodynamic Enolate

- severe 1,3-diaxial interaction in chair-like T.S. axial alkylation
- no steric incumberance to axial alkylation on least hindered face of twist boat T.S.

### LiAIH(O<sup>t</sup>Bu)<sub>3</sub> Reduction

- large reagent, usually equatorial H<sup>-</sup> delivery
- 1,2-interaction (torsional strain) relatively invariant to Nu<sup>-</sup> size
- 1,3-steric interaction highly dependent on Nu<sup>-</sup> size
- due to absence of axial C(3)-H, large reagent now gives axial delivery

## Epoxidation

- in Et<sub>2</sub>O, coordination of peracid to solvent gives delivery from the least hindered  $\alpha$ -face
- in CH<sub>2</sub>Cl<sub>2</sub>, coordination of peracid to OH provides delivery to the less accessible β-face
- Teranishi J. Am. Chem. Soc. 1979, 101, 159.

## **1st Fragmentation**

- utilized to control C=C bond stereochemistry
- trans periplanar orientation of breaking bonds
- dictates Z olefin geometry in product

2nd Fragmentation

- utilized to control C=C bond stereochemistry
- trans periplanar orientation of breaking bonds
- dictates *E* olefin geometry in product

-Trans periplanar

arrangement of

bond orbital

- Wharton J. Org. Chem. **1965**, 30, 3254.

- Fuchs J. Am. Chem. Soc. 1979, 101, 3567.

- Case A



- Other groups at "promoter" site can be used



- Many other types of fragmentation reactions





Stereospecific Synthesis of Trisubstituted Olefins - propargylic alcohols can be reduced with LiAlH₄ to give an allylic alcohol








3,3-Sigmatropic Rearrangements





Sulfur Ylides: Trost, Melvin *Sulfur Ylides: Emerging Synthetic Intermediates*, Academic Press, 1975. House, pp. 709.

Benkeser Reduction Synthesis **1972**, 391.



- Li/NH<sub>3</sub> Birch Reduction (blue solution), -33 °C at refluxing NH<sub>3</sub> temperatures

- Li/EtNH<sub>2</sub> or MeNH<sub>2</sub> Benkeser Reduction (more strongly reducing because of higher reaction temperature)



- 1,4-Addition of sulfur ylides -> cyclopropanes



8. Still Synthesis:

Tetrahedron Lett. 1979, 593.





Note: Me substitution on olefin provides *Z* selectivity.

# XII. Conjugate Additions: Organocuprate 1,4-Additions

Reviews: House Acc Chem Res., 1976, 9, 59. Ashby Chem Rev., 1975, 75, 521. Comprehensive Org. Syn., Vol. 4, 164. Review: Lipshutz Org. React. 1992, 41,135. Posner Org. React. 1975, 22, 253. Posner Org. React. 1972, 19, 1.





- But Kharasch observed 1,4-addition with added Cu(I) salt:



Kharasch J. Am. Chem. Soc. 1941, 63, 2308.

- This led to the development of stoichiometric organocuprate reagents:



House, Whitesides J. Org. Chem. 1966, 31, 3128.

- "ate" complexes incorporating Li<sup>+</sup> were first described by Gilman (J. Org. Chem. 1952, 17, 1630) and consequently such reagents are often referred to as "Gilman reagents".
- Most organometallics, including organocuprates, are susceptible to  $\beta$ -elimination:

$$\begin{array}{c} H \\ (H \\ CH \\ R \\ -20 \\ CH_2 \\ Li^+ \end{array} \qquad \begin{array}{c} -40 \text{ to} \\ -20 \\ CH_2 \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} H \\ R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -\overline{C}u \\ -20 \\ CH_2 \end{array} \qquad \begin{array}{c} R \\ -20 \\ C$$

- So most organocuprates are best handled at temperatures lower than ca. -40 °C.

# 1. Scope

-Relative ease of ligand transfer from Cu follows the order:

$$figure{} \int_{J^{J^{s}}} Ph > Me > Et > {}^{i}Pr > {}^{t}Bu >> PhS, R_2N, RC \equiv C$$
  
Dummy ligands for mixed cuprates

Modern Organic Chemistry The Scripps Research Institute

- In addition, the size of the migrating group also affects the conversion:



- Effect of substrates:



- Unsaturated esters are less reactive than enones.
- $\beta$ , $\beta$ -Disubstitution slows reaction.



Maruyama J. Am. Chem. Soc. **1977**, *99*, 8068. Yamamoto J. Am. Chem. Soc. **1978**, *100*, 3240.

RCu•BF<sub>3</sub> Yamamoto *J. Am. Chem. Soc.* **1980**, *102*, 2318. Yamamoto *J. Org. Chem.* **1979**, *44*, 1745.



Review: Yamamoto Angew. Chem., Int. Ed. Eng. **1986**, 25, 947.

- Conjugate addition to  $\alpha$ , $\beta$ -unsaturated aldehydes is typically problematic but successful examples have been reported.



Still Tetrahedron Lett. **1976**, 2659. Meyer Org. Prep. Proceed. **1979**, 11, 97. Clive J. Chem. Soc., Chem. Commun. **1981**, 643. (Me<sub>5</sub>Cu<sub>3</sub>Li<sub>2</sub>) Clive J. Org. Chem. **1982**, 47, 2572.

Conjugate Addition/Alkylation (stereochemistry) Posner *J. Org. Chem.* **1979**, *44*, 3661. Review: *Comprehensive Org. Syn.*, Vol. 4, pp. 237-268.

Conjugate Addition/Aldol Heng *Tetrahedron* **1979**, *35*, 425.

 Cuprates can also be prepared from other organometallic reagents which have greater compatibility with reactive groups:
 e.g. activated Cu<sup>(o)</sup>/RBr, RZnI, RSnBu<sub>3</sub>/Me<sub>2</sub>Cu(CN)Li<sub>2</sub>, RCH=CH<sub>2</sub>/ Cp<sub>2</sub>Zr(H)Cl then CuBr•SMe<sub>2</sub>

- Useful in the regiospecific trap and subsequent generation of enolates.



Stork J. Am. Chem. Soc. **1974**, *96*, 7114. Stork J. Am. Chem. Soc. **1961**, *83*, 2965. Horiguchi *Tetrahedron Lett.* **1989**, *30*, 7087. - Additions to acetylenes



Corey, Katzenellenbogen J. Am. Chem. Soc. **1969**, *91*, 1851. Fried J. Am. Chem. Soc. **1969**, *91*, 1853. Klein J. Chem. Soc., Perkin Trans. 2 **1973**, 1971.

- Alkenyl copper intermediates can be subsequently trapped:



- Also, used in displacement of leaving groups (addition/elimination reactions).





Corey J. Am. Chem. Soc. **1969**, *91*, 1851. Casey Tetrahedron Lett. **1974**, 925. Mukaiyama Chem Lett. **1974**, 705. - Examples:







R'



399

- Alkylation reactions





- Mechanism:



- Also can be conducted with aryl and enol triflates



functional group reactivity~ RCOCI > CHO > tosylates > epoxides > bromides > ketones > esters > nitriles

# 2. Mechanism



- -Evidence for mechanism b)
- i. Isomerization and recovery of substrates without 1,4-addition



- ii. Cation is essential for the reaction  $Me_2Cu(Li)$ 
  - if crown ethers are added to reaction mixture, reaction is slowed or prevented
  - Li<sup>+</sup> complexes with carbonyl oxygen and activates substrate to conjugate addition (Ouannes *Tetrahedron Lett.* **1977**, 815.)
- iii. Retention of stereochemistry of cuprate alkyl group that is transferred



Whitesides *J. Org. Chem.* **1972**, *37*, 3718. Whitesides *J. Am. Chem. Soc.* **1969**, *91*, 6542.

- So reaction cannot be proceeding through a free-radical



would get mixture

- Retention also observed for alkenyl cuprates:



- Not true for free radical



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- Additional evidence for radical anion mechanism:



- So half-life of intermediate radical anion is very short.

Ο

- Subsequent coupling with cuprate reagent (after e<sup>-</sup> transfer) is faster than other radical reactions in some cases.
- However, competitive single electron reductions with cuprates have been observed and they may be used to effect reductive elimination reactions in manner analogous to dissolving metal or Zn reductions.

ÔLi

<sup>t</sup>Bu

LiO

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iv. Trap of intermediate radical anion



- no conjugate or homo-conjugate addition observed, only intramolecular trap of intermediate radical anion

Hannah Tetrahedron Lett. 1975, 187.



- v. House J. Am. Chem. Soc. 1972, 94, 5495.
- Rate and ease of conjugate addition to the substrate correlate with the polarographic reduction potential while they do not always correlate with propensities for Michael addition.



But these are not experimentally determined  $E_o$  values.

- And for conjugate addition with Me<sub>2</sub>CuLi



-House estimation of



vi. Kinetic preference for 1,2-addition for standard organometallic (and other) nucleophiles suggests something unique about 1,4-addition of organocuprates



vii. <sup>13</sup>C NMR detection of reaction intermediates

- Mechanism of organocuprate conjugate addition: observation of cuprate-olefin complexes and Li-coordinated intermediates in the reaction of lithium dimethyl cuprate with 10-methyl- $\Delta^{1,9}$ -2-octalone. Robin and Smith *J. Am. Chem. Soc*, **1989**, *111*, 8276.



See also: Corey Tetrahedron Lett. 1990, 31, 1393.

viii. Isolation of the  $\pi$ -complex and conversion on to product Corey *Tetrahedron Lett.* **1985**, *26*, 6015.

# 3. Homoconjugate Addition



- Can also use



-These reactions work well with Me<sub>2</sub>CuLi, and probably vinyl cuprates and aryl cuprates (no problem with  $\beta$  elimination) but not as well for simple alkyl cuprates (less stable-must keep < -30 °C)

#### - Application to prostaglandin synthesis:



Corey J. Am Chem. Soc. 1972, 94, 4014.

and





# 4. Competitive Reduction and Rearrangement

# a) Interception of radical-anion intermediate



Also observed with  $\gamma$ -acyloxy enones:



via





#### 5. Mixed Organocuprates

- For dialkylcuprates, one alkyl substituent (ligand) is lost:



- Mixed cuprates have been developed in which one ligand will not transfer: Corey *J. Org. Chem.* **1978**, *43*, 3419.

 $\rightarrow$  — Cu  $\rightarrow$  — Cu RS-Cu  $R_2N$ -Cu  $C_5H_{11}$  — Cu CH<sub>3</sub>O

- With these reagents, only the non-transferable reagent is lost



- Also: addition of Li salts forms cuprate reagents from alkyl copper reagents ("ate" complexes)



- Representative Mixed Cuprates		
	RLi, Cul, R <sub>3</sub> P (1:1:2)	Suzuki Tetrahedron Lett. 1980, 1247.
	(COD)RCuMgX	Leyendecker Tetrahedron Lett. 1980, 1311.
	RCu(SPh)Li, RCu(O <sup>t</sup> Bu)Li, RCu(NMe <sub>2</sub> )Li	Posner J. Am. Chem. Soc. <b>1973</b> , <i>95</i> , 7788.
	RCu(SPh)Li	Alexakis <i>Tetrahedron Lett.</i> <b>1976</b> , 3461. <i>Org. Prep. Proc. Int.</i> <b>1976</b> , <i>8</i> , 13
	RCu(C≡C <sup>t</sup> Bu)Li and RCu(CN)Li	Boeckman <i>J. Org. Chem.</i> <b>1977</b> , <i>42</i> , 1581. Marino <i>J. Org. Chem.</i> <b>1976</b> , <i>41</i> , 3213.
	RCu(CN)Li	Acker <i>Tetrahedron Lett.</i> <b>1977</b> , 3407. Miyaura <i>Tetrahedron Lett.</i> <b>1977</b> , 3369.
	RCu(C≡CPr)Li	Corey J. Am. Chem. Soc. <b>1972</b> , 94, 7210.
	RCu(C≡CC(OMe)Me <sub>2</sub> )Li	Corey J. Org. Chem. 1978, 43, 3418.

#### 6. Functionalized Organocuprate Reagents

- Examples



Configurationally stable (better than higher order cyano cuprate): prepared from the corresponding  $Bu_3Sn$  reagent/<sup>n</sup>BuLi then Cul/TMEDA.

Linderman J. Org. Chem. 1991, 56, 5491.

- Other representative functionalized organocuprate reagents



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$$((RO)_2 \stackrel{II}{PCH}_2)_2 CuLi, Y = O, S \qquad Sa$$

Savignac and Mathey Tetrahedron Lett. 1976, 2829.

Bu<sub>3</sub>Sn

Fargeas Tetrahedron 1996, 52, 6613; 1994, 35, 7767.

Me<sub>2</sub>NCH<sub>2</sub> CuLi

Corey, Cane and Libit J. Am. Chem. Soc. 1971, 93, 7016.



Ireland J. Org. Chem. 1975, 40, 975.

CuLi 2 OFt

Wollenberg J. Am. Chem. Soc. **1977**, 99, 7365 Schlosser, M. J. Org. Chem. **1978**, 43, 1595.



Linstrumelle Tetrahedron Lett. 1979, 1073.



(n = 1, R = THP) Corey *J. Am. Chem. Soc.* **1976**, *98*, 222. (n = 3, R = TBDMS) Corey *Tetrahedron Lett.* **1976**, 4701 and 4705.



Corey *Tetrahedron Lett.* **1978**, 1051. Corey *J. Am. Chem. Soc.* **1978**, *100*, 2916.

R(Li)Cu E OR

Corey J. Am. Chem. Soc. **1972**, *94*, 7210. Corey Tetrahedron Lett. **1983**, *24*, 5571. Corey Tetrahedron Lett. **1986**, *27*, 2199 and 3556.

# 7. Stereochemistry of Organocuprate Conjugate Addition Reactions

# A. Cyclic Substrates

Cyclic enones: intraannular diastereoselectivity



# Exocyclic enones and esters



Bicyclic enones and related substrates



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Medium-sized rings





**B.** Acyclic Substrates



Stereochemistry of Organocuprate Conjugate Addition Reactions (References)

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# 3,4-diastereoselectivity

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# Medium-sized Rings

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# Acyclic Substrates

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- 418

# 8. Origin of Diastereoselectivity



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B. 3,4-Diastereoselection



equatorial delivery, boat-like transition state; cis to C4 R-substituent



axial delivery, chair-like transition state; cis to C4 R-substituent



axial delivery, chair-like transition state; trans to C4 R-substituent

but remember: reactive intermediate is radical anion



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#### C. 3,5-Diastereoselectivity



unaffected by C-3 substitution

Posner J. Am. Chem. Soc. 1975, 97, 107.





enone with alkyl substituent in the equatorial position is the reactive conformation.

D. 3,4- vs 3,5-Diastereoselectivity



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1,3-steric interactions

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Piers Can. J. Chem. 1969, 47, 137.

cis fusion

Me

Ο

- cis ring fusion. - protonation from least hindered face of enolate, also most stable product.

С



Me<sub>2</sub>CuLi



Corey J. Am Chem. Soc. 1971, 93, 7318.

- but



Clark Tetrahedron Lett. 1974, 1713. [for vernolepin]

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H. Exocyclic enones


# XIII. Synthetic Analysis and Design

# Design:

Corey *The Logic of Chemical Synthesis*, Wiley: New York, 1989. Warren *Organic Synthesis: The Disconnection Approach*, Wiley: New York, 1982. Fuhrhop, Penzlin *Organic Synthesis: Concepts, Methods, Starting Materials,* VCH: Weinheim, 1994.

# **Total Synthesis:**

Nicolaou, Sorensen *Classics in Total Synthesis*, VCH: Weinheim, 1996. Hanessian *Total Synthesis of Natural Products: The Chiron Approach*, Pergamon: Oxford, 1983. Lindberg *Strategies and Tactics in Organic Synthesis*, Vol. 1-3; Academic: San Diego. ApSimon *The Total Synthesis of Natural Products*, Vol. 1-9; Wiley: New York. Turner *The Design of Organic Synthesis*, Elsevier: Amsterdam, 1976. Fleming *Selected Organic Syntheses*, Wiley: New York, 1973. Bindra *Creativity in Organic Synthesis*, Academic: New York, 1975. Bindra *Art in Organic Synthesis*, Wiley: New York, 1988. Lednicer, Mitscher, Georg *The Organic Chemistry of Drug Synthesis*, Vol. 1-4; Wiley: New York. Nakanishi *Natural Products Chemistry*, Vol. 1-3; Academic: New York. Koskinen *Asymmetric Synthesis of Natural Products*, Wiley: New York, 1993. Danishefsky and Danishefsky *Progress in Total Synthesis*, Meredith: New York, 1971.

# Key Reviews:

Corey

Science **1969**, *166*, 178; **1985**, *228*, 408. Chem. Soc. Rev. **1988**, *17*, 111. Pure. App. Chem. **1967**, *14*, 19; **1971**, *18*, 45; **1990**, *62*, 1209. Angew. Chem., Int. Ed. Eng. **1991**, *30*, 455. (Nobel Prize Lecture)

E. J. Corey received the 1990 Nobel Prize in Chemistry for his development of the theory and methodology of organic synthesis. His development and systemization of retrosynthetic analysis transformed organic synthesis from inspired recognition of a route into a precise and logical science. As the modern techniques of structure determination emerged (NMR, IR, X-ray), Corey applied his retrosynthetic analysis to some of the most challenging syntheses of the time. The application of computer analysis with LHASA (Logic and Heuristics Applied to Synthetic Analysis), the development of practical synthetic methodology for individual transformations based on clear mechanistic rationales, and the more than 100 natural product total syntheses that followed transformed modern organic synthesis.

Corey, Cheng *The Logic of Chemical Synthesis*, Wiley: New York, 1989. Corey, Wipke *Science* **1969**, *166*, 178-192.

# Protecting Groups:

Greene, Wuts *Protecting Groups in Organic Synthesis*, 3<sup>rd</sup> Ed., Wiley: New York, 1999. Note: The material in this book was first assembled in conjunction with the LHASA project (Corey) and composed the Ph.D. dissertation for T. W. Greene.

# Computer Assisted Analysis:

 Corey, Wipke (LHASA: Logic and Heuristics Applied to Synthetic Analysis), *Science* 1969, *166*, 178.
 Corey, Long *J. Org. Chem.* 1978, *43*, 2208.
 Jorgensen (CAMEO: Computer Assisted Mechanistic Evaluation of Organic Reactions): *Pure App. Chem.* 1990, *62*, 1921.
 Hendrickson *J. Chem. Inf. Comput. Sci.* 1992, *32*, 209. *Acc. Chem. Res.* 1986, *19*, 274.

# A. Classifications

# 1. Linear Synthesis

- The target compound is made through a series of linear transformations.

$A \longrightarrow \longrightarrow \longrightarrow B$	5-steps	overall yield
	90%/step 70%/step	59% 17%

# 2. Convergent Synthesis

- Individually prepared compounds are convergently brought together to make the target compound.

	5-steps	overall yield
$C \longrightarrow D \longrightarrow B$	90%/step	73%
	70%/step	34%

Advantages of a convergent synthesis

- shorter
- simpler to execute
- higher overall yields
- better material balance and supply
- Triply Convergent Synthesis

-three major components are brought together in a single step to make the target compound.



# 3. Divergent Synthesis

- For a class of compounds, it is advantageous to prepare a common intermediate and use this common intermediate to prepare all members of the class of agents.
- Examples: prostaglandins



- Rather than use a linear synthesis for all agents, a divergent synthesis allows the use of a common intermediate to prepare structurally related products.
- The divergent synthesis is a very good strategy if structure-activity studies are the ultimate goal.

Note: Though widely used, the discussion of this strategy was first formally presented in the literature along with a disclosure of a strategy for divergent aromatic annulation in conjunction with the total synthesis of a series of azafluoranthene alkaloids. Today, the divergent introduction of diversity is the basis of most combinatorial chemistry methods.

Boger J. Org. Chem. 1984, 49, 4050; see also J. Org. Chem. 1984, 49, 4033 and 4045.



Boger J. Am. Chem. Soc. 1995, 117, 12452.

Boger J. Org. Chem. 1984, 49, 4050.

# 4. Total Synthesis

- Start with readily available materials and build up to the target molecule from simple, common materials.

#### 5. Partial Synthesis

- This is technically not a total synthesis.
- Start with a naturally occurring compound or an advanced intermediate and independently convert that to the target molecule.
- Examples



Previtamin D<sub>3</sub>

- For commercialization, it would be hard to match the synthesis starting with cholesterol.



Penicillins, available by fermentation at Lilly, as an inexpensive bulk chemical

Cephalosporins - not as accessible through fermentation

# 6. Formal Total Synthesis vs. Total Synthesis



Independent synthesis of this precursor would constitute a formal total synthesis of gibberellic acid since the conversions have been previously accomplished. In this case, the key intermediate is so far from the final target that most would not "claim" such an accomplishment unless the final conversions were also developed within their own laboratories.

### 7. Biomimetic (Total) Synthesis

Presumably, nature will not be using a process that is intrinsically difficult or impossible.
 It is believed that one can effectively mimic the conditions provided by nature, and conduct the same reaction in a flask.

- Two important considerations

- 1 The reaction must be capable of occurring
- 2 The biogenetic process is under a great deal of control (enzymatic) and a similar level of control in lab may be difficult, but necessary

- Classic example : Steroid synthesis

Extensively studied and many good chemists failed before the experimental parameters were sufficiently defined to mimic the cation-olefin cyclization.



Steroids

# **B. Retrosynthetic Analysis**

- Work backwards from the target compound to generate a set of intermediates which can be made from available starting materials.



# Objectives:

- 1. Generate a large number of potential approaches in order to obtain an optimal route.
- 2. Strive to generate simpler, less complex intermediates which can be obtained from readily available materials.
- 3. All steps are subject to reevaluation this allows for design of a better or optimized synthesis.

Steps in Design and Execution of a Synthesis

- 1. Selection of a problem
- 2. Selection of goals to be achieved through synthesis
- 3. Simplification
- 4. Generation of synthetic pathways
- 5. Evaluation of synthetic pathways --> assignment of merit
- 6. Selection of specific reactions and reagents for each step
- 7. Selection of specific reaction conditions and design of experiments
- 8. Execution and analysis of results

Because of the amount of time and effort involved in the execution, it is important to be meticulous in evaluating the potential synthetic pathways.

- 1. Selection of a problem
  - One of the most important considerations.
  - Should be the first consideration, independent of all others. This assures that it is a problem that you want to address.
  - Recognize the time and effort involved in the actual conduct of the synthesis.
  - This will depend on the setting, circumstances and interests of the individual.
- 2. Selection of goals



SRS-A (Slow Reacting Substance of Anaphylaxis)

- a. Structure determination of SRS-A: the initial intent. The R group on the thiol was not known, so the first synthesis was designed to facilitate the introduction of different R groups permitting a comparison with the endogenous product to confirm the structure.
- b. Once the structure was determined, objectives included providing sufficient material for biological testing.
- c. Determination of absolute configuration the chiral centers were unambiguously established through synthesis.
- d. Development of a route amenable to analogue preparation: want to inhibit the action of SRS-A (an antagonist development).
- e. Biomimetic synthesis (follows the biosynthetic generation of materials) might constitute a simplification.

more time is or should be devoted to steps 1 and 2 than most may realize

steps 3 and 4 constitute retrosynthetic analysis

- f. Development of commercially viable processes.
- g. Demonstration of improvements in current methodology.
- h. Novel, interesting structures.
- i. Common intermediate for a class of structures (divergent synthesis).
- j. Mechanism of action of a class of compounds devise partial structures of the parent . compound to define the mechanism of action.
- k. Chemistry of a class of compounds.
- I. Properties of a class of compounds.

The specific goals are established prior to the generation of the retrosynthetic pathway. The goals will play an important role in the assignment of relative merit of each potential pathway in the retrosynthetic analysis.

- 3. Simplification and Background Chemistry
  - a. Recognition of symmetry elements present in a structure.



- two identical halves
- build out from a central core by conducting each of the steps twice and simultaneously
- Johnson J. Am. Chem. Soc. 1970, 92, 741.



- combines two halves prepared from a common intermediate at the end of the synthesis.

- Grieco J. Org. Chem. 1974, 39, 2135.



Isochrysohermidin

Boger J. Am. Chem. Soc. 1993, 115, 11418.

- The recognition of symmetry elements is not always so obvious by initial examination of the agent.

#### e.g., Juncusol



e.g., Carpanone



Chapman J. Am. Chem. Soc. 1971, 93, 6696.

- biomimetic synthesis of this agent allows for simplification.

- this is a very good example where the symmetry elements are not obvious by looking at the agent.



Corey Tetrahedron Lett. 1979, 335.

e.g., Usnic Acid



Corey J. Am. Chem. Soc. 1974, 96, 6516.

- the symmetry elements are tucked more deeply into the structure

b. Background Chemistry

- Information available in the literature will provide very important insights required to effectively design a synthesis.

e.g., Quassin



Grieco J. Am. Chem. Soc. 1980, 102, 7586.

- 7 stereocenters but 3 are epimerizable centers and the natural product possesses the most stable configuration, so a synthesis without stereocontrol of these 3 centers can be used (epimerize later). Need only worry about control of 4 of the 7 stereocenters.

- c. Recognize and Remove Reactive Functionality
  - Another key to simplification derived from background chemistry
    - e.g., Vernolepin



α-Methylene lactone in a *trans*-fused 5-membered ring This is extraordinarily reactive to nucleophiles (Michael). It will not stand up to many synthetic steps/reagents.
the final step should be introduction of the reactive group.

> Danishefsky J. Am. Chem. Soc. **1976**, *98*, 3028. Grieco J. Am. Chem. Soc. **1976**, *98*, 1612. Danishefsky J. Am. Chem. Soc. **1977**, *99*, 6066.

e.g., Precursor to aromatic amino acids



- acid sensitive (derived from background chemistry).

- a successful approach must involve generation

under basic conditions.

Danishefsky J. Am. Chem. Soc. 1977, 99, 7740.



- enol ether sensitive to acid-catalyzed hydrolysis.

U. von Euler received the 1970 Nobel Prize in Medicine for the discovery of hormonal transmitters in the nerve terminals and the mechanism for their storage, release, and inactivation. Corey J. Am. Chem. Soc. 1977, 99, 2006.

S. K. Samuelsson and J. R. Vane shared the 1982 Nobel Prize in Medicine for their discovery of the prostaglandins and related biologically active substances.



- W. N. Lipscomb (1976, borane structures and chemical bonding).
- A. Klug (1982, elucidation of nucleic acid-protein complexes).
- H. A. Hauptman and J. Karle (1985, direct methods).

- The background chemistry can provide keys to the design of a synthetic strategy.



e.g., Coriolin





introduce reactive functionality last

Danishefsky J. Am. Chem. Soc. 1981, 103, 3460.

4. Generation of Synthetic Pathways (Retrosynthesis) (General strategies employed in working backwards) Covered in detail in Corey *The Logic of Chemical Synthesis*, Wiley: New York, 1989, pp. 1-98.

it often, unnecessarily, added to their length.

- a. Transform-based strategies
  - powerful, simplifying transformation that reduces complexity.
  - usually very key reactions in the synthesis that dominate the approach formation of a key intermediate (i.e., the Diels-Alder transform, the aldol transform).
- b. Structure-goal strategies
  - oldest approach.
  - in working backwards from the target molecule to the various intermediates, an intermediate may actually be located that is already in the literature or commercially available.
  - e.g., Prostaglandins



- c. Topological strategies
  - strategic bond disconnections (J. Am. Chem. Soc. 1975, 97, 6116).
  - recognize strategic bonds and remove them in the retrosynthetic direction.
- d. Stereochemical strategies
  - strategies which remove the stereocenters.
  - simplifying the stereochemistry of the product may be related to:
    - 1. substrate features of the substrate will permit you to solve the stereochemical problems.
    - 2. mechanism reaction mechanism will permit relative or absolute stereocontrol.
- e. Functional group strategies
  - 1. Functional group interconversion (FGI)
    - don't gain much but it permits you to get from one point to another.
  - 2. Functional group combination (FGC)
    - combine pairs of functional groups.
    - usually a ring forming reaction in the retrosynthetic direction to give you one FG rather than two.



- 3. Functional group addition (FGA)
  - hard to recognize while working in the reverse direction.
  - introduce a double bond which then may key the recognition of a Diels-Alder reaction.





# But:

There is an alternative and still better Diels-Alder pathway that most would miss without careful consideration.



- 5. Evaluation of Pathways and Assignment of Merit
  - a. excellent knowledge of organic chemistry
  - b. suspect reactions must be recognized only one poor step can ruin the synthesis
  - c. control of stereochemistry is clear
  - d. want opportunity for alternatives reactions that look good on paper aren't always successful in lab
- 6. Selection of Specific Reactions and Reagents
  - a. this also requires an excellent knowledge of organic chemistry
  - b. check the literature for alternative reagents it is wiser to change reagents than to change the entire synthesis if problems arise
  - c. many reference texts are available

Larock	Comprehensive Organic Transformations
Fieser and Fieser	Reagents for Organic Synthesis Vol. 1-18
Paquette	Encyclopedia of Reagents for Organic Synthesis
Computer Databases	CLF, Reaccs, Scifinder, Beilstein, Isis

- 7. Selection of Reaction Conditions
  - a. reaction temperature
  - b. solvent
  - c. knowledge of reaction mechanism
  - d. consult current and background literature
- 8. Execution of the synthesis most difficult and time consuming element of work
  - a. easy: setting up and conducting the reaction
  - b. difficult: interpreting the results from the reaction

# C. Strategic Bond Analysis

- For bridged ring systems Corey J. Am. Chem. Soc. 1975, 97, 6116.
- Most desirable bond disconnections in the antithetic direction minimize:
  - 1. appendages
  - 2. appendage chiral centers
  - 3. medium or large size rings
  - 4. bridged rings
- **Rule 1:** Because it is easy to form common size rings, a strategic bond must be in a 4-7 membered primary ring. A primary ring is one which cannot be expressed as an envelope or two or more smaller rings. This is restricted to primary rings because ring forming reactions are strongly affected by the size of the smallest ring containing the newly forming bond.

The six membered ring is not primary because it contains two smaller rings.

**Rule 2a:** A strategic bond must be directly attached to another ring (i.e. exo to another ring). This is because a ring disconnection which produces two functionalized appendages is harder to utilize than one which produces one or no functionalized appendages.





Rule 2b: A strategic bond may not be exo to a preexisting 3-membered ring.



**Rule 3:** Strategic bonds should be in ring(s) which exhibit the greatest degree of bridging. The maximum bridging ring is selected from the set of synthetically significant rings which is defined as the set of all primary rings plus all secondary rings which are less than 8-membered. The maximum ring is that which is bridged, not fused at the greatest number of sites.



**Rule 4:** To avoid formation of >7-membered rings during the antithetic bond cleavage, any bond common to a pair of rings whose envelope is >7 is not strategic.



**Rule 5:** Bonds within aryl rings cannot be strategic.



**Rule 6a:** If a disconnection leaves chiral atoms on the remaining arc then the disconnections cannot be strategic.



The stereochemistry is much harder to control on the acyclic precursor than on the cyclic precursor

Rule 6b: Chiral atoms may be allowed if they appear directly at the point of attachment.



**Rule 7:** C-X Bonds (X = heteroatom) in rings will be strategic.

C-X bonds are easier to form than C-C

Synthetic Analysis and Design Dale L. Boger

# D. Total Synthesis Exemplified with Longifolene

# 1. Strategic Bond and Retrosynthetic Analysis





- Corey and McMurry disconnection
- Schultz disconnection
- Simultaneous or sequential b/d bond disconnection: Brieger, Fallis (Diels-Alder), Johnson (cation-olefin).
- Simultaneous a/e bond disconnection: Schultz (indirect via vinylcyclopropane rearrangement).

Me

#### 2. Corey Synthesis:

J. Am. Chem. Soc. 1961, 83, 1251; 1964, 86, 478.

Me,

Мe

Me

Intramolecular Michael Addition (Santonin-Santonic Acid) Robinson Annulation Wittig Reaction Pinacol Ring Expansion Dithiane Reduction Chromatographic Resolution through Diastereomeric Derivatization (Product)

#### 3. McMurry Synthesis:

#### J. Am. Chem. Soc. 1972, 94, 7132.

Intramolecular Enolate-Epoxide Addition (Alkylation) Dibromocarbene Addition, Ring Expansion Ethyl Diazoacetate Ring Expansion Organocuprate 1,4-Additions Intramolecular Aldol Reaction, Transannular Reactions Fragmentation Reaction

#### 4. Brieger Synthesis: (attempted) J. Am. Chem. Soc. 1963, 85, 3783.

Diels-Alder Reaction Intramolecular Diels-Alder Reaction 1,5-Hydrogen Migration of Cyclopentadienes

#### 5. Johnson Synthesis:

#### J. Am. Chem. Soc. 1975, 97, 4777.

Organocuprate 1,4-Addition, Regiospecific Enolate Trap Cation-Olefin Cyclization

#### 6. Oppolzer Synthesis:

*J. Am. Chem. Soc.* **1978**, *100*, 2583. *Helv. Chim. Acta* **1984**, *67*, 1154.

Enamine Acylation Photochemical [2 + 2] Cycloaddition Retro-Aldol Fragmentation Reaction Wittig Reaction Simmons-Smith Cyclopropanation Hydrogenation of Cyclopropanes Classical Resolution via Crystallization of Diastereomeric Salts

7. Schultz Synthesis:

#### J. Org. Chem. **1985**, 50, 916.

Birch Reductive Alkylation Retro Cheletropic Cycloaddition 1,3-Dipolar Cycloaddition Vinylcyclopropane Rearrangement Asymmetric Synthesis via Substrate Chiral Auxiliary

#### 8. Fallis Synthesis:

*J. Am. Chem. Soc.* **1990**, *112*, 4609. *J. Org. Chem.* **1993**, *58*, 2186.

Intramolecular Diels-Alder Reaction Barton Free Radical Deoxygenation Reaction Acetate Pyrolysis Chromatographic Resolution through Diastereomeric Derivatization (Starting Material)

#### 9. Kuo Synthesis:

Can J. Chem. **1988**, 66, 1794.

Intramolecular Aldol Addition Wagner-Meerwein Rearrangement

#### 10. Ho Synthesis:

Can J. Chem. 1992, 70, 1375.

Ethyl Diazoacetate Ring Expansion Alkylative Esterification





# Osmylation

 large reagent reacts preferentially with more accessible double bond and from the least hindered face.
 Typically, this is from the equatorial direction but one 1,3-diaxial H is removed and axial approach now observed

Selective Tosylation

- rates: 1° > 2° > 3°
- 3° alcohols react very slowly
- MsCl and Et<sub>3</sub>N generates sulfene which will react with 1°, 2°, 3° OH to give the mesylate
- Also note the use of DMAP to
- acylate 3° alcohols via R

Pinacol Rearrangement

- LiClO<sub>4</sub> used for free Li<sup>+</sup> ion to
- accelerate solvolytic loss of TsO group
- migration of unsaturated alkyl group observed preferentially
- trans antiperiplanar arrangement

Intramolecular Michael Addition

- only cis product undergoes Michael
- side products include the retro-Michael product A and the OH<sup>-</sup> addition and retro aldol product B





Thio-ketalization (Derivatization)

- other carbonyl much more hindered
- diastereomers arise that are separable
- by conventional chromatography

# Desulfurization

- direct Wolff-Kishner failed
- LiAIH<sub>4</sub> protects ketone from reduction
- today: Ra-Ni better for desulfurization and would avoid need to protect ketone
- Wolff-Kishner reduction of dithiane

Olefination

- Wittig reaction unsuccessful, ketone too hindered
- two-step procedure adopted

# 3. McMurry Synthesis:

J. Am. Chem. Soc. 1972, 94, 7132.

Intramolecular Enolate-Epoxide Addition Dibromocarbene Addition, Ring Expansion Ethyl Diazoacetate Ring Expansion Organocuprate 1,4-Additions Intramolecular Aldol Reaction Transannular Reactions Fragmentation Reaction





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Organocuprate 1,4-Addition Regiospecific Enolate Trap Cation-Olefin Cyclization



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# 8. Fallis Synthesis:

*J. Am. Chem. Soc.* **1990**, *112*, 4609. *J. Org. Chem.* **1993**, *58*, 2186.





Intramolecular Diels-Alder Reaction

- constraints of the 6-membered ring precludes reaction from the other cyclopentadiene isomers and lactone stereochemistry dictates  $\pi$ -facial selectivity

- MnO<sub>2</sub> serves to oxidize cyclopropyl alcohol analogous to allylic alcohol oxidation
- Cadmium reagent for  $\alpha\text{-versus}\ \gamma\text{-enolate}$  reaction
- Diastereoselective addition



Nal-TMSCI deprotection - dealkylative S<sub>N</sub>2 methyl ether deprotection

# 9. Kuo Synthesis:

Can J. Chem. 1988, 66, 1794.

Intramolecular Aldol Addition Wagner-Meerwein Rearrangement



# 10. Ho Synthesis:

Can J. Chem. 1992, 70, 1375.

Ethyl Diazoacetate Ring Expansion Alkylative Esterification



# XIV. Combinatorial Chemistry Combinatorial Chemistry Reviews

- A Practical Guide to Combinatorial Chemistry; Czarnik, A. W. and DeWitt, S. H., Eds.; ACS: Washington, D. C., 1997.
- Molecular Diversity and Combinatorial Chemistry: Libraries and Drug Discovery; Chaiken, I. N.; Janda, K. D., Eds.; ACS: Washington, D. C., 1996.
- Balkenhol, F. *et al.* Combinatorial Synthesis of Small Organic Molecules. *Angew. Chem., Int. Ed. Eng.* **1996**, *35*, 2288.
- Ellman, J. A. *et al.* Synthesis of Small Molecule Libraries, *Chem. Rev.* 1996, *96*, 555.
- Gallop, M. A. *et al.* Applications of Combinatorial Technologies to Drug Discovery, 1. Background and Peptide Combinatorial Libraries, *J. Med. Chem.* **1994**, *37*, 1233.
- Gordon, E. M. *et al.* Applications of Combinatorial Technologies to Drug Discovery, 2. Combinatorial Organic Synthesis, Library Screening Strategies, and Future Directions., *J. Med. Chem.* **1994**, *37*, 1385.
- Pavia, M. R., Sawyer, T. K. and Moos, W. H., Eds. The Generation of Molecular Diversity, *Bioorg. Med. Chem. Lett. Symposia-in-print no. 4.* **1993**, *3*, 381.



# **Solid Phase Peptide Synthesis**

- Attach first amino acid to (chloromethylated) polymer bead
- Deprotect (HBr), Couple (DCC), Cap (acetic anhydride)
- Repeat coupling cycle
- Deprotect, Saponify, Purify
- Allows excess of reagents and reactants to force reaction to completion
- Removal of reagents, reactants and byproducts by filtration

Merrifield, R. B. *J. Am. Chem. Soc.* **1963**, *85*, 2149. Nobel Prize, 1984 "for his development of methodology for chemical synthesis on a solid matrix"
## **Tea-Bag Method**



Houghten, R. A. et al. Proc. Natl. Acad. Sci. USA 1985, 82, 5131.



## **Multipin Peptide Synthesis**

Geysen, H. M. *et al. Proc. Natl. Acad. Sci. USA* **1984**, *81*, 3998. Zuckermann, R. N. *et al. Bioorg. Med. Chem. Lett.* **1993**, *3*, 463.

# Split and Mix Solid Phase Synthesis (Split-Method, Portioning-Mixing Method)





- Equimolar mixtures of peptides
- Cannot conduct direct mixture synthesis on solid phase due to differential reaction rates
- One unique peptide on each bead



Furka, A. et al. Bioorg. Med. Chem. Lett. **1993**, *3*, 413; Int. J. Peptide Prot. Res. **1991**, *37*, 487.

## **Generation of Combinatorial Antibody Libraries**

Use of bacteriophage lambda vector to express in *E. coli* a combinatorial library of Fab fragments

Sequence:

First step: Separation of heavy and light chain libraries which are constructed in  $\lambda Hc2$  and  $\lambda Lc1$ 

Second Step: Combination of two libraries are combined at the antisymmetric *Eco R* sites present in each vector

This results in a library of clones each of which potentially coexpresses a heavy and a light chain

## **Phage Display**



- The general concept is one in which a library of peptides is presented on the surface of a bacteriophage such that each phage displays a unique peptide and contains within each genome the corresponding DNA sequence
- Introduction of randomized DNA into gene III of filamentous phage ——> Expression of the corresponding peptides at the *N* terminus of the absorption peptide (pIII)
- Very quick and efficient generation of large combinatorial libraries of peptide fragments
- Screen by panning and enrichment
- Identify by DNA sequence

Smith, G. P. et al. Science 1990, 249, 386.

# Very Large Scale Immobilized Polymer Synthesis (VLSIPS)



## Solid Phase Synthesis of 1,4-Benzodiazepines

· Application of solid-phase combinatorial synthesis to non-oligomeric compounds



Ellman, J. A. *et al. J. Am. Chem. Soc.* **1992,** *114,* 10997. DeWitt, S. H. *et al. Proc. Natl. Acad. Sci. USA* **1993,** *90,* 6909.

### **Resin Release Only of Product**



DeWitt, S. H. et al. Proc. Natl. Acad. Sci. USA 1993, 90, 6909.

# Split Synthesis ENCODED with Tagging Molecules ( $T_1-T_4$ )



Still, W. C. et al. Proc. Natl. Acad. Sci. USA 1993, 90, 10922; Acc. Chem. Res. 1996, 29, 155.



Janda, K. D. *et al. J. Am. Chem. Soc.* **1993**, *115*, 9812. Brenner, S.; Lerner, R. A. *Proc. Natl. Acad. Sci.* USA. **1992**, *89*, 5381.





Zuckermann, R. N. et al. J. Am. Chem. Soc. 1993, 115, 2529.

#### **Electronic Encoding**

- Radiofrequency memory chips allow libraries to be tagged in a machine-readable form
- The chips (8 x 1 mm) can be incorporated into various reaction platforms (e.g. beads, tubes, bags, pins or cans)



Nova, M.; Nicoloau, K. C. *et al. Angew. Chem., Int. Ed. Eng.* **1995**, *34*, 2289. Armstrong, R. W. *et al. J. Am. Chem Soc.* **1995**, *117*, 10787.

## Noncovalent Color-Coding Strategy



- 8 different subunits  $A_1-A_8$  are linked to resin
- each A is then partitioned into 12 Porous Containers (PCs) with different cap colors
- a small amount of colored bead (one color for each A) is added to each PC
- the PCs are grouped by cap color and subunit **B** is attached







Guiles, J. W. et al. Angew. Chem., Int. Ed. Eng. 1998, 37, 926.

One-Step Mixture Synthesis and Deconvolution "Activated Core Approach"



Deconvolution by Omission Resynthesis

- 1. Libraries A1–A3 to find best core molecule
- 2. Sublibraries B1–B6 to find best 9 building block amino acids (AA)
- 3. Sublibraries C1–C7 to check if the selected 9 AA are the best combination
- 4. Sublibraries D1–D9 to find the best 5 AA
- 5. Sublibraries E1–E7 to find the best 3 or 4 groupings of the 5 AA
- 6. Sublibraries F1–F6 to find the best relative position of the 4 AA on the core
- 7. Single compounds G1–G3 synthesized and the best inhibitor of trypsin determined

A3: 1,330

## **Multicomponent One-Step Mixture Synthesis**



Armstrong, R.W. *et al. Acc. Chem. Res.* **1996**, *29*, 123. Ugi, I. *et al. Endeavour* **1994**, *18*, 115.

### Multistep Solution Phase Synthesis of Combinatorial Libraries Purification via Liquid/Liquid or Liquid/Solid Extraction



Boger, D. L. et al. J. Am. Chem. Soc. 1996, 118, 2567.

## **Multistep Convergent Solution Phase Combinatorial Synthesis**



Boger, D. L. *et al. Tetrahedron* **1998**, *54*, 3955. Boger, D. L. *et al. Bioorg. Med. Chem.* **1998**, *6*, 1347.



Boger, D. L. et al. Tetrahedron 1998, 54, 3955; J. Am. Chem. Soc. 1998, 120, 7220.

## **Polymer Supported Scavenging Reagents**

I. polymer-supported stoichiometric reagents

A 
$$\xrightarrow{(>1eq)}$$
 A-B +  $\xrightarrow{-}$  A-B A-B

II. polymer-supported catalytic reagents

A + B 
$$\xrightarrow{(<1eq)}$$
 A-B +  $\xrightarrow{(-x)}$  A-B

III. polymer-supported scavenging reagents (excess reagents, starting materials)

A + B 
$$\longrightarrow$$
 A-B + Side  
Products  $\longrightarrow$   
A-B +  $\bigcirc$  Y  $\xrightarrow{\text{filter}}$  A-B

A-B + **○** Y

- Solve the purification problem in mixture synthesis
- Entrain impurities upon completion of solution-phase reactions, either covalently or ionically
- Covalent scavengers: nucleophile-electrophile
- Ionic scavengers: a series of anion and cation exchange resins (liquid-solid extraction)

Boger, D. L. et al. J. Am. Chem. Soc. 1996, 118, 2567. Flynn, D. L. et al. J. Am. Chem. Soc. 1997, 119, 4874. Hodges, J. C. et al. J. Am. Chem. Soc. 1997, 119, 4882. Kaldor, S. W. et al. Tetrahedron Lett. 1996, 37, 7193.

### **Resin Capture of Product ("Fishing Out" Principle)**

- Libraries of  $\beta$ -amino alcohols are synthesized by parallel synthesis in solution
- Purification is achieved by "fishing out" the desired products with a PEG-bound dialkylborane
- Precipitation of the polymer-bound product allows the removal of unreacted starting materials and any byproducts
- Treatment with HCI releases the product from the polymer support in high purity



Janda, K. D. et al. J. Org. Chem. **1997**, 63, 889.

#### **Resin Release Only of Product**



- A wide range of 3° amines can be synthesized on solid support
- The product is released via  $\beta$ -elimination
- Only the activated (quaternary) product is released, ensuring purities >95%
- After cleavage of product, the resin is regenerated and can be reused

Morphy, J. R. et al. J. Am. Chem. Soc. 1997, 119, 3288.

#### **Iterative Deconvolution**

#### SURF Deconvolution (Synthetic Unrandomization of

**Randomized Fragments)** 

- Iterative deconvolution was first applied to peptide libraries
- The SURF procedure was described for nucleotide libraries
- Libraries are synthesized on solid phase by split synthesis
- Repetitive synthesis and screening of increasingly simplified sets.
- At each step of the deconvolution an additional position is known
- Activity increases at each step, enhancing the accuracy of identification
- Most potent library member guaranteed to be found and multiple hits lead to multiple parallel deconvolutions
- Time between synthesis of libraries and hit identity long and cumbersome

Houghten, R. A. *et al. Nature* **1991**, *354*, 84. Ecker, D. J. *et al. Nucleic Acids Res.* **1993**, *21*, 1853.



### **Recursive Deconvolution**

- The library (XXX) is synthesized by split synthesis
- At each stage 1/3 of the material is stored and labeled as a partial library
- These stored partial libraries are used to deconvolute the full library



### **Positional Scanning of Synthetic Peptide Combinatorial Libraries**

- Deconvolution libraries produced upfront for testing
- Identifies most active residue at each position in one round of testing
- Screen looking for increases in activity
- This combination is not always the most potent (ca. 20–40% of time)
- Best for identifying multiple hits in a library including weak activities
- Requires mixture synthesis, not suited for solid phase

01	Χ	Χ	Χ	Χ	X-NH <sub>2</sub>
Χ	02	Χ	Χ	Χ	X-NH <sub>2</sub>
Χ	Χ	<b>O</b> 3	Χ	Χ	X-NH <sub>2</sub>
Χ	Χ	Χ	<b>O</b> 4	Χ	X-NH <sub>2</sub>
Χ	Χ	Χ	Χ	05	X-NH <sub>2</sub>
Χ	Χ	Χ	Χ	Χ	<b>O6-NH</b> <sub>2</sub>

O = individual component X = mixture

Houghten, R. A. et al. Nature 1991, 354, 84.

## **Deletion Synthesis Deconvolution**

- Deconvolution libraries produced upfront for testing
- Identifies most active residues at each position in one round of testing
- Screen library for loss of activity versus full mixture
- Best at identifying potent hits in a library, poor at identifying weak or multiple hits
- Requires mixture synthesis, not suited for solid phase
- Also suited for symmetrical libraries not capable of being addressed by scanning deconvolution



X = mixture

Boger, D. L. et al. J. Am. Chem. Soc. 1998, 120, 7220.

## Solid Phase or Solution Phase Combinatorial Synthesis?

#### Solid Phase

- Simple removal of excess reagents and reactants
- + Automation straightforward
- + Split and mix synthesis
- + Pseudo-dilution effects
- Adapt chemistry to solid phase and develop linking/cleaving strategies
- Reaction monitoring difficult
- No purification possible
- Linear, cannot conduct convergent synthesis
- Limited scale
- Cannot conduct mixture synthesis

#### Solution Phase

- Chemistry not limited by support or linker
- + Monitor by traditional techniques
- + Purification possible after each step
- + Unlimited amounts (scales) available
- + Avoids extra steps for linking, etc
- + Automation by liquid-liquid techniques
- + Mixture or parallel synthesis
- + Convergent or linear synthesis
- Removal of excess reagents and reactants limits scope

## **Combinatorial Synthesis Using Soluble Polymers**

- Reactions were performed in the homogeneous liquid-phase solution using soluble polymer (MeO-PEG: polyethylene glycol monomethyl ether)
- Homogeneous reaction conditions overcome the difficulties of solid-phase combinatorial synthesis
- · Isolation can be accomplished by precipitation at each stage
- Intermediates can be purified by conventional means (*e.g.* chromatography)
- Analysis of intermediates is possible by conventional means (e.g. NMR)



Janda, K. D. et al. Proc. Natl. Acad. Sci. USA 1995, 92, 6419.

#### **Fluorous Phase Combinatorial Synthesis**

- · Fluorous liquids: Immiscible with both water and organic solvents
- Simple purification of products by three-phase liquid-liquid extraction
- Accomplishment of a series radical addition by homogeneous fluorous-phase combinatorial synthesis



Curran, D. P. et al. J. Am. Chem. Soc. **1996**, 118, 2531; Chemtracts, Org. Chem. **1996**, 9, 75. Angew. Chem., Int. Ed. Eng. **1998**, 37, 1175.

## A Combinatorial Approach to Materials Discovery

Application of the combinatorial approach to the discovery of new solid-state materials with novel physical or chemical properties such as magnetoresistance or high-temperature superconductance.

Substrates: polished MgO or LaAlO<sub>3</sub> single crystals

Sputtering Targets: CuO, Bi<sub>2</sub>O<sub>3</sub>, CaO<sub>3</sub>, PbO, SrCO<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, and BaCO<sub>3</sub>

Generation of a 128-member binary library using 7 deposition-masking steps

Superconducting materials: BiSrCaCuO<sub>x</sub> and YBa<sub>2</sub>Cu<sub>3</sub>O<sub>x</sub>



(Binary masks used for library synthesis)

Schultz, P. G. et al. Science 1995, 268, 1738.

## **Comparison of Combinatorial Chemistry Techniques**

Technique	Single compound /mixture	Speed of synthesis	SAR retrieval	Utility
parallel synthesis	single	slow	fast	lead optimization
mixture synthesis (scanning/deletion deconvolution)	mixture	fast	slow (fast)	lead identification
parallel arrayed mixture	mixture	moderate	moderate	lead identification
split and mix	mixture (one compound per bead)	moderate	slow	lead identification lead optimization
chemically encode mix and split	ed mixture (one compound per bead)	moderate	moderate	lead identification lead optimization
mix and sort (microreactors)	single	moderate	fast	lead optimization lead identification

Guiles, J. W. et al. Angew. Chem., Int. Ed. Eng. 1998, 37, 926.